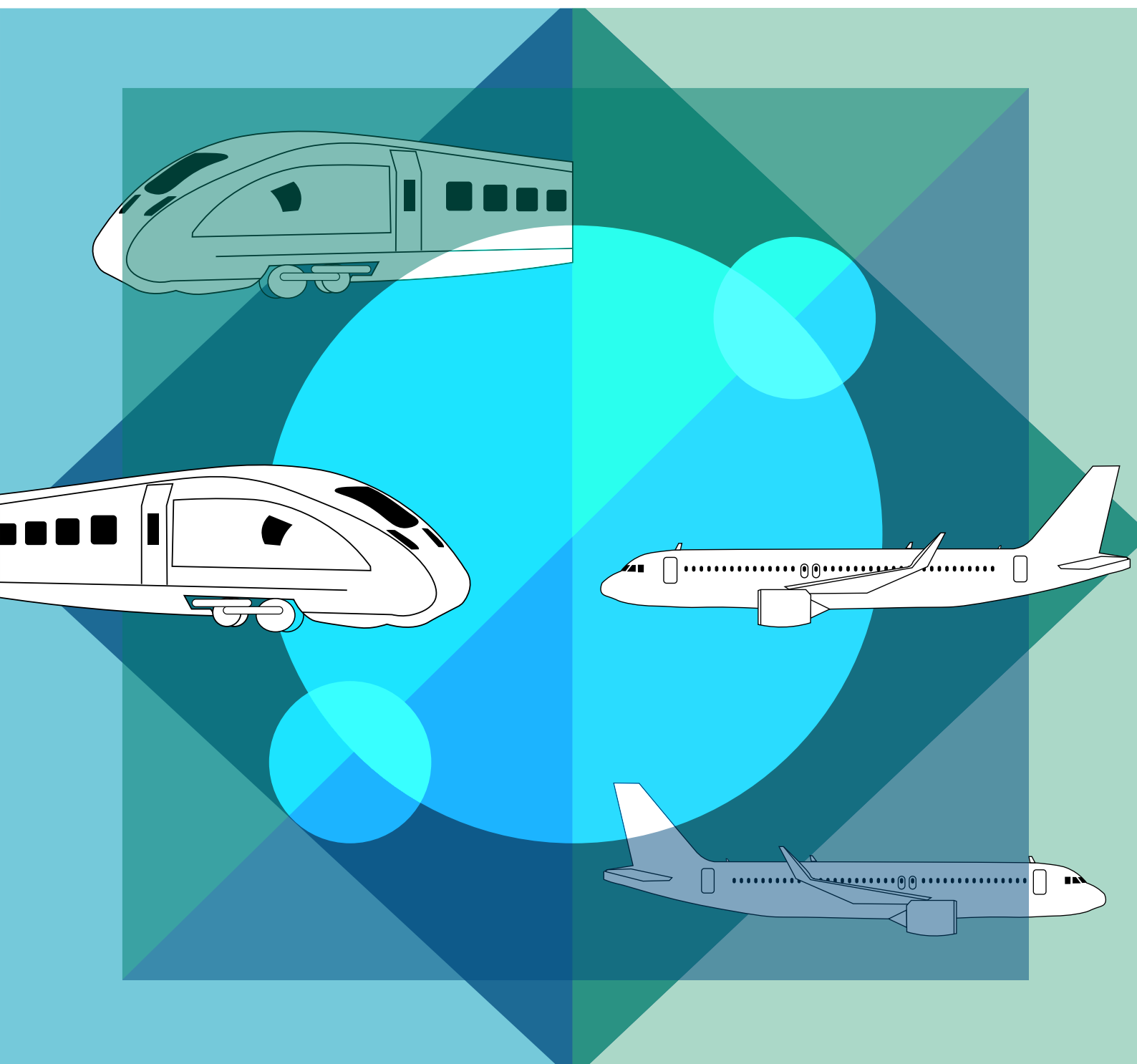


Transport and environment report 2020

Train or plane?

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European Environment Agency
Kongens Nytorv 6
1050 Copenhagen K
Denmark

Tel.: +45 33 36 71 00
Internet: eea.europa.eu
Enquiries: eea.europa.eu/enquiries

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Executive summary

Why this topic now?

In a year during which millions in Europe have been confined to their homes and travel activity has dropped dramatically, the question of whether one should take the train or the plane might not seem pertinent. However, before passenger numbers started dwindling in the wake of the pandemic, demand for passenger transport was on a steady upwards trajectory with by far the strongest growth seen in air travel. This long-standing trend is set against the backdrop of the climate crisis and persistent problems with air pollution and environmental noise in Europe. All modes of motorised transport contribute to these problems but to different degrees. The report's topic is also linked to the question of how to achieve a green and resilient economic recovery. The current situation is an opportunity to reflect and to innovate. For these reasons, 'train or plane?' remains a timely and important question.

Policy context

The European Green Deal includes the objective of reducing the greenhouse gas (GHG) emissions from transport by 90 % by 2050 compared with 1990. Facilitating a shift towards the most sustainable transport modes can make an important contribution to reaching this objective. For passenger transport, a shift from air to rail travel potentially plays a key role.

Objective of the report

The aim of this report is to inform decision-makers on the current status and environmental impact of rail and air passenger travel in Europe. Furthermore, the report looks at how travel choices and their environmental impacts relate to the existing policy context: which factors influence the future environmental performance of these modes, and which policies and actions can promote more environmentally sustainable choices? To come to a satisfactory answer, it is vital to understand the environmental consequences of travelling by rail and air in mainland Europe. This is assessed both in general and for a variety of actual connections between European cities.

Scope and method

Twenty main city pairs within different distance bands, in different parts of Europe and with varying degrees of rail connection quality are analysed in the report. In addition, for each of these pairs, an additional pair of alternative locations in the vicinity of the main cities that is potentially served by the same railway station or airport is analysed. As the car is the dominant mode of passenger transport in Europe and is still often seen as the default choice for intercity travel, even for long distances, it is included in the comparison as a point of reference. To maintain analytical focus and to present in-depth information in the given format, the emphasis is on rail and air travel. It is not the report's ambition to compare all forms of motorised passenger transport in Europe.

The external cost approach, as outlined in the European Commission's handbook on the external costs of transport (EC, 2019d), has been selected because it offers an established way of putting one cost figure on the emission impacts of transport on human health and the environment. Non-emission cost categories, such as accidents and congestion, are included in the handbook but have been excluded from the calculations in this report. It should be noted that these costs can be very significant. For car trips, accidents and congestion (in terms of the cost of delay) are the two dominant categories and much higher than for rail and air travel. On account of a lack of comparable data, the emission costs related to the manufacturing of trains, planes and cars, their maintenance and scrapping, and the construction and maintenance of the transport infrastructure are not covered in the calculations. Hence, the scope is a well-to-wheel/wake analysis, rather than a life cycle analysis.

Key findings

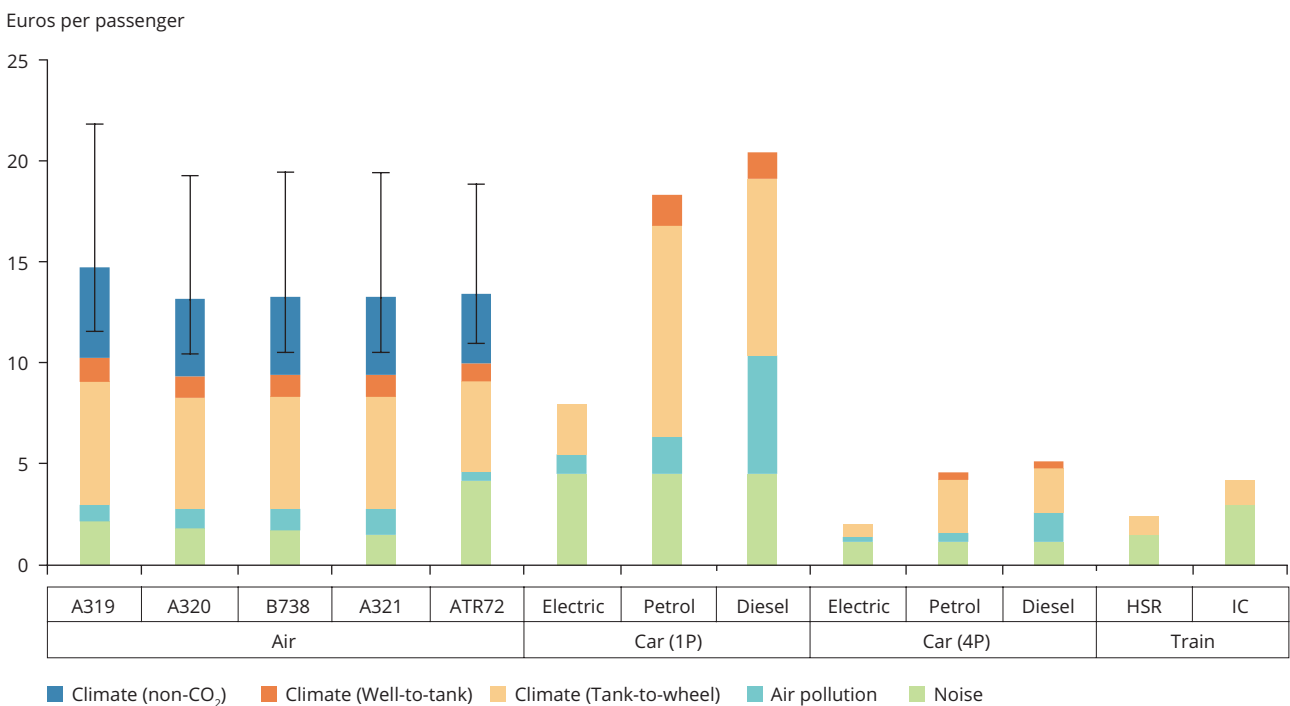
Looking at the general comparison and the specific results for the city pairs, rail travel is always a sensible choice. The emission impacts of aviation are invariably higher on a passenger-kilometre basis. However, flying is not necessarily the most harmful choice. This role is often taken by the conventional car, if single occupancy is assumed. Figure ES.1 displays the emission costs per

passenger for different rail, air and car travel alternatives covering a distance of 500 km. The transport modes included are the five most frequently used types of aircraft, an electric intercity train (ICe), a high-speed train (HSR) and three types of car with an occupancy rate of one person and four people for each type.

As indicated by the error bars on the aircraft columns, some uncertainty remains over the magnitude of the non-CO₂ global warming effects of aviation. At the central value of the non-CO₂ climate costs, travelling by air causes more than six times higher emission costs than travelling by HSR. HSR is found to be the most environmentally friendly option because of the high occupancy rate. Travelling in a well-occupied diesel, petrol or electric car, with four passengers, also has significantly lower emission costs per passenger than travelling by air. However, the emission costs of only one person travelling in a diesel or petrol car are among the highest of all travel alternatives considered here. With average car occupancy levels at around 1.5 people, a shift from car to rail would also offer significant emission benefits.

Although the total emission costs of rail are lower than those of air, the noise costs are comparable to or, in the case of HSR for longer distances, higher than those for air travel. For rail, the noise costs depend on the distance, while for air travel they do not, as they are generated during take-off and landing. Considering the shares of the different cost categories, for air travel the climate costs are the most important cost category. For rail, the noise-related costs have the highest share. The ranking between the modes does not change significantly when a distance of 1 000 km is considered instead of 500 km. However, over a longer distance, the environmental costs of travelling by air increase less than proportionally because the environmental costs of landing and take-off do not change with distance on a direct flight. Occupancy level is the single most important factor across all the modes considered. Whether a train, plane or car is almost empty or 80 % full makes a big difference to the result. This factor alone can make a mode of transport the best or the worst choice for the environment.

Figure ES.1 Emission costs of different transport modes (500 km)



Note: The error bars reflect the uncertainty for the non-CO₂ climate costs of aviation based on Cox and Althaus (2019). Occupancy rates: aircraft 80 %; HSR 66 %; IC 36 %. WTT: well-to-tank, WTW: well-to-wheel/well-to-wake (see Figure 3.1 for definitions).

Source: EEA.

Modal shift: effect and potential

Going from these findings to the question of what a future shift from air to rail can bring is not straightforward. A big shift to rail requires new infrastructure. For new investment in rail to be environmentally beneficial, the environmental pressures related to the construction (e.g. from the production of cement and steel, and the fuel used for construction) and the maintenance of the infrastructure must be compensated by the reduction in environmental pressures that will be made possible by the opening of the new rail link. New rail infrastructure can quickly result in net GHG emission reductions if the GHG intensity in the construction of the line is low (i.e. if it does not require many complex structures, such as tunnels and bridges), if there is a lot of traffic diverted from more GHG-intensive modes of transport and if the occupancy rate is consistently high. However, more attractive rail options may also lead to additional demand for transport. This could undo some of the environmental gains from switching to rail.

The GHG emissions from aviation within the European Economic Area and from the electricity used in the rail sector are part of the same cap and trade system — the EU Emissions Trading System (EU ETS). A shift from air to electric rail transport would reduce the external costs related to the non-CO₂ climate impact of aviation, which is recognised as a source of global warming. It would also reduce all other negative environmental impacts (e.g. air pollution), as aviation has a relatively higher impact per kilometre travelled than rail travel. The effect on cumulative CO₂ emissions under the EU ETS is relatively complex to assess with the current rules and their treatment of aviation emissions. It would require further quantitative analysis to draw robust conclusions.

Furthermore, a realistic view of how much additional demand Europe's railway system can absorb is required. In the short term, passenger rail can grow only modestly by increasing occupancy rates and by offering additional railway services on the existing infrastructure, where the maximum capacity and the available rolling stock allows for that. In the medium term, capacity can be added by procuring additional rolling stock and by upgrading existing rail lines so that they can support more traffic and higher speeds. This can, for example, be done with modern signalling equipment. In the long term, entirely new rail links can

be built and very significant capacity can be added. Alongside more capacity, it also remains an important objective to further improve the environmental performance of rail travel. The continued electrification of rail lines and noise mitigation are important measures in this context.

At the same time, improving the environmental performance of aviation remains highly important. The renewal of the fleet with modern aeroplanes and engines has already resulted in fuel efficiency gains. The regulatory limits for engine nitrogen oxide (NO_x) emissions have been tightened over time and individual aircraft have become less noisy. The more widespread use of sustainable aviation fuel and improvements in ground operations and air traffic management could further reduce the negative environmental impacts of aviation.

Lessons learnt

When it comes to supporting a smart modal shift, one question is of central importance. In which cases does flying offer irreplaceable benefits for travellers and under which conditions can it be replaced with less polluting modes, such as rail? As short-haul flights have a disproportionate impact on health and the environment, efforts should focus on replacing those flights. This is typically also the travel distance for which good, less polluting alternatives tend to be most readily available or are easiest to develop. To strike a better balance between rail and air travel, it would also help to make cross-border rail travel hassle free. Integrated booking and ticketing across Europe would go a long way towards achieving this goal.

Rail and aviation should be complementary, as they have distinct advantages and disadvantages in what they offer. The findings of this report imply that aviation should focus on connections where there is not, or not yet, a reasonable alternative to flying while working towards a more integrated railway network across Europe. Rail and aviation also offer the potential for multimodal trips, whereby a trip combines air transport on one leg and a railway trip on another leg, rather than travelling the whole distance by air. For this to happen, major airports need to be connected to the HSR network. It is also important that choosing rail is not just an environmentally sound, but also a more affordable, choice.

As is apparent from some sections of the report, there is a certain imbalance between the information and studies available on the environmental impacts of rail and aviation. Looking at the scientific literature, aviation has so far attracted more research interest in its environmental impact. Especially for embedded emissions from vehicle manufacturing and operational procedures and their impacts, rail is not well captured in the literature. Addressing this imbalance would help support decision-makers who are looking to understand and encourage modal shift. The relative lack of publicly accessible, harmonised data on rail passenger numbers also makes the comparison more difficult. It would be useful if official statistics in Europe captured the number of people travelling between the main European railway stations.

Even when good alternatives to air travel are available, it is also necessary that people make a conscious choice for every longer trip and consider all available transport options — regarding not just their financial costs but also their environmental costs. Making reliable and consistent environmental information available is vital. A standardised way of comparing the environmental performance of the transport modes available for making a certain trip would be an important step forward. Finally, a broad-based shift towards rail requires a long-term perspective, integrated planning at the European level and rail transport capacity to match the future demand that such a shift will entail.

1 Introduction

The EU and governments around the world have adopted the United Nations (UN) 2030 agenda for sustainable development (UN, 2015b) and the Paris Agreement on climate change (UN, 2015a). The recently adopted European Green Deal forms part of the European Commission's strategy to implement the UN 2030 agenda and the Sustainable Development Goals (SDGs). The ambition is to achieve climate neutrality by 2050. Every sector should contribute. For the transport sector the Commission's communication on the Green Deal sets out the need to reduce transport emissions by 90 % by 2050 (compared with 1990) (EC, 2019b). The recent proposal by the European Commission for the next multiannual budget, entitled 'A recovery plan for Europe', is also geared towards enabling a green and digital transition (EC, 2020e).

The European aviation environmental report 2019 indicates that the aviation sector causes substantial environmental problems in terms of climate impacts and local environmental problems (EASA et al., 2019). Moreover, although environmental efficiency is expected to improve further in the future, air travel is also forecast to grow — although the timeline and the rate of growth have become more uncertain on account of the COVID-19 outbreak — leading to an expected increase in the local and global environmental impacts of air travel. Although actions can be undertaken by the aviation sector itself to reduce its environmental impacts, a shift to less polluting modes is central to reducing the environmental footprint of travel. Scenarios calculated by the International Energy Agency (IEA) indicate that limiting the global average temperature increase to below 2 °C also requires the substitution of intra-continental flights on medium distances of up to 1 000 km with high-speed rail (HSR) ⁽¹⁾ (IEA, 2017).

There is also a growing awareness among citizens of the environmental and climate problems caused by air transport, with some of them being ready to reconsider their travel behaviour, for example by shifting from plane to train for their travel (EIB, 2020). Moreover, within the context of the COVID-19 pandemic, environmental requirements or reducing competition with HSR, for example by cutting relatively short distance domestic routes or imposing a minimum price for air tickets, are mentioned as a condition for state aid for airlines. Examples can be found in France (RailExpress, 2020), Austria (Grüll, 2020) and the Netherlands (Morgan, 2020a).

For medium to longer distance passenger travel up to 1 000 km within mainland Europe, which is the main scope of this report, people have different options besides air transport: rail (including HSR), coach and car. To varying degrees these modes are substitutes for air transport in this market segment. This report focuses on rail and air passenger transport. In addition to being substitutes, they also offer potential for multimodal trips, whereby a trip combines air transport on one leg and a railway trip on another leg, rather than travelling the whole way by air.

The aim of the report is to get a better insight into the following questions:

- What are the environmental consequences of travelling by rail and/or air transport for medium- to long-distance travel in mainland Europe? This is assessed both in general and for a selection of 20 city pairs in Europe.
- How are these choices and their environmental impacts affected by the existing policy context?

⁽¹⁾ HSR refers to rail services operating on specifically designed lines with a maximum operating speed of at least 250 km/h and services operating on conventional lines with a maximum operating speed of at least 200 km/h.

- Which factors influence the future environmental performance of these modes and which policies and actions can promote a more environmentally sustainable modal choice?

The structure of the report is as follows. First, Chapter 2 gives an overview of the evolution of rail and air transport in Europe and their market share and projected evolution, referring also to the uncertainties created by the COVID-19 crisis. The chapter also sketches the broader context of these two sectors. Next, Chapter 3 describes the main environmental impacts of rail and air transport and presents evidence on the magnitude of these impacts as well as their evolution over time. Then,

Chapter 4 provides an overview of the relevant EU, national and international policies concerning rail and air transport and their environmental impacts. Chapter 5 gives further insight into the environmental costs of travelling by rail and air, based on the analysis of specific city pairs. In addition, it discusses the environmental gains that could be obtained by a modal shift from air to rail. Special attention is given to the role played by the EU Emissions Trading System (ETS). Finally, Chapter 6 discusses how future developments are expected to change this picture and which actions and policies can play a role in improving the environmental sustainability of modal choices.

Box 1.1 Country groupings

Throughout the report, abbreviations are used to refer to specific country groupings. The following definitions are used:

- EU-28: the 28 EU Member States as of 1 July 2013 to 31 January 2020;
- EU-27: the 27 EU Member States as of 1 February 2020;
- EEA-33: the 33 member countries of the EEA as of 1 July 2013 to 31 January 2020 (28 EU Member States plus Iceland, Liechtenstein, Norway, Switzerland and Turkey);
- EEA-32: the 32 member countries of the EEA as of 1 February 2020 (27 EU Member States plus Iceland, Liechtenstein, Norway, Switzerland and Turkey);
- EFTA countries: countries of the European Free Trade Association: Iceland, Liechtenstein, Norway and Switzerland;
- European Economic Area: EU-27 Member States plus Iceland, Norway and Liechtenstein. The European Economic Area status of the United Kingdom applies until 31 December 2020.

2 Passenger rail and air transport in Europe

Key messages

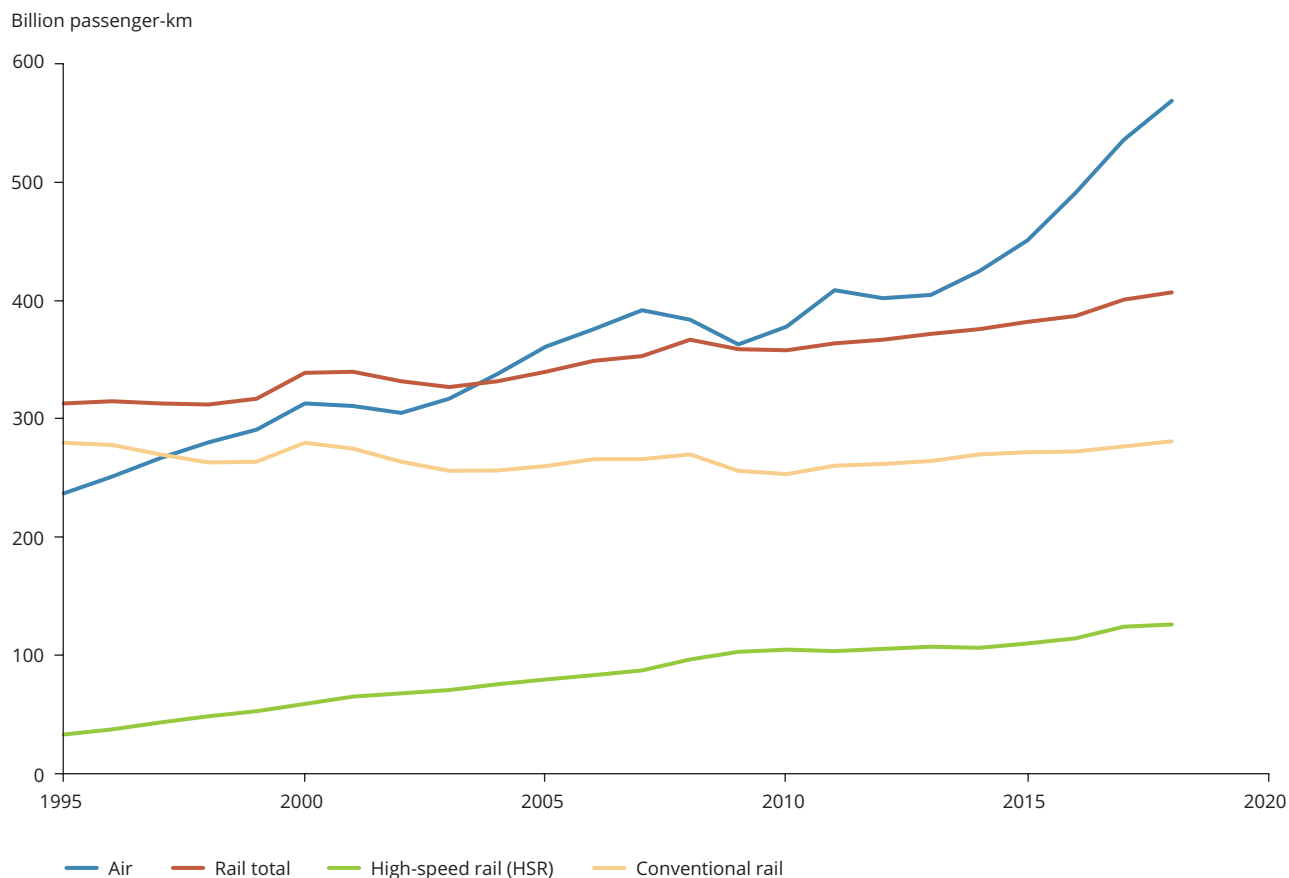
- Despite the rapid growth of high-speed rail in the last few decades, passenger rail transport is still mainly seen by users as a viable choice for domestic travel.
- There is not yet a real European high-speed rail network because of a lack of connections between the national lines.
- Air travel in the EU-28 grew considerably between 2000 and 2018. A substantial share of air travel and flights is national or intra-EU, market segments for which rail can be an alternative.
- While high-speed rail has an impact on the number of seats offered, there is mixed evidence for how this affects the number of flights, which is most relevant from an environmental point of view.
- Evidence shows that 4 years after the introduction of high-speed rail 10-20 % of demand is new, induced, demand, with variations across routes. The other high-speed rail travellers switch from a different mode. The main modal shift is from conventional rail, but for particular routes the shift from air travel is considerable.

2.1 Introduction

In 2018, a total of 569 billion passenger-km were travelled by air in the EU-27 (the 27 EU Member States as of 1 February 2020), compared with a total of 407 billion passenger-km by rail, which includes 126 billion passenger-km by high-speed rail (HSR) (EC, 2020b). This chapter describes how the two sectors have evolved over time (Sections 2.2 and 2.3). It also explores the choices between rail and air travel options, focusing on the impact of HSR on air travel supply and demand (Section 2.4).

Both rail and air transport have undergone significant changes in the past few decades. Figure 2.1 presents the evolution of rail and air transport in the EU-27 between 1995 and 2018. For rail transport a distinction is made between HSR and 'conventional rail transport'. When considering this figure, it should be noted that the market segments covered are not completely comparable between rail and air: the data for rail transport also cover shorter distance daily travel, for which air travel is not an alternative, and the data for air transport cover also long-haul flights, for which rail travel is not an alternative.

Figure 2.1 Passenger-km travelled by rail and air, EU-27, 1995-2018



Source: EEA elaboration based on EC (2020b).

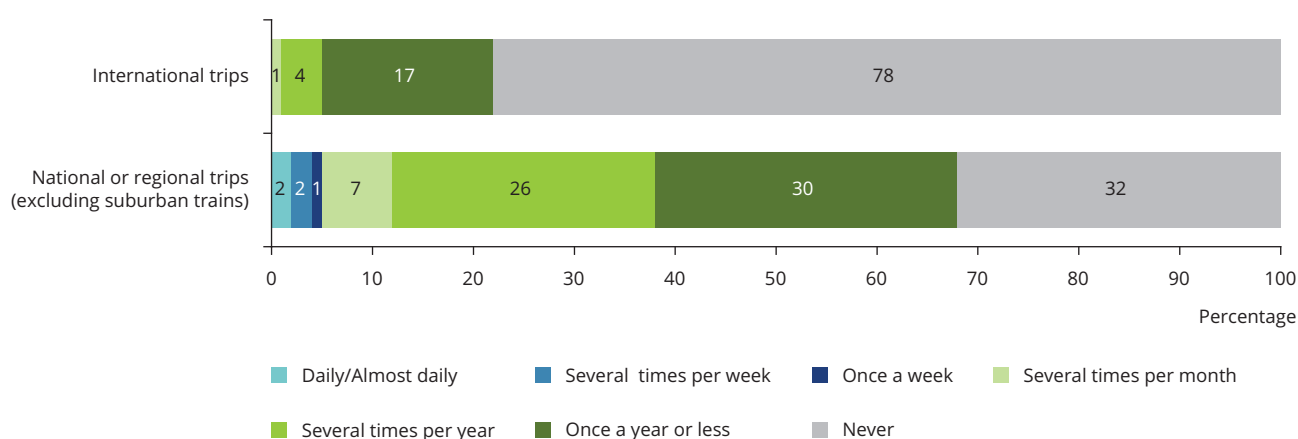
2.2 Passenger rail transport in Europe

Passenger-km by HSR (the total of domestic and international travel) in the EU-27 has grown by 283 % since 1995 and by 114 % since 2000, with the highest growth rates in the period up to 2001. Rail passenger-km travelled via HSR rose from about 33 billion passenger-km in 1995 to 126 billion passenger-km in 2018 (Figure 2.1). Rail travel in total grew at a slower pace (by 30 % between 1995 and 2018). This entails an increasing share of HSR in rail travel: from 17.3 % in 2000 to 31 % in 2018 (EC, 2020b). Currently, the demand for rail travel is strongly reduced as a result of the COVID-19 pandemic. The longer term effects are still unclear.

In 2018, international rail passengers represented less than 8 % of the total rail passengers for all EU-27 countries except Luxembourg, where they

represented 26 % (Eurostat, 2019). According to a Eurobarometer survey (EC, 2018) about 78 % of the respondents in the EU (excluding Cyprus and Malta) never take the train for international trips (ranging between 47 % for Austria and 92 % for Lithuania) (Figure 2.2). About 17 % of respondents take a train for international trips once a year or less (ranging between 7 % for Lithuania and 35 % for Austria). To put this in perspective, for national and regional trains the shares are 32 % (never) and 30 % (once a year or less).

Despite the rapid growth of HSR in the last few decades, passenger rail transport is currently mainly seen as a viable choice for domestic travel.

Figure 2.2 Eurobarometer 463 — how often do you use rail for ...?

Notes: Geographical coverage: EU-28, excluding Cyprus and Malta. Base: all respondents ($n = 25\,537$).

Source: EC (2018).

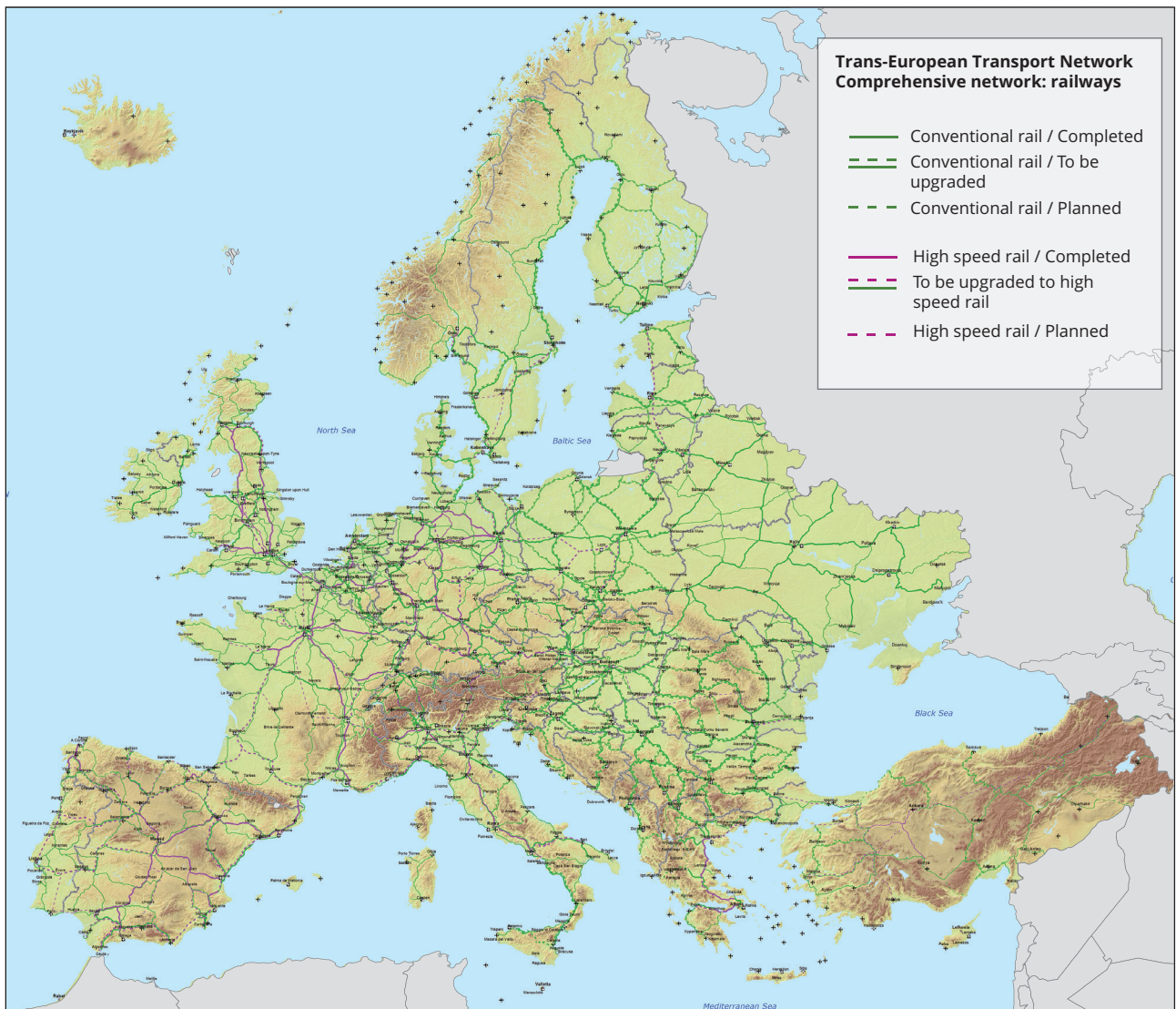
The growth in travel by HSR is linked to the expansion of the network. The length of the HSR lines in the EU-27 increased from 1 001 km in 1990 to 9 169 km in 2019. An additional 2 059 km is under construction (EC, 2020b). Map 2.1 presents an overview of the existing and planned HSR lines in Europe. Although the network has already expanded and further expansion is planned, a recent audit report by the European Court of Auditors (ECA) points out that there is not yet a real European HSR network. The report describes it as 'an ineffective patchwork of poorly connected national lines' and points to a lack of a realistic long-term plan to connect the different parts of the existing network (ECA, 2018).

Since 2010, the supply of night train services has been reduced significantly. Still, a 2017 study for the European Parliament indicates that there is a potential

demand for night train services (Steer Davies Gleave and Politecnico di Milano, 2017). Recently, some services have been (re)introduced or existing services have been expanded (e.g. by ÖBB, Regiojet, Leo Express and Snälltåget). Sweden and the Netherlands have also been considering the case for night trains (Savelberg, 2019; Trafikverket, 2020) and will reintroduce night trains in the near future (Ministerie van Infrastructuur en Waterstaat, 2019; Morgan, 2020b).

A recent development in the European rail market is low-cost services such as those offered by Ouigo, iZY, Flixbus or EVA (EC, 2019e) for the long-distance rail market, following the example of low-cost air carriers.

Map 2.1 Trans-European Transport Network — railways and airports



Map adapted from **TENtec, 2019**

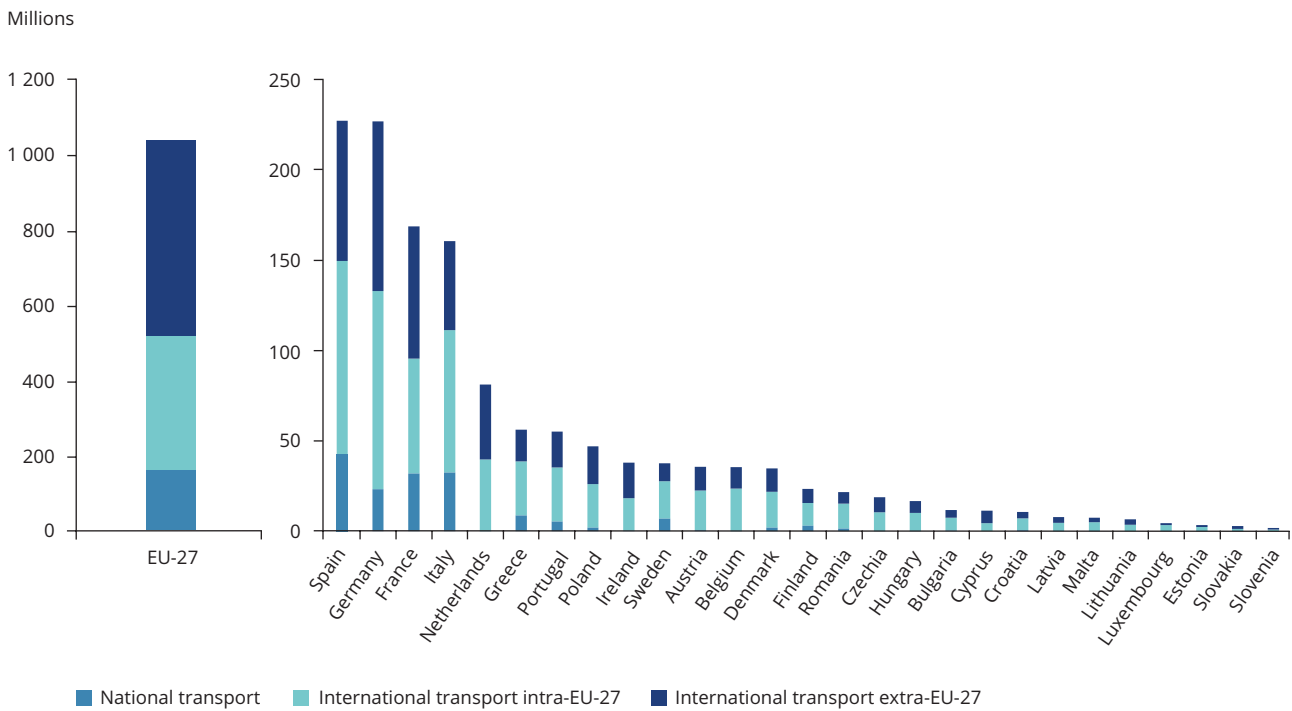
Source: EC (2019f) (February 2019).

2.3 Passenger air transport in Europe

In 2018, air passenger-km in the EU-27 were 140 % higher than in 1995 and 82 % higher compared with 2000 (see Figure 2.1). Over time there has been an expansion in the aviation network, and a liberalisation of the aviation sector, which has brought about a rapid expansion in low-cost carriers, lower prices and increased offers of connections and destinations to travellers. According to the European Commission (EC, 2019c), the number of flights operated by low-cost carriers within the European Economic Area increased by 88 % between 2006 and 2017. At the time of writing, the COVID-19 pandemic is heavily impacting the aviation industry. Before the outbreak the

number of flights using EU-28 + European Free Trade Association (EFTA) airports had been projected to grow at an average annual rate of 1.5 % between 2017 and 2040, or 42 % in total (EASA et al., 2019). The duration and total impact of COVID-19 on aviation is still very uncertain. Eurocontrol, under its 'current status scenario', published in September 2020, anticipates that the total number of flights expected in Europe will be 55 % lower than in 2019 (Eurocontrol, 2020). In July 2020, at a global level, the International Air Transport Association (IATA) expected that passenger travel (measured in revenue passenger-km — RPK) would not return to the pre-COVID-19 level until 2024, with a faster recovery for short-haul markets than for long-haul ones (IATA, 2020).

Figure 2.3 Number of air passengers carried by type of transport, EU-27, 2019

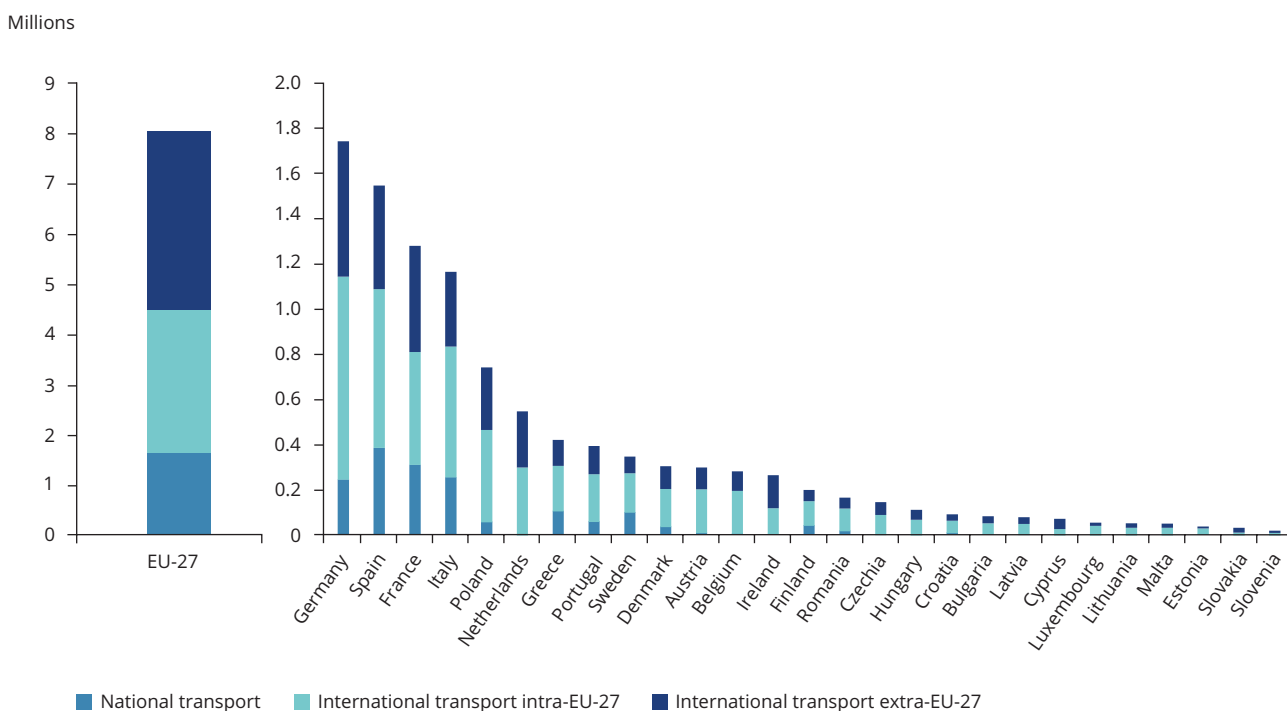


Source: EEA, based on Eurostat (avia_paoc, passengers carried).

To identify the air travel market segment that is relevant for a shift to rail, a first approximation can be derived from the share of domestic and intra-EU travel in total air travel in the EU. Figure 2.3 and Figure 2.4 give the number of passengers carried and flights by type of transport (national, intra-EU or extra-EU) for the EU-27. In 2019, about 35 % of air passenger

transport (in terms of flights and passengers carried) concerned intra-EU transport, while national transport accounted for 15.5 % (passengers carried) and 21 % (flights). The countries with the largest number of flights for national transport were Spain, France, Italy and Germany.

Figure 2.4 Number of flights by type of transport, EU-27, 2019



Source: EEA, based on Eurostat (avia_paoc, commercial air flights).

Among the 50 busiest connections in 2018 within the EU-27, somewhat more than half were domestic connections (including some overseas connections, which are outside the scope of this report). All top 10 connections in 2018, listed in Table 2.1, were domestic connections. Considering all air passenger travel in the EU-27, about 16 % of passengers carried were domestic travellers (EC, 2020b).

Table 2.1 Top 10 airport pairs for intra-EU air transport, EU-27, 2018

	Passengers carried (× 1 000)
Madrid/Barajas-Barcelona	2 467.8
Frankfurt (Main)-Berlin/Tegel	2 292.6
Toulouse/Blagnac-Paris/Orly	2 282.4
Paris/Orly-Nice/Côte d'Azur	2 144.6
Palma-Barcelona	2 035.7
Berlin/Tegel-Munich	1 985.3
Catania/Fontanarossa-Rome/Fiumicino	1 980.6
Palma-Madrid/Barajas	1 967.3
Munich-Hamburg	1 745.7
Palermo/Punta Raisi-Rome/Fiumicino	1 666.9

Note: Passengers arriving and departing from first named airport.

Source: EC (2020b).

2.4 Choices between rail and air travel options

2.4.1 Rail and air as substitutes and complements

People who want to make medium to longer distance trips in mainland Europe usually have many options: rail (including HSR), air, car and coach. To varying degrees these modes of transport can replace, or substitute for, each other in this market segment. In general, people's choice will depend on many factors, which also apply when one considers the choice between rail and air travel, on which this report focuses. The main factors determining the choice are price, travel time, travel time reliability, frequency of the connections and other factors such as convenience, comfort and safety (Givoni and Dobruszkes, 2013; Clewlow et al., 2014; Savelberg and de Lange, 2018). For example, HSR is found to be a good substitute for air transport for trips up to 2.5 or 3 hours, but less so for longer travel times (Jiang and Li, 2016). The extent to which each of the factors plays a role depends, however, on the travel purpose (business, leisure) and on the preferences of the person who travels. For example, Behrens and Pels (2012) find for the London-Paris market, business passengers are more sensitive to total travel time

and weekly frequency than leisure travellers and are less sensitive to fares. People in both the business and leisure market segments make a trade-off between various trip attributes. In both segments, for example, longer average travel time by rail may be offset by higher frequency and/or lower fares. The substitution between rail and aviation is explored further in Section 2.4.2, for the specific case of HSR.

In addition to being substitutes, rail and air also offer the potential for multimodal trips. In that case a trip combines air transport on one leg and a railway trip on another leg, rather than travelling the whole way by air. In this respect the 2011 Transport White Paper states that all major airports should be linked to the railway network. Furthermore, the Trans-European Transport Network (TEN-T) Regulation — Regulation (EU) No 1315/2013 — stipulates that until 2030 the most important core network components, including urban nodes and airports, are expected to have multimodal links, as long as they are economically viable, environmentally sustainable and feasible.

2.4.2 The impact of high-speed rail on air travel supply and demand

A number of studies have tried to identify the impact of HSR on air travel supply and demand in Europe. Dobruszkes et al. (2014) analysed the impact of HSR on the air services supplied (in terms of number of seats and number of flights). They looked at a wide range of 161 city pairs in Europe where HSR competes with air. Most of these are domestic routes (in France, Germany, Italy and Spain) and 36 are international routes. They found that shorter HSR travel times mean fewer air services and that the number of flights and seats offered are affected similarly. This impact diminishes quickly between 2 and 2.5 hours of HSR travel time. Albalate et al. (2015) also found an impact of HSR on air services in Europe, but they concluded that flight frequencies are not reduced significantly, while the number of seats provided by airlines are, which is different from the finding of the previous study. Hub airports have seen a larger reduction in air services than non-hub airports, with a larger reduction in hub airports that have no on-site HSR station. Dobruszkes et al. (2014) found that HSR frequency has only a small impact on air services. Considering airline strategies, the number of air services increases with the presence of airline hubs. In this case there may be a role for rail to replace short-haul flights to feed long-haul flights, for which Albalate et al. (2015) also offer some evidence.

The impact on emissions will depend on what will happen with the slots that are freed up as a result of the initial reduction in air services and to what extent additional long-haul trips are made. Moreover, the modes chosen for trips from and to the station may be different for HSR stations in city centres compared with those located near airports (Dobruszkes et al. (2014)).

Evidence on the impact of HSR services on travel demand (rather than supply) for a wide range of routes is more difficult to collect, as many studies consider only specific routes. Moreover, econometric analyses that also try to identify the impacts of changes in socio-economic factors, prices, etc., are not widely available. The literature review by Givoni and Dobruszkes (2013), which also covers regions outside the EU, finds that, some 4 years after the introduction of HSR, 10-20 % of demand for HSR travel is new, induced, demand, with variations across routes. The other HSR travellers are people who switch from a different mode. The main mode of origin depends on the routes considered, the importance of the modes before the introduction of the HSR and the mode characteristics. The review finds that the main modal shift is from conventional rail to HSR, but that for particular routes the shift from air can be large (e.g. for the London to Paris/Lille/Brussels route or the Madrid-Seville HSR line). Considering the modal shares before and after the introduction of HSR (and taking into account induced demand), air transport loses most market share. For HSR travel times up to 3.5 hours, HSR may have a market share of 50 % or more in the rail-air market. Of course, these impacts on demand also interact with the supply impacts discussed in the previous paragraphs.

Clewlow et al. (2014) analysed the determinants of air passenger traffic between 90 airport pairs in France, Germany, Italy, Spain and the United Kingdom from 1995 to 2009. Taking into account the influence of other factors, such as gross domestic product (GDP), fuel price, hub status of the airport and population density, they found that air transport between domestic city pairs is reduced when HSR is present in the market. The substitution between rail and air is found to also depend on variations in city and airport characteristics. For non-domestic intra-EU travel the presence of HSR also reduces air travel, but not as strongly. The authors point to the fact that in this case city pairs are less likely to be within a distance for which HSR may be an option. The presence of low-cost carriers is found to have a significant positive effect on intra-EU air travel, leading to a substantial net increase in passenger-km travelled. This indicates that a system-wide perspective is required.

Worldwide HSR activity (measured in passenger-km) is highest in China, followed by the EU and Japan. Together they account for 95 % of passenger-km travelled by HSR. In 2018, China alone accounted for 71 % (UIC, 2020b). In Japan, the country with the longest tradition in HSR, the market share of HSR has always been larger than that of air transport for routes of less than 600 miles (960 km) (Albalade et al., 2015). This is linked to high frequency, attractive fares, stations located close to city centres and the attention given to safety, reliability and punctuality. For example, for the 550 km long Shinkansen route between Tokyo and Osaka, ECA (2018) reports an average delay of

only 24 seconds. Jiang and Li (2016) further explore the larger market share of low-cost air carriers in Europe compared with Japan and point to the following differences: (1) HSR was already well established in Japan before the arrival of low-cost carriers, whereas in Europe they emerged in the same period; (2) Europe spans a larger area and has more polycentric city development, which creates more opportunities for low-cost carriers and makes it more difficult to provide HSR services efficiently; and (3) there is a difference in regulatory environment and market conditions, with a relatively more open market attitude in Europe, according to the authors.

3 The environmental impacts of rail and air transport

Key messages

- Environmental pressures arise from activities related to passenger rail and air transport. These include the operation of trains and aircraft, the supply of energy, the transport of travellers to and from the railway station or airport, the auxiliary operations, the up-and-down stream process and maintenance for the trains and aircraft and the construction and maintenance of the infrastructure.
- In addition to negative impacts from air pollution, greenhouse gas emissions and noise, both modes of transport also cause soil and water pollution and habitat damage and produce waste.
- The total environmental costs in the EU-28 of air pollution, climate change, well-to-tank emissions and noise caused by flying are substantially higher (EUR 32.7 billion for a selection of 33 airports) than those caused by rail passenger transport (EUR 7.8 billion). For rail transport, the noise costs and costs related to well-to-tank emissions are the most important. For air transport, the climate change costs (including non-CO₂ impacts) are the largest category.
- For new investment in rail to be environmentally beneficial, the environmental impacts from the construction of infrastructure must be compensated for by the reduced environmental impacts made possible by the opening of the new rail link.

3.1 Introduction

Both rail and air travel lead to an increase in several environmental pressures, but their contribution differs. This chapter gives a general overview of the environmental impacts of the two modes. The environmental pressures (indicated in light grey in Table 3.1) arise from various activities related to rail and air transport. Although the categories

of impacts and activities are largely similar for rail and air, in general the magnitude of the impacts is different. For some of these impacts and activities rail has a better environmental performance than aviation, while for others the opposite is the case or the comparison depends on the specific case that is considered, as will be discussed further in this chapter for the categories indicated in dark grey in Table 3.1.

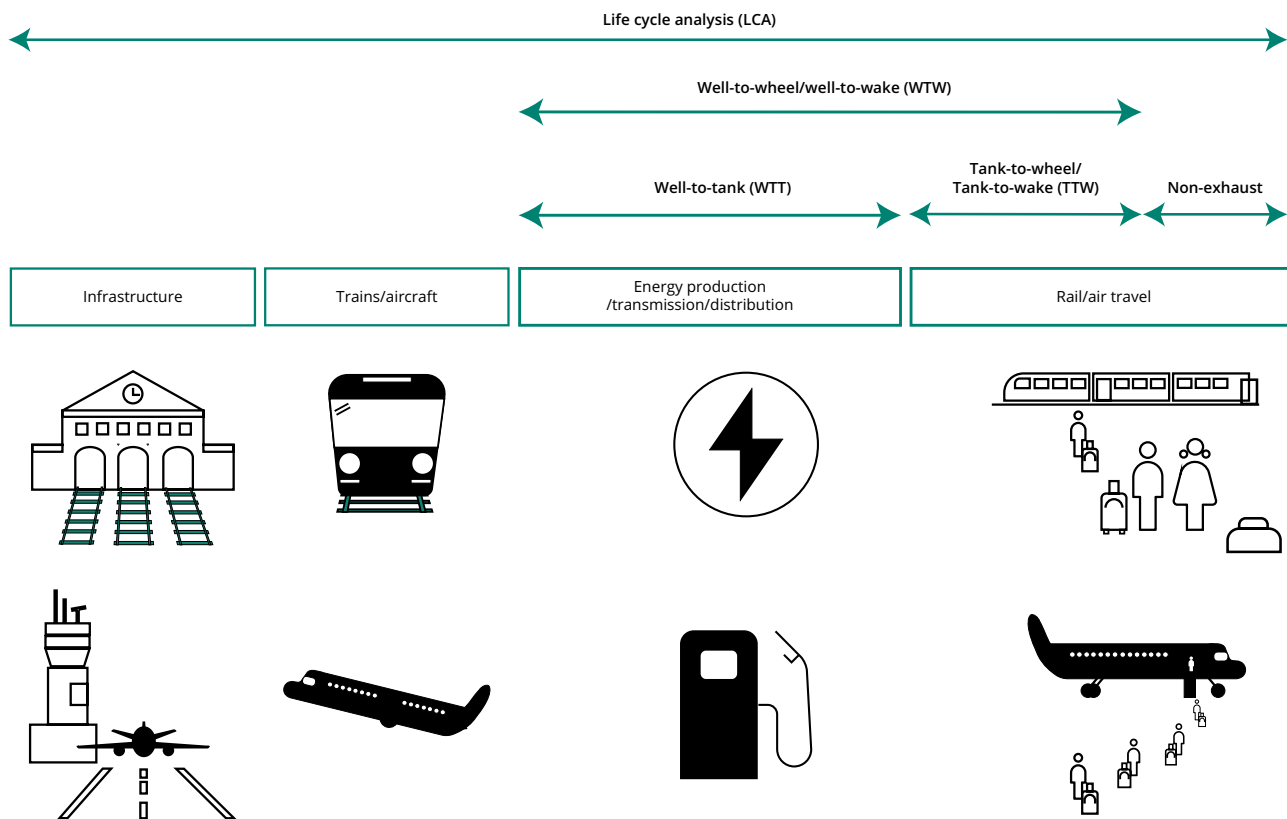
Table 3.1 Overview of activities related to rail and air transport and their environmental impacts

	Rail	Air	Climate change	Air pollution	Noise	Soil and water pollution	Habitat damage	Visual intrusion	Waste
Environmental impacts of train rides and flights (Section 3.2)									
Train/air travel	Train operations	Take-off and landing Climbing out Cruising Approach							
Energy production, transmission, distribution	Electricity generation, transmission and distribution Diesel refining and distribution	Jet fuel refining and distribution							
Environmental impacts of related activities (Section 3.3)									
Travel to/from stations and airports and system operation									
Transport to/from station/airport (Section 3.3.1)	Transport to/from railway stations	Transport to/from airports							
Rail/airport operations	Idling Auxiliaries Shunting	Auxiliary power unit Start-up Taxiing							
Vehicles									
Up-and-down stream process (Section 3.3.2)	Manufacturing of trains and propulsion system and end of life	Aircraft and engine manufacturing and end of life							
Maintenance	Train maintenance	Aircraft and engine maintenance							
Infrastructure									
Construction (Section 3.3.2)	Construction of stations and tracks	Construction of airport and runway, taxiway, tarmac and parking							
Operation and maintenance (Section 3.3.3)	Operation and maintenance of stations and tracks	Operation and maintenance of airport							
		De-icing of aircraft and runways							
		Operation and maintenance of ground support equipment							

Note: Light grey, environmental impacts; dark grey, main impacts discussed in detail.

Source: EEA.

Figure 3.1 Conceptual illustration of the scope of environmental cost calculations



Source: EEA compilation.

For the emissions of greenhouse gases (GHGs) and air pollutants, where possible, both well-to-tank (WTT) and tank-to-wheel emissions (TTW) emissions are considered. The latter are also called tank-to-wake emissions in the case of aircraft. The TTW emissions refer to the exhaust emissions that take place during the operation of the train or aircraft, while the WTT emissions take place during the production, transmission and distribution of the energy used by trains and aircraft. A well-to-wheel/well-to-wake (WTW) approach considers both type of emissions. Transport operations also cause non-exhaust emissions of air pollutants, for example from the abrasion of railway lines or wheels and tyres. The life cycle analysis (LCA) perspective also considers energy and emissions involved in the construction and maintenance of the infrastructure, the manufacturing of the vehicles and end-of-life aspects (Figure 3.1).

The next paragraphs first give an overview of the environmental impacts of train rides and flights. Next, a number of other impacts are discussed, including

the impacts of travel to and from airports and stations, the impacts of the construction and operation of rail and aviation infrastructure, and the impacts of the up-and-down stream processes of vehicles. Although the chapter presents information on the evolution of the total environmental impacts, the main aim is to provide insights into how these two modes compare with each other.

3.2 Environmental impacts of train rides and flights

3.2.1 Climate change

In 2018, transport accounted for 24.6 % of GHG emissions ⁽²⁾ in the EU-27. Within the transport sector, aviation was responsible for 13.2 % of GHG emissions (144.3 megatonnes CO₂ equivalent (Mt CO₂e) and rail for 0.4 % (4.3 Mt CO₂e). The latter refers to the emissions by diesel trains only. This compares with a share of 71.8 %

⁽²⁾ Excluding LULUCF (land use, land use change and forestry) and international maritime emissions and including international aviation and indirect CO₂ emissions.

for road transport and a share of 14.1 % for navigation (EC, 2020b). Apart from these, TTW emissions from rail and air transport also lead to indirect GHG emissions as a result of the production, transport and transmission of the fuels and electricity that they consume. These emissions are called WTT emissions.

Rail transport

For rail transport the TTW GHG emissions are determined by rail travel demand in combination with other factors, including the following:

- the specific energy consumption of the passenger trains (energy per vehicle-km);
- the number of passengers on the trains;
- rail traffic management procedures;
- the GHG emission intensity of energy consumed by rail.

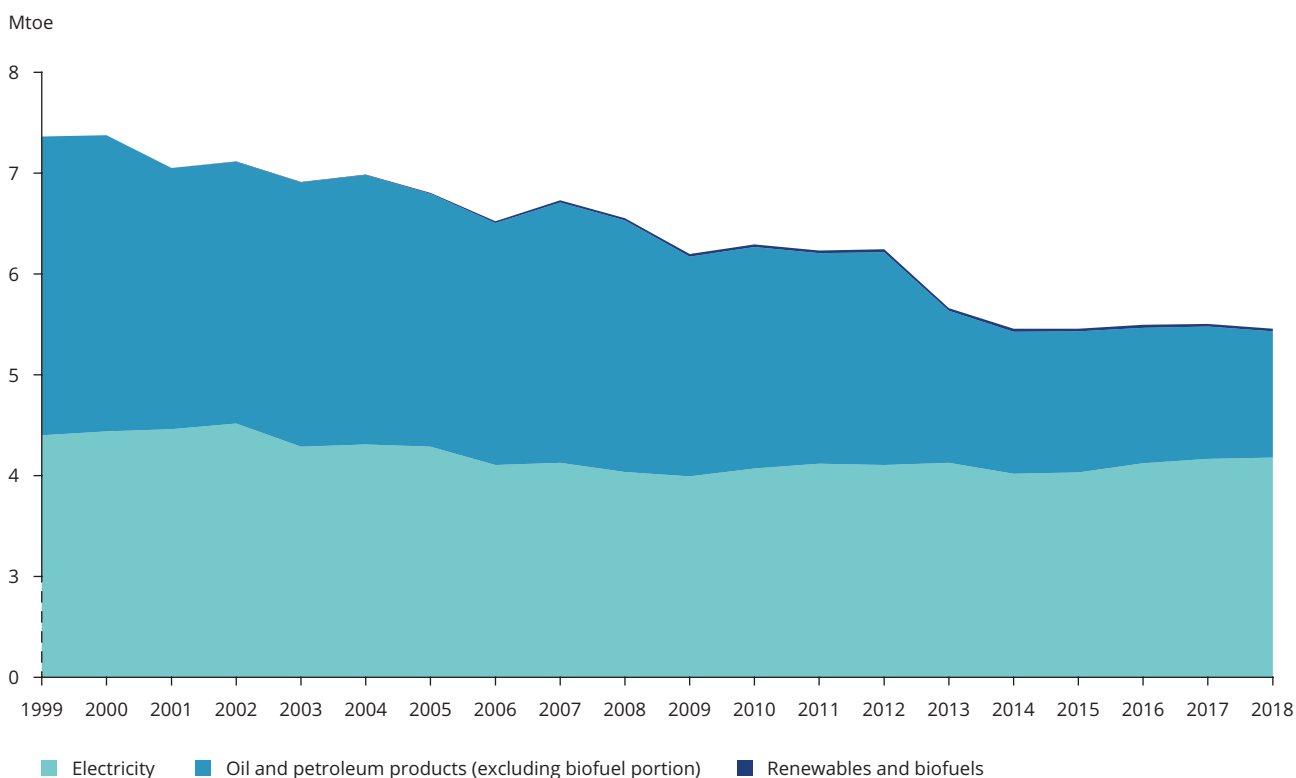
The specific energy consumption of the passenger trains increases with the train size and weight and depends on the speed and powertrain type. Other things being

equal, electric trains are more energy efficient than diesel trains. For the market segments considered in this report, electric trains are the most relevant.

The energy statistics do not allow distinctions to be made between passenger and freight transport or between different distance bands. According to Eurostat, between 2000 and 2018 the consumption of oil and petroleum products by rail in the EU-27 (for the total of passenger and freight rail) more than halved. In 2018 the associated GHG emissions equalled approximately 4.3 million tonnes (Mt). The electricity consumption decreased between 2000 and 2009 and fluctuated thereafter. In 2018 it was 4.7 % higher than in 2009 but 6 % lower than in 2000 (Figure 3.2).

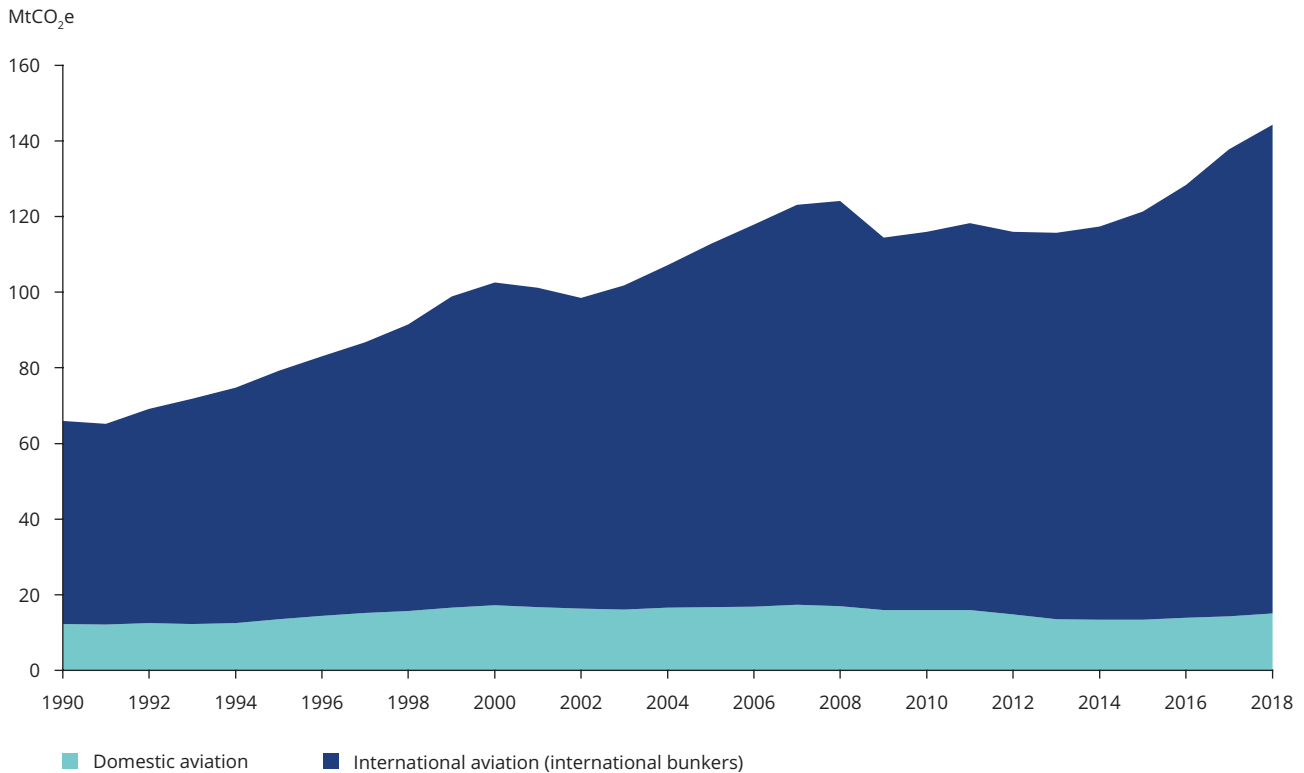
In the same period, electricity production became less CO₂ intensive on average in the EU. Between 2000 and 2017 the average CO₂ intensity of electricity production in the EU-27 evolved from 393.3 to 295.7 g/CO₂ per kWh (EEA, 2020c), which corresponds to a decrease of almost 25 %. Applying this average emission intensity to the electricity consumption by rail transport, the GHG emissions related to electric rail transport can be approximated to have been 14.4 Mt in 2018.

Figure 3.2 Final energy consumption by rail transport, EU-27



Note: Mtoe, million tonnes of oil equivalent.

Source: EEA compilation, based on Eurostat (nrg_bal_s).

Figure 3.3 CO₂e emissions from aviation, EU-27

Note: International bunkers refers to emissions from fuel used for international aviation.

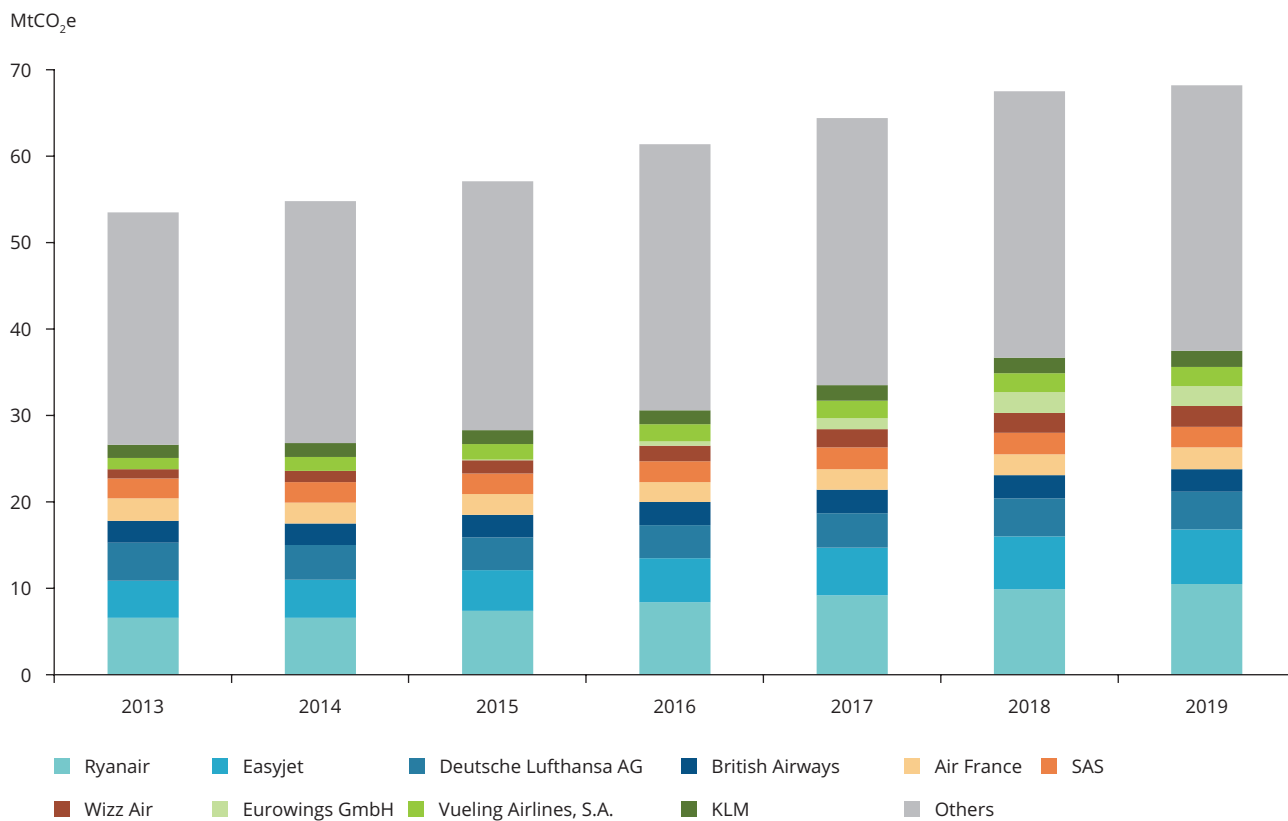
Source: EEA (2020a).

Air transport

Between 1990 and 2018, the TTW EU GHG emissions from domestic aviation (i.e. flights with departure from and arrival in the same country) in the EU-27 increased by 22 % and those of international civil aviation more than doubled (increase of 141 %) (EEA, 2020a) (Figure 3.3). The figures do not allow a distinction to be made between passenger and cargo transport. In 2018, the TTW GHG emissions from domestic civil aviation in the EU-27 were 15 MtCO₂e and those for international aviation totalled 129.2 MtCO₂e.

Further detail on the GHG emissions from aviation connected to flights within Europe can be drawn from the EU Emissions Trading System (EU ETS), which covers flights within the European Economic Area (see also Section 5.3.2). Since 2013, the total emissions of airline operators under the EU ETS have increased from 53.5 MtCO₂e to 68.2 MtCO₂e in 2019 (an increase of more than 27 %) (Figure 3.4). In 2019, the top 10 airline operators were responsible for 55 % of aviation emissions. In the period 2013-2019, Ryanair was the largest airline emitter and in 2018 it also entered the ranks of the top 10 emitters in the overall EU ETS system (EEA, 2019b, 2020a).

Figure 3.4 Aviation GHG emissions in the EU ETS and the top 10 emitters in aviation (2013-2019)



Notes: For the period 2013-2019, only flights within the European Economic Area were under the EU ETS. Flights between the continental European Economic Area and its outermost regions were also exempt.

Source: EEA.

The GHG emissions of aviation are determined by the evolution of air transport demand in combination with additional factors, including:

- the energy efficiency of the aircraft;
- the occupancy rate of the aircraft;
- air traffic management and operations;
- the share of sustainable aviation fuels.

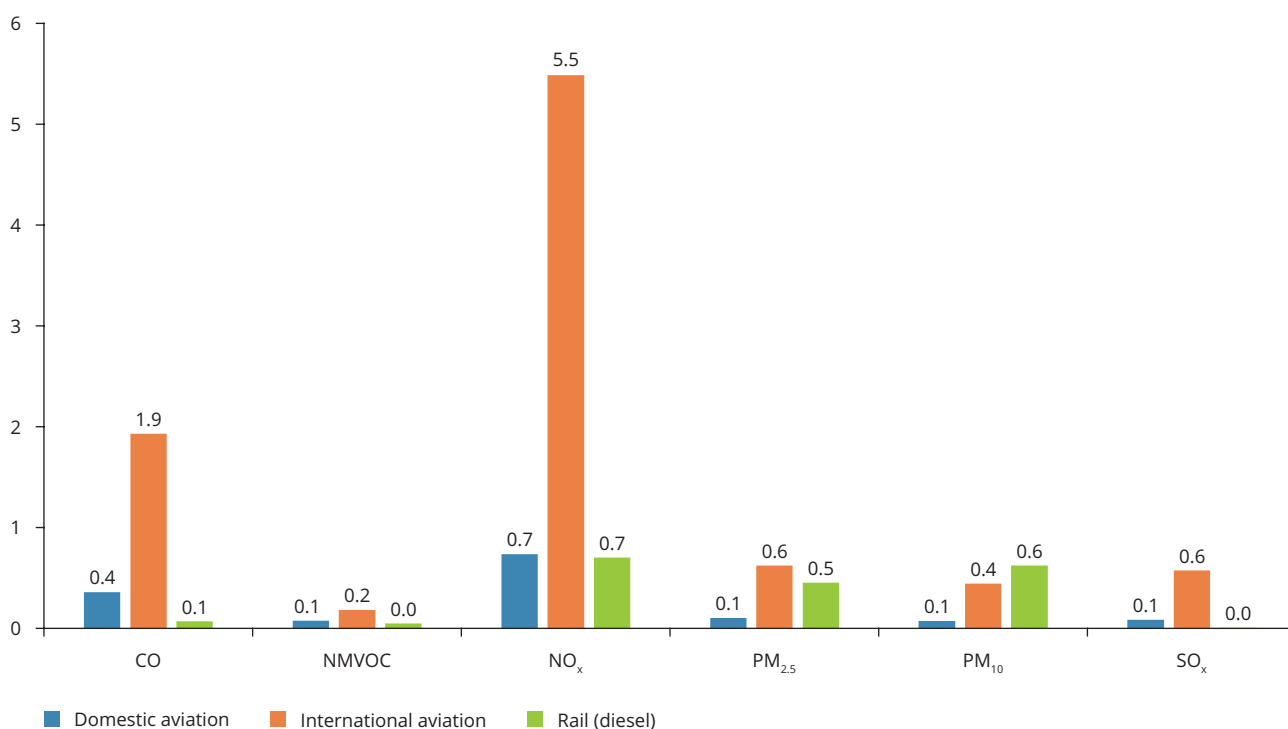
The central outlook for 2040 presented by EASA et al. (2019) for flights departing from the EU-27 and the United Kingdom (UK) and the European Free Trade Association (EFTA) countries projects a growth in CO₂ emissions from 21 % to 37 %, compared with 2017, depending on the technological developments. Although the outlook was produced before the COVID-19 outbreak, it still gives an indication of the potential GHG reduction challenges ahead under various scenarios. Even in the low-traffic case, where the number of flights in 2040 is assumed to be only 6 % higher than in 2017, there is still a need for further GHG abatement, although emissions are then projected to be

8-18 % lower than in 2017 (depending on the technological developments). In the high-demand forecast, the emissions are 61-85 % higher in 2040 than they were in 2017.

In addition to CO₂, aviation also emits short-lived climate forcers, including sulphur dioxide (SO₂), nitrogen oxides (NO_x) and black carbon, leading to changes in the radiative forcing in the atmosphere. Such pollutants can have both global warming and cooling effects, with the net effect being warming. In addition, sulphate aerosols and water vapour can lead to contrails and cirrus cloud formation, and thereby contribute to net climate warming. Unlike CO₂ emissions, the non-CO₂ effects differ as a function of the flight altitude, time of day, weather, location, etc. (Scheelhaase et al., 2016). In the recent update of the handbook on the external costs of transport, the European Commission (EC, 2019d) estimated that the non-CO₂ effects of aviation contribute about half of the climate warming impact of aviation. The uncertainty about the non-CO₂ impacts is larger than for CO₂, especially for cloud-induced impacts (Lee, 2018). A recent report by EASA (2020c) fully confirmed the importance of non-CO₂ climate impacts from aviation.

Figure 3.5 Share of air pollutant emissions by rail (diesel) and civil aviation in total emissions, EEA-32, 2018

Percentage shares



Notes: Share in total emissions, including memo items; the civil aviation emissions include emissions during landing, take-off and cruise. CO, carbon monoxide; NMVOC, non-methane volatile organic compounds; PM_{2.5}, particulate matter with a diameter of 2.5 µm or less; PM₁₀, particulate matter with a diameter of 10 µm or less.

Source: EEA (LRTAP).

3.2.2 Air pollution

Figure 3.5 presents the contribution in 2018 of rail (diesel trains) and air transport to the emissions of air pollutants for all of the 32 member countries of the EEA as of 1 February 2020 (EEA-32).

Rail transport

Rail transport generates TTW emissions of air pollutants via the operation of diesel trains. Both diesel and electric trains also cause non-exhaust particulate matter (PM) emissions via the abrasion of powerlines, wheels on tracks and brakes. The share of diesel trains in total emissions in the EEA-32 is limited. The WTT emissions caused by the production and transmission of electricity for electric trains, which are more relevant for the market segments considered in this report, are not included in Figure 3.5, as they are not reported separately in the emission inventories.

Air transport

Air transport emits several air pollutants during taxiing, take-off and landing, and cruising at altitude.

The TTW emissions of air pollutants by aviation are determined by the evolution of air transport demand in combination with additional factors, including:

- the energy efficiency and the abatement technologies of the aircraft;
- the occupancy rate of the aircraft;
- air traffic management and operational measures.

The share of aviation in the total emissions of air pollutants in the EEA-32 is relatively small. For 2018, the largest share was found for NO_x emissions, to which air transport contributes 5.5 % (Figure 3.5). The share of domestic aviation in the emissions from air transport ranges between 12 % and 30 % depending on the pollutant that is considered (Table 3.2). The share of landing and take-off (LTO) in the aviation emissions is the largest for non-methane volatile organic compounds (NMVOC) (39 %), followed by PM (26 %) and NO_x and SO_x (15 %).

Table 3.2 Share of domestic aviation and landing and take-off in emissions of air pollutants by aviation, EEA-32, 2018

Pollutant	Share of domestic aviation in aviation emissions (%)	Share of landing and take-off emissions in total aviation emissions (%)
CO	16	24
NMVOC	30	39
NO _x	12	15
PM _{2.5}	14	26
PM ₁₀	14	26
SO _x	13	15

Note: PM_{2.5}, particulate matter with a diameter of 2.5 µm or less; PM₁₀, particulate matter with a diameter of 10 µm or less.

Source: EEA (LRTAP).

The WTT emissions from air transport also contribute to air pollution. These are the emissions related to the production and transport of jet fuel. These emissions occur at locations other than where the transport activities take place and hence have a different impact on health and the environment.

Impacts of air pollution

The health impacts of air pollutants depend on several factors, including the altitude at which pollutants are emitted. The largest health effects are related to air pollution concentrations at ground level in areas with a high population density. The concentration levels depend on the emissions of air pollutants by the different sectors, atmospheric transformations and meteorological conditions. Emissions at higher altitudes of SO_x, NO_x and black carbon also lead to climate change impacts (see Section 3.2.1). Air pollution also leads to damage to materials and buildings, crop losses in the agricultural sector and adverse impacts on nature and biodiversity.

3.2.3 Noise impacts

Rail transport and aviation are the second and third sources of environmental noise in Europe. Rail has an impact during the entire trajectory, while the impacts of air traffic mostly occur during LTO. Data submitted by countries under the Environmental Noise Directive (END) (EU, 2002) give an insight into noise exposure for roads, railways, airports and industry within agglomerations, as well as for major roads, major railways and major airports outside agglomerations.

Rail transport

About 20 million people in the EEA-32 (excluding Turkey) are estimated to be exposed to rail traffic noise of at least 55 decibels (dB) during the

day-evening-night period (day-evening-night-level indicator of noise — L_{den}) (Figure 3.6). Half of these people are exposed within urban areas and the other half outside urban areas. Nearly 16 million people are affected by night-time rail noise of 50 dB L_{night} (night-level indicator of noise) and higher, of which 8.6 million people outside urban areas. This means that about 4.3 % of the population is affected by rail traffic noise levels that exceed the thresholds of the END during the day-evening-night period and 3.4 % during the night-time period (2020b). These figures cover both passenger and freight rail; for rail passenger transport they do not make a distinction between short- and long-distance transport.

Air transport

The END defines air traffic noise as noise caused by aircraft LTOs in the areas surrounding airports. Population exposure to aircraft noise is estimated through the calculation of noise contours around airports, which correspond with areas in which the noise exceeds a given level, and by determining the size of the population within these areas. According to the data collected for major airports under the END, it is estimated for the EEA-32 that approximately 2 million people in urban areas are exposed to air traffic noise levels of at least 55 dB during the day-evening-night period and 0.8 million people during the night period. Outside urban areas, the figures are 0.9 and 0.3 million, respectively (EEA (2020b), excluding UK data). The number of people exposed to air traffic noise is smaller than for rail, but the annoyance response to air traffic noise is larger than for rail noise at the same noise levels (WHO Europe, 2018). Moreover, new evidence from the World Health Organization (WHO) shows that the annoyance response to air traffic noise has increased over time and is higher than indicated by exposure-response functions based on older data (EEA, 2020b).

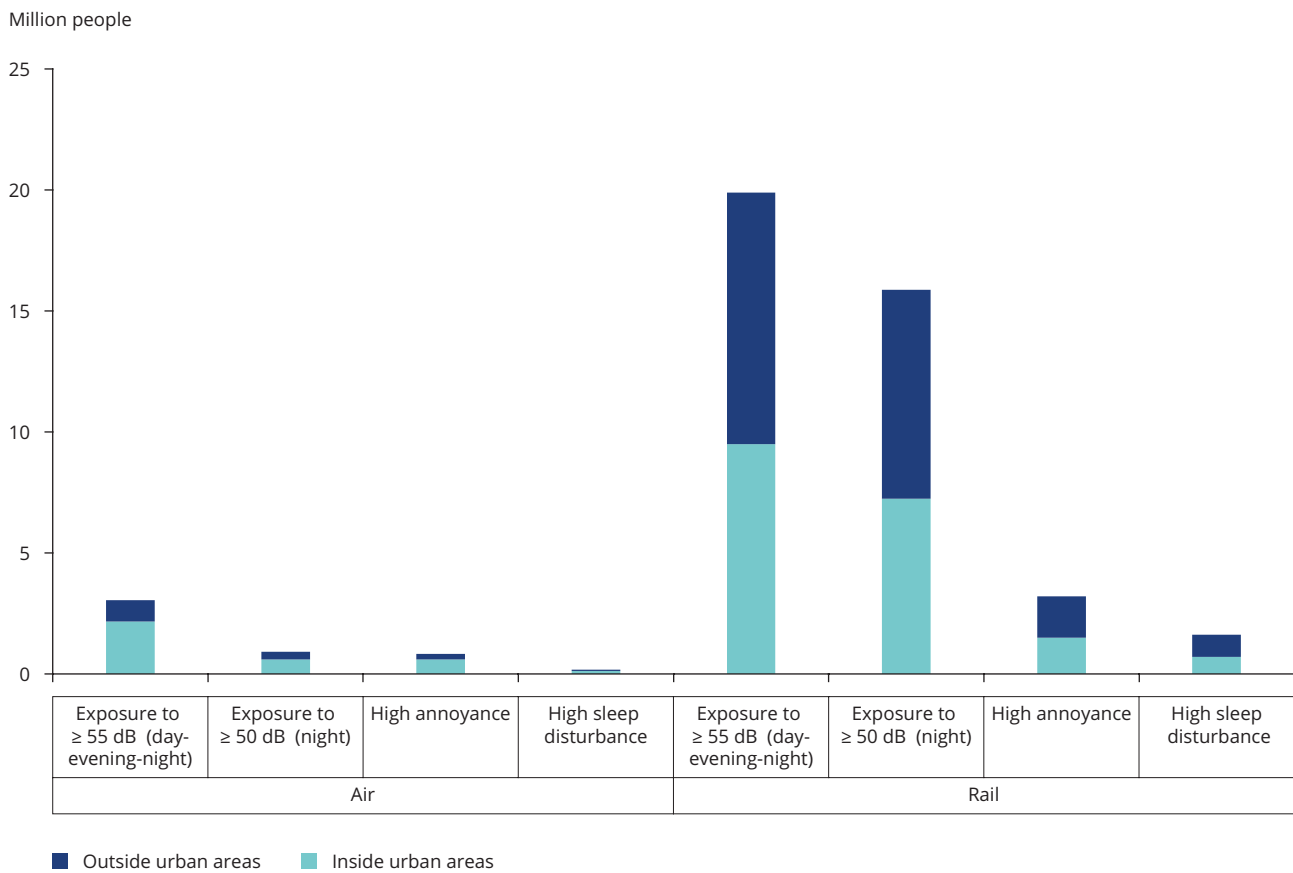
Health impacts of exposure to noise

WHO (2018) indicates that long-term exposure to noise above certain levels can lead to non-auditory health effects, such as annoyance, sleep disturbance, negative effects on the cardiovascular and metabolic systems and cognitive impairment in children. Moreover, noise affects not only humans but also wildlife, leading to a range of physiological and behavioural responses in animals, which can affect their reproductive success, mortality risk and migration patterns (EEA, 2020b).

rail and aviation traffic noise (based on EEA (2020b), excluding UK data). Most people are affected by 'high annoyance' and 'sleep disturbance', for which proportionally more people are affected by aviation than rail noise, considering the population exposed to these two noise sources. Rail and aviation traffic noise also lead to cases of heart disease and premature mortality. For these impact categories, rail affects a greater number of people than air transport. In the case of cognitive impairment in children, evidence is available only to show links with aviation noise (see EEA (2020b)).

Figure 3.6 and Figure 3.7 present an estimate of the number of people in the EEA-32 (excluding Turkey) that experience health problems because of exposure to

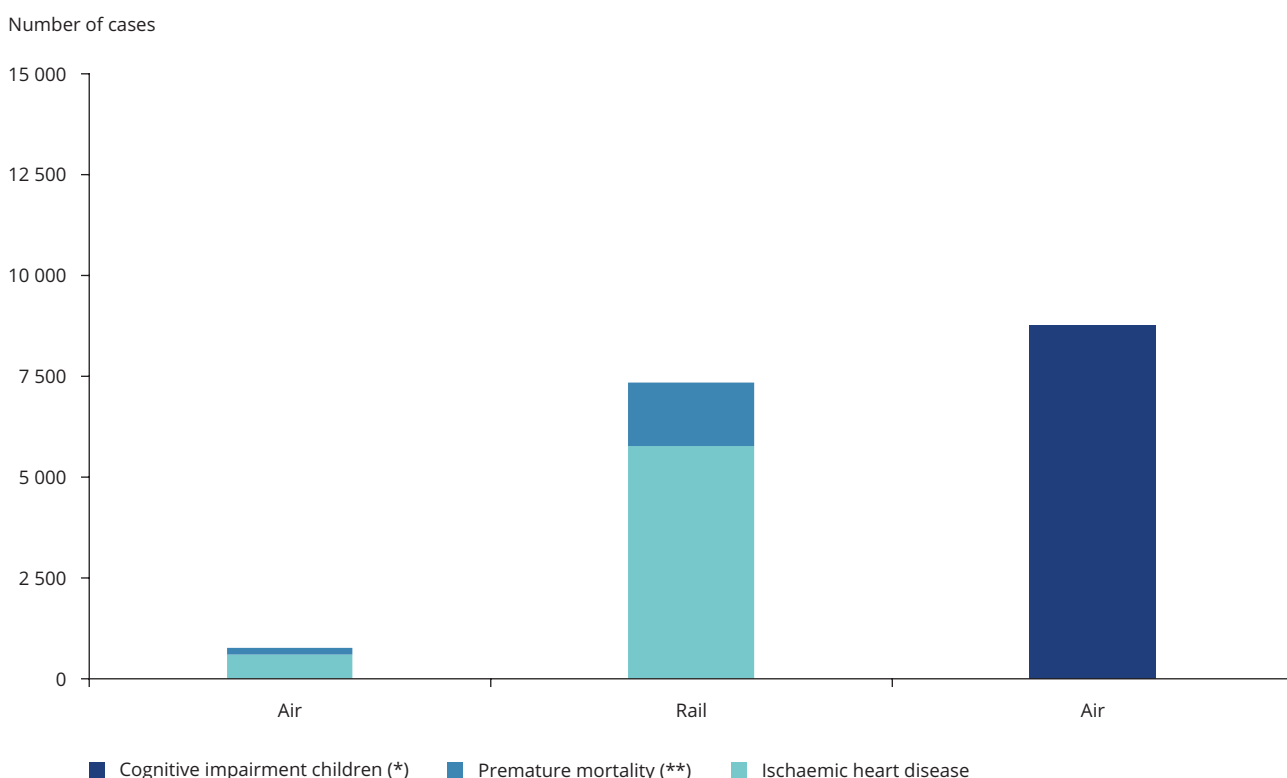
Figure 3.6 People exposed to rail and air noise and associated high annoyance and sleep disturbance



Note: Data for EEA-32 excluding Turkey, 2017.

Source: EEA (2020b) excluding UK data.

Figure 3.7 Premature mortality, ischaemic heart disease and cognitive impairment in children due to exposure to rail and air transport noise



Notes: Data for EEA-32 excluding Turkey, 2017. (*) Evidence available only for aviation noise; (**) mortality due to ischaemic heart disease.

Source: EEA (2020b), excluding UK data.

The estimate of the health impacts made by the EEA (2020b) is likely to be an underestimate, as the data collected under the END cover neither all areas in Europe nor levels of noise below 55 dB $L_{day-evening-night}$ and 50 dB L_{night} .

3.2.4 Water and soil pollution

Rail transport

Trains have an impact on water and soil pollution from the abrasion of brakes, wheels, rail track and overhead lines as well as fuel combustion and other sources. In an analysis of the operation of the 7 200 km of tracks in the Swiss Federal Railways Network, Burkhardt et al. (2008) found an annual release of approximately 2 270 tonnes of metals and 1 357 tonnes of hydrocarbons. Friction processes were the main source of the release of metals. The most important sources of hydrocarbons were wooden sleepers treated with oil. Lubricants from track switches and wheel flanges were the next biggest sources.

Air transport

Jet fuel burning is one of the sources of atmospheric polycyclic aromatic hydrocarbons (PAHs), which are deposited on the soil. Soil and water pollution is also caused by the spreading of aircraft de-icing and/or anti-icing fluids during take-offs in winter time. The pollution may extend for several hundred metres away from the runways (Nunes et al., 2011).

3.2.5 Sensitive areas

Finally, GRACE (2006) and Sutter et al. (2017) point out that some of the impacts that have been described previously may be larger in sensitive areas, such as mountainous regions. For noise and air pollution, both emissions and the resulting noise levels/pollutant concentrations may be different because of topographical and meteorological conditions. The impacts of pollution may also be different in such areas, on account of differences in population density or the presence of more diverse and more valuable

ecosystems. Finally, the monetary value of the impacts may also differ from the EU average, depending on the country where these sensitive areas are located.

3.2.6 Environmental costs of train rides and flights

The previous sections have given an overview of the main environmental impacts of train rides and flights. This section evaluates the overall impacts of the WTT and TTW emissions of air pollutants and GHG, and the noise costs, by expressing them in monetary terms. This sheds light on the relative importance of the various impact categories as well as that of the costs of rail versus air travel.

It draws upon a recent study for the European Commission (EC, 2019d) that aims to provide information on how to generate state-of-the-art estimates for all main external costs of transport, including the environmental costs. The costs presented below are expressed in euros at average EU-28 prices in 2016. For the monetary evaluation of the GHG emissions the results reported here are based on a value of EUR 100 per tonne of CO₂e. For aviation the costs relate to a selection of 33 airports in the EU, which account for slightly more than 50 % of total passengers carried by the main airports in the EU.

Total costs of air pollution, climate change, noise and well-to-tank emissions

The total costs of air pollution, climate change, noise and WTT emissions are higher for the selection of airports (EUR 32.7 billion euro) than for total passenger rail in the EU-28 (EUR 7.8 billion) (Figure 3.8). These figures for air and rail transport compare with a cost of EUR 161.2 billion for road passenger transport.

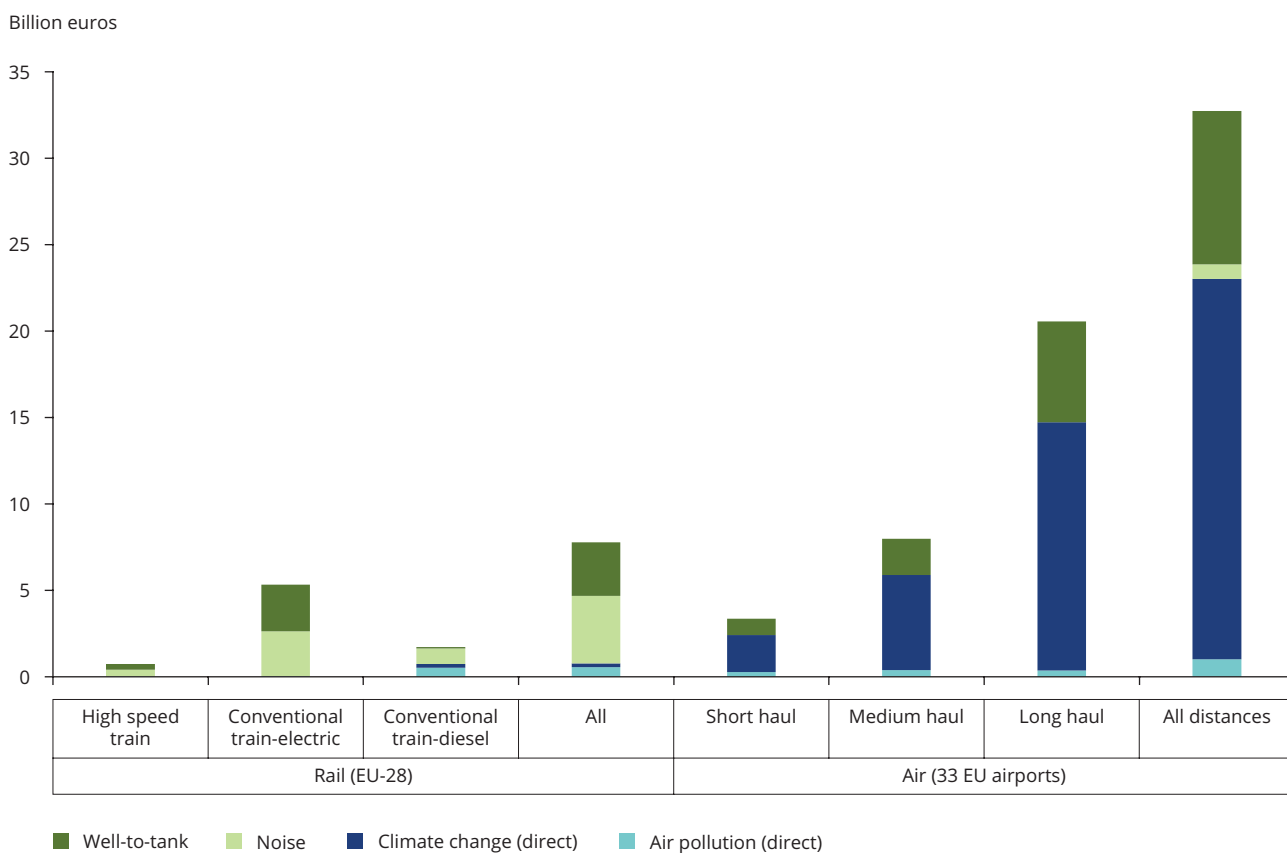
Including the other environmental impacts, and extrapolating to all air transport in the EU, results in a total environmental cost of EUR 48 billion for aviation (for both passenger and freight transport) and EUR 10.4 billion for rail passenger transport.

For rail transport, the noise costs and costs related to WTT emissions are the most important. The WTT category that is reported includes the costs from the WTT emissions of both GHG and air pollutants. Although high-speed rail (HSR) accounts for about 27 % of EU-28 rail travel (see Section 2.2), its environmental costs are about 9.4 % of the costs for passenger rail in total. This is because electric trains are more energy efficient than diesel trains. Although high-speed trains have a higher electricity consumption per train-km than conventional electric trains they have a larger capacity and they can transport more passengers per train.

For air transport, the main costs are related to the TTW GHG emissions, followed by the WTT emissions (in this case of both GHG and air pollutants). For air pollution and climate change, the costs of air transport (Figure 3.8) makes a distinction between short-, medium- and long-haul flights. For the noise costs of air transport, this distinction cannot be made and the costs are reported for all flights. The direct air pollution costs of aviation are relatively small compared with the other cost categories. According to the Commission (EC, 2019d), they consist mainly of costs related to the LTO of aircraft, as the cruising emissions lead to almost no air pollution damage.

The study also considers the climate effects of non-CO₂ emissions by aviation. More specifically, the total climate change costs of aviation are calculated by multiplying the total CO₂e emissions from an aircraft by a factor of 2.

Figure 3.8 Total costs of noise pollution, air pollution, climate change and well-to-tank emissions of rail (EU-28) and aviation (selection of airports), 2016



Notes: Short haul < 1 500 km; medium haul: 1 500-5 000 km; long haul > 5 000 km. Direct = tank-to-wheel and non-exhaust; for aviation including the non-CO₂ climate effects.

Source: EEA, based on EC (2019d).

Average environmental costs of air pollution, climate change, noise and well-to-tank emissions

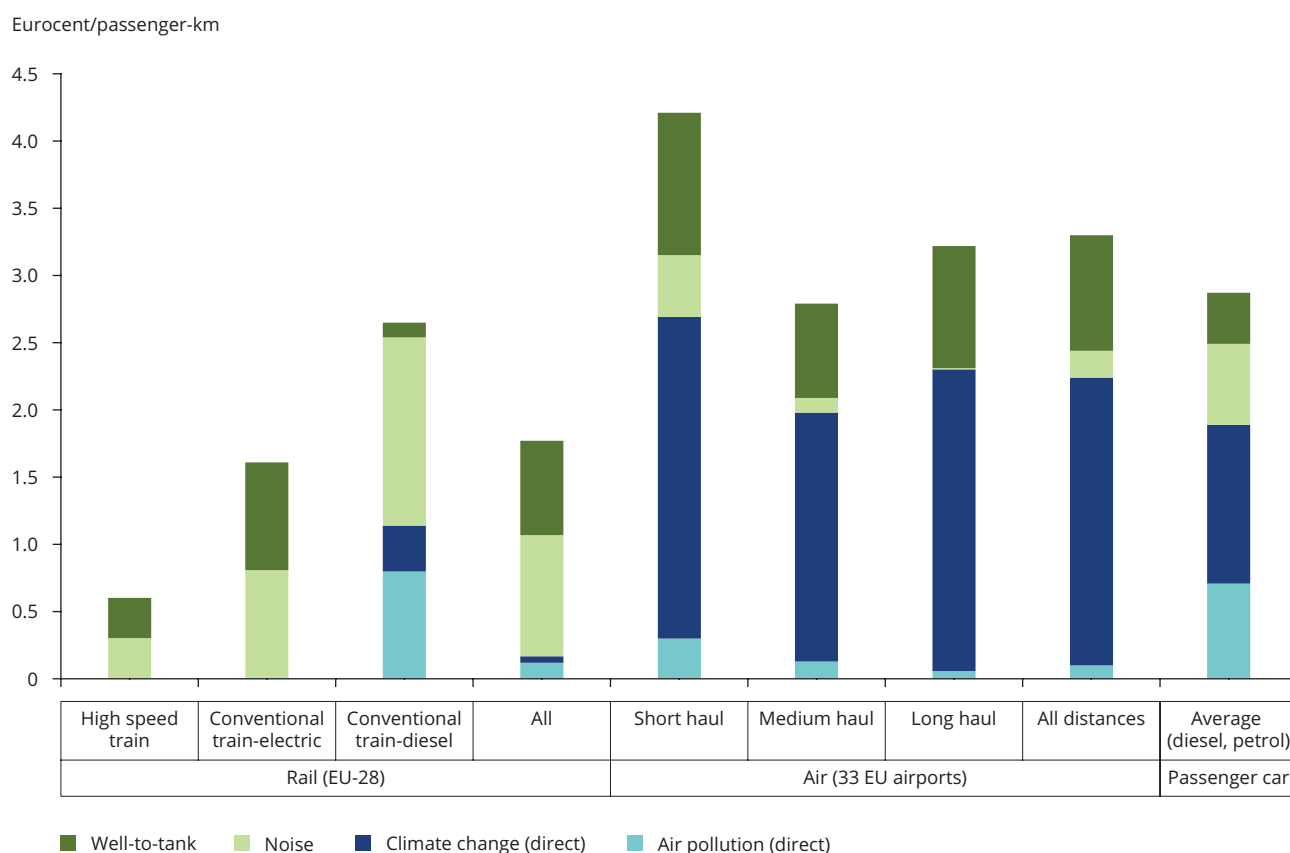
Figure 3.9 presents the average costs per passenger-km associated with the direct (TTW and non-exhaust) and WTT emissions of air pollutants, GHG and noise. These are calculated by dividing the total costs by the total number of passenger-km.

The average costs per passenger-km are substantially lower for electric trains than for air transport. For electric trains they are mainly related to noise and

WTT emissions. For air transport the average costs per passenger-km are the highest for short-haul flights. The main cost drivers of the environmental costs of aviation are the share of the LTO cycle of the total flight (which is higher for short-haul flights), the size and fuel use of the aircraft and the load factor.

To compare, the figure also gives the average environmental costs for an average car, as calculated in the same study. The value is estimated to be EUR 0.029 per passenger-km.

Figure 3.9 Average costs of noise pollution, air pollution, climate change and well-to-tank emissions of rail (EU-28) and aviation (selection of airports) and passenger cars, 2016



Notes: The average costs for electric passenger trains also include those of high-speed trains. Direct = tank-to-wheel and non-exhaust; for aviation including the non-CO₂ climate effects.

Source: EEA, based on EC (2019d).

Marginal external costs of air pollution, climate change and noise

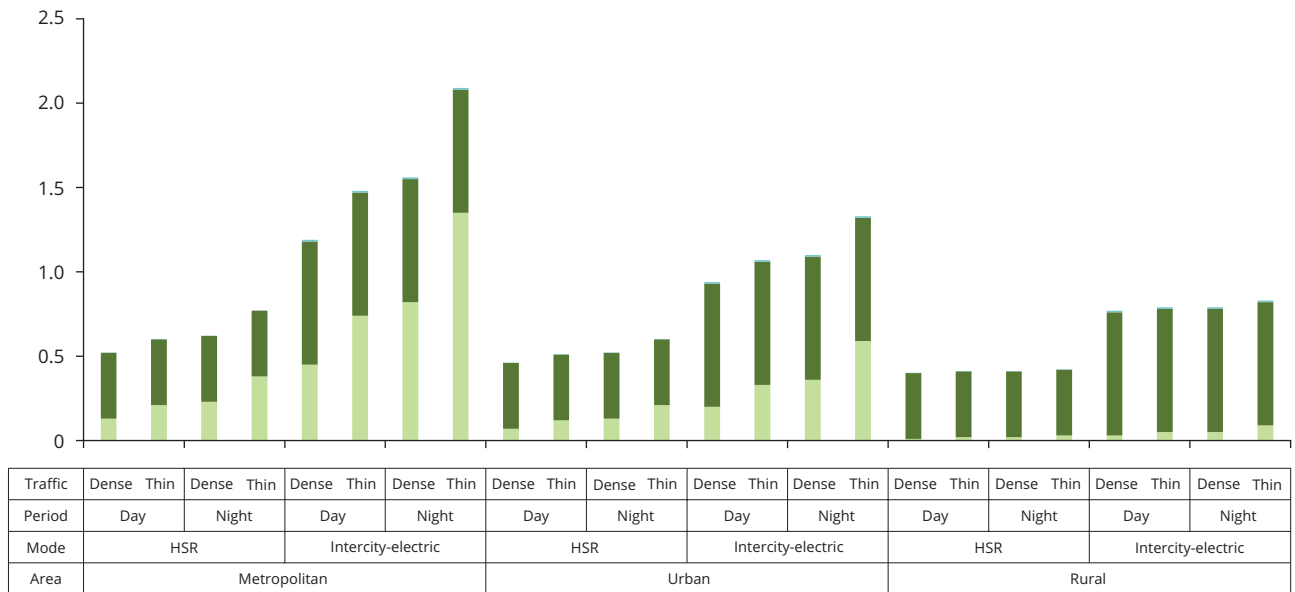
This section explores the marginal costs of noise, and direct and indirect emissions of air pollutants and GHG emissions for a selection of cases. The term 'marginal' means that these are the additional environmental costs that occur as a result of an additional unit of transport activity. The marginal costs are presented for specific trains and aircraft, specific distances and load factors, while the average costs that were presented previously refer to average vehicles, distances and load factors. Looking at the marginal costs sheds more light on the variability of the environmental costs.

types of train and area, traffic conditions and time of day. Related to differences in the noise impacts, the costs are highest in metropolitan and urban areas, as more people are exposed to noise. They are also higher with sparse ('thin' in Figure 3.10) rather than dense traffic, as the higher the existing background noise level, the lower the noise impact of an additional train. They are higher during the night than during the day for the same reason and because the health impacts are higher during the night because of sleep disturbance. They are also higher for intercity trains than for high-speed trains on account of a difference in electricity consumption and associated WTT emissions per passenger-km.

Figure 3.10 — on rail transport — shows that the marginal environmental costs related to air pollution, climate change, WTT emissions and noise vary by

Figure 3.10 Marginal environmental costs of passenger rail transport for selected cases

Eurocent/passenger-km



■ Noise ■ Well-to-tank ■ Air pollution (direct)

Notes: The study assumes the following numbers of passengers per train: HSR — 330; intercity — 180; regional — 105. Direct = tank-to-wheel and non-exhaust.

Source: EEA, based on EC (2019d).

In the case of air transport, the marginal external costs also vary across the cases that are considered. Figure 3.11 gives the marginal costs of direct and WTT emissions of air pollutants and GHG emissions for different aircraft and distance classes. The noise costs would add roughly EUR 0.0005/passenger-km. The costs per passenger-km are influenced by the number of passengers that are assumed to be transported. For

the distance class of 500 km, the costs are substantially higher than for rail, even when not considering the noise costs for aviation. For the distance class 1 500 km, they are also higher but less so.

EC (2019d) also provides a range for the marginal noise costs per LTO, with values of between EUR 77₂₀₁₆ and EUR 154₂₀₁₆ per LTO.

Figure 3.11 Marginal costs of air pollution, climate change and well-to-tank emissions of passenger air transport for selected cases



Notes: The study assumes the following number of passengers per aircraft: Bombardier CRJ900 — 67; Embraer 170 (ERJ-170-100) — 57; Airbus A320-232 — 139; Boeing 737-700 — 108; Airbus A340-300 — 280; Boeing 777-300ER — 373. Direct = tank-to-wheel and non-exhaust; for aviation including the non-CO₂ climate effects.

Source: EEA, based on EC (2019d).

3.3 Environmental impacts of related activities

For a complete comparison of the environmental impacts of rail and aviation it is important to consider the impacts not only of rail and air travel itself but also of the related activities, of which an overview was given in Table 3.1. Some elements are highlighted below.

3.3.1 Environmental impacts of travel to and from railway stations and airports

Taking a door-to-door perspective, the environmental costs of rail and air travel should also consider the environmental costs of travelling to and from the railway station and airport. Depending on the location of the railway stations and airports and their accessibility via public transport, the environmental costs of these trips may differ. The environmental impacts of different modes for first and last mile transport were discussed in last year's transport and environment report (EEA, 2019c).

3.3.2 Up- and downstream impacts of vehicles and infrastructure

Trains, aircraft and auxiliary vehicles

The life cycle of vehicles consists of production, maintenance, repair and disposal. Throughout this life cycle, negative environmental impacts arise, related to the emissions of air pollutants, GHGs and other pollutants. These impacts are related mainly to aircraft and trains but also to auxiliary equipment. For example, aviation requires ground support activities, for which various types of equipment are used, such as auxiliary power units, fuel trucks, aircraft tugs and belt loaders. Rail transport requires machines and vehicles for tasks such as shunting, track treatment and infrastructure monitoring.

As an example of the life cycle costs of vehicles, Figure 3.12 presents results from a study by (Liu et al., 2016), who use an LCA to estimate the energy and emissions from nearly 430 manufacturing activities for the production of aircraft. These activities

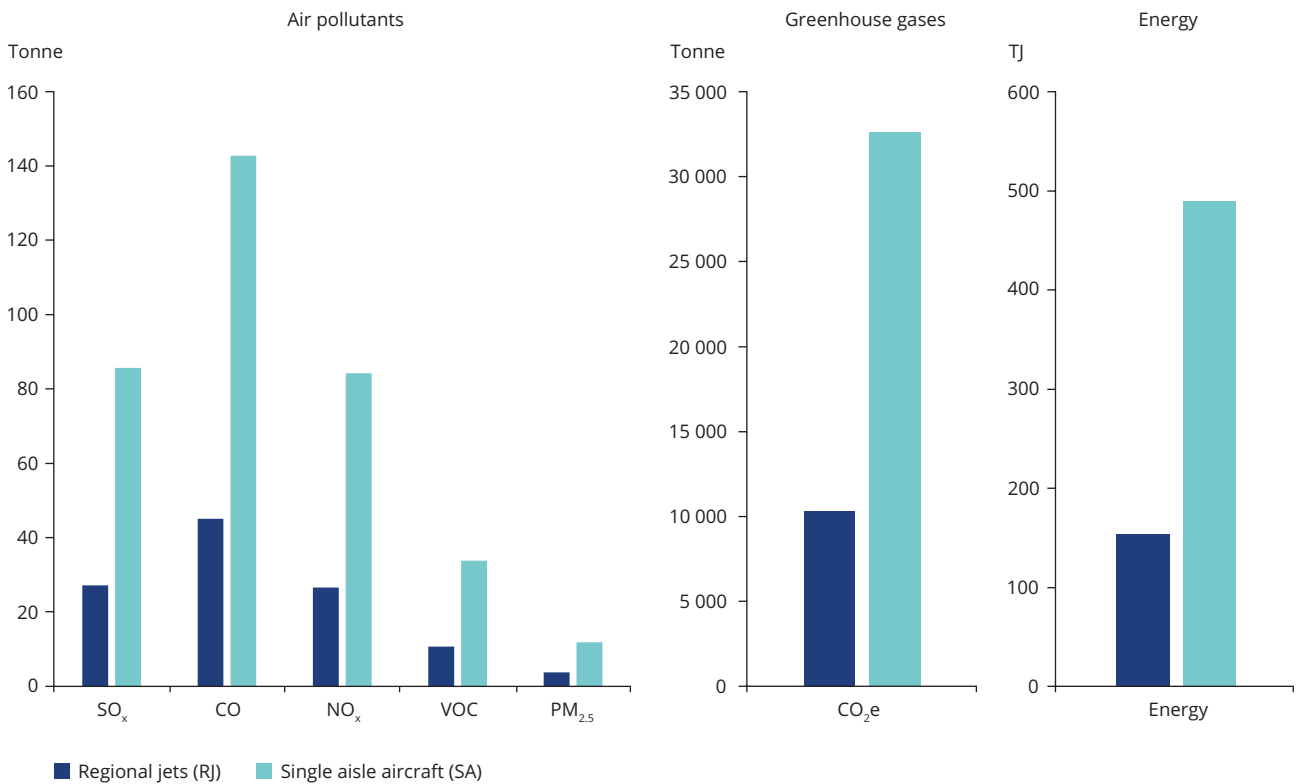
include material production and refining, transport and power generation and supply. Figure 3.12 presents an overview of the results for two aircraft types: regional jets and single-aisle aircraft. The authors indicate that, as more composites such as carbon fibre-reinforced plastic and less metal is used in the construction of aircraft, these numbers may change significantly.

For passenger aircraft, the average retirement age is about 25 years (SGI Aviation, 2018). The same study estimates that worldwide more than 15 000 aircraft will be retired in the next 15 years. The reduction in air travel demand as a result of the COVID-19 crisis has accelerated some retirements (Pallini, 2020).

According to the European Railway Agency (ERA), the life expectancy of a railway vehicle is over 30 years and even up to 50 years for wagons. For rail, detailed studies on the life cycle costs of train manufacturing are more difficult to find, and typically more attention is paid to the environmental impacts of the infrastructure, which is discussed below.

Disposal and recycling decisions for disused rail vehicles and aircraft can also have significant consequences for environmental impacts. Components and materials can be reused, remanufactured, recycled or disposed of. Zhao et al. (2020) explore the economic rationale behind different end-of-life strategies for aircraft and aircraft engines.

Figure 3.12 Energy use and emissions from aircraft manufacturing (per aircraft)



Notes: RJ: regional jet; SA: single-aisle aircraft.

Source: EEA, based on Liu et al. (2016), Table 8.

Infrastructure

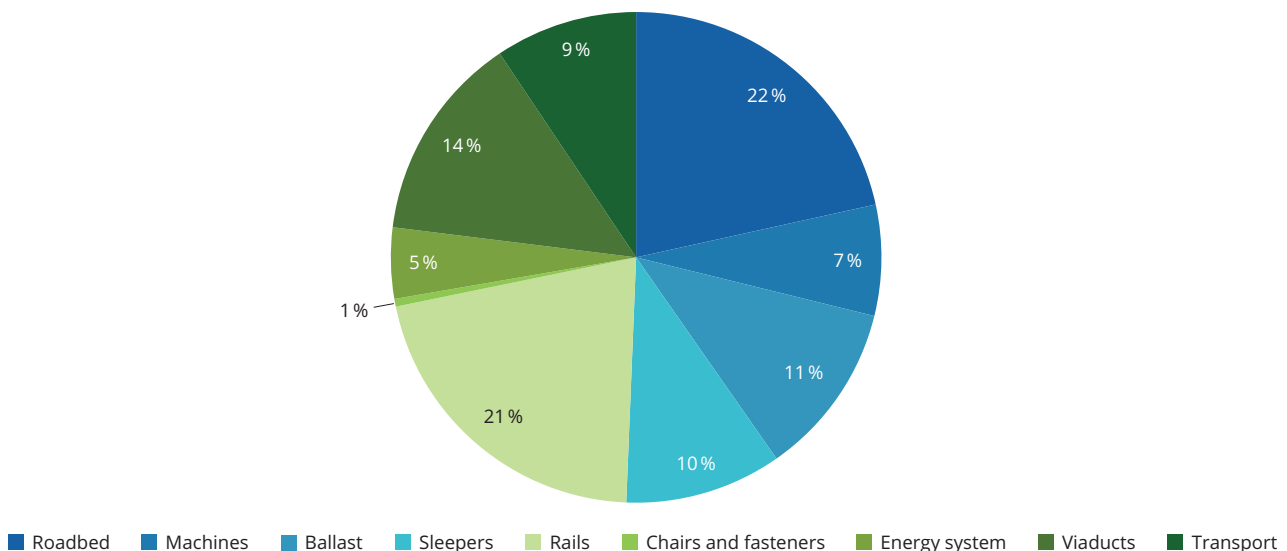
Similar to vehicles, the life cycle of rail and air infrastructure (e.g. stations, airports, railway tracks, rail control centres) consists of the construction, maintenance and disposal of infrastructures. Each of these stages has environmental impacts.

For air transport, GHG emissions are embedded in the construction and expansion of airports. For example, in the comparison of three schemes for the expansion of UK airport capacity, the CO₂e emissions related to the construction of airport facilities and surface access infrastructure were found to range from 3.9 Mt CO₂e (London Gatwick second runway) to 11.3 Mt CO₂e (London Heathrow north-west runway), depending on the magnitude of the construction programme (UK Department for Transport, 2018).

For rail, the literature has paid a lot of attention to the emissions that are embedded in the construction and maintenance of rail (including HSR) lines and the extent to which these can be offset by lower emissions of rail transport compared with the modes it replaces. A review of four HSR lines for the International Union

of Railways (UIC) by Baron et al. (2011) finds that the carbon footprint of the construction of HSR lines ranges from 96 to 270 t CO₂ per km of track per year. For the HSR project in the Basque Country in Spain, a recent study by Bueno et al. (2017) found a footprint of 251 t CO₂ per km of track per year, which is at the high end of this range. This is due to the high number of tunnels and viaducts that are needed for the line. In an LCA for the Tours-Bordeaux high-speed line, de Bortoli et al. (2020) presented various environmental indicators and the extent to which individual construction components and activities contribute to them. Figure 3.13 shows that major contributions to the GHG emissions for that project come from roadbed (22 %) and rails (21 %), followed by the impacts related to viaducts (14 %), ballast (11 %) and sleepers (10 %). Smaller contributors are construction stage transport, building machines, the power supply system and chairs and fasteners. In general these impacts are very project specific and depend on, for example, the terrain, which has implications for the civil engineering structures that are required, the type of tracks (gravel bed tracks versus ballastless tracks — the latter having higher embedded emissions in construction but less maintenance), etc.

Figure 3.13 Contribution of infrastructure components to the life cycle GHG emissions of single-track construction for the Tours-Bordeaux high-speed line



Source: EEA, based on de Bortoli et al. (2020).

For new investments in rail to be environmentally beneficial, the environmental impacts embedded in the construction and maintenance must be compensated for by the reduced environmental impacts made possible by the opening of the new rail link.

Based on Westin and Kågeson (2012) and the International Energy Agency (IEA) (2019), the net impact on GHG emissions of opening a new HSR line depends on the following:

- the GHG intensity of the construction and maintenance of the infrastructure;
- the difference in GHG emissions per passenger-km between rail travel and the modes that it replaces;
- the volume of rail traffic: both new traffic and traffic diverted from other modes;
- the extent to which the new line frees capacity on existing lines.

Regarding this last point, freeing capacity on existing lines would allow for an even larger modal shift towards rail transport, for both passenger and freight transport, with the associated reduction in emissions.

The IEA (2019) identifies cases where a new HSR line can almost immediately lead to net reductions in GHG emissions. These are cases with low GHG emission intensity in the construction of the line, low WTW GHG emissions of rail, a high diversion of traffic from GHG-intensive modes and a high occupancy rate for rail. However, in cases with low potential, where the opposite of these conditions applies, it can take more than 50 years before a net reduction in GHG emissions is realised.

The construction and operation of airports, stations and railway lines also has an impact on land use. Impacts are both direct, through the uptake of land by the hubs and infrastructure themselves, and indirect, through the land development projects that are induced by their presence. Additional land take causes habitat damage and fragmentation, leading to adverse effects on ecosystems and biodiversity. In the case of railway lines, barrier

effects arise in the form of physical and behavioural barriers to wildlife movement, as well as disturbance to populations living close to them, on account of noise, vibrations, chemical pollution and human presence. Mortality among animals trying to cross the infrastructure is another — and most visible — manifestation of the barrier effect. However, the magnitude of the effects is still not very well known (Barrientos and Borda de Agua, 2017). Other impacts of the infrastructures are visual intrusion, soil sealing and soil and water pollution from the use of herbicides. The recently published EU *Taxonomy report*, in its list of assessment criteria for the 'do no significant harm' assessment of the construction of land infrastructure (which includes railway infrastructure), also identified the following other types of potential environmental harm (EU Technical Expert Group on Sustainable Finance, 2020):

- contamination of water during construction and unsustainable use of water during construction and operations;
- unsustainable use of resources during construction, e.g. generating large amounts of waste, no recycling/reuse of construction waste;
- noise pollution as a result of the poor condition of rail tracks;
- change and degradation of hydromorphological conditions of water bodies as a result of railway infrastructure (in particular tunnels), affecting aquatic ecosystems;
- the spread of invasive plants (such as Japanese knotweed) along transport infrastructure.

A number of studies have tried to express the costs of habitat damage and fragmentation in monetary terms. Table 3.3, adapted from EC (2019d), gives an example of such estimates at the EU-28 level for rail and aviation infrastructure, on an annual basis. These are average figures for the EU-28, and it should be kept in mind that local conditions are likely to have a large impact on the costs and that research on this topic as well as on the monetisation of the effects is still ongoing.

Table 3.3 Cost contributing to the costs of habitat damage EU-28 (EUR₂₀₁₆)

	Rail (EUR per km and year)		Aviation (EUR per km ² and year)
	HSR	Other railways	
Habitat loss	57 500	8 200	437 500
Habitat damage	27 000	5 900	
Total habitat damage	84 500	14 100	437 500

Source: Adapted from EC (2019d).

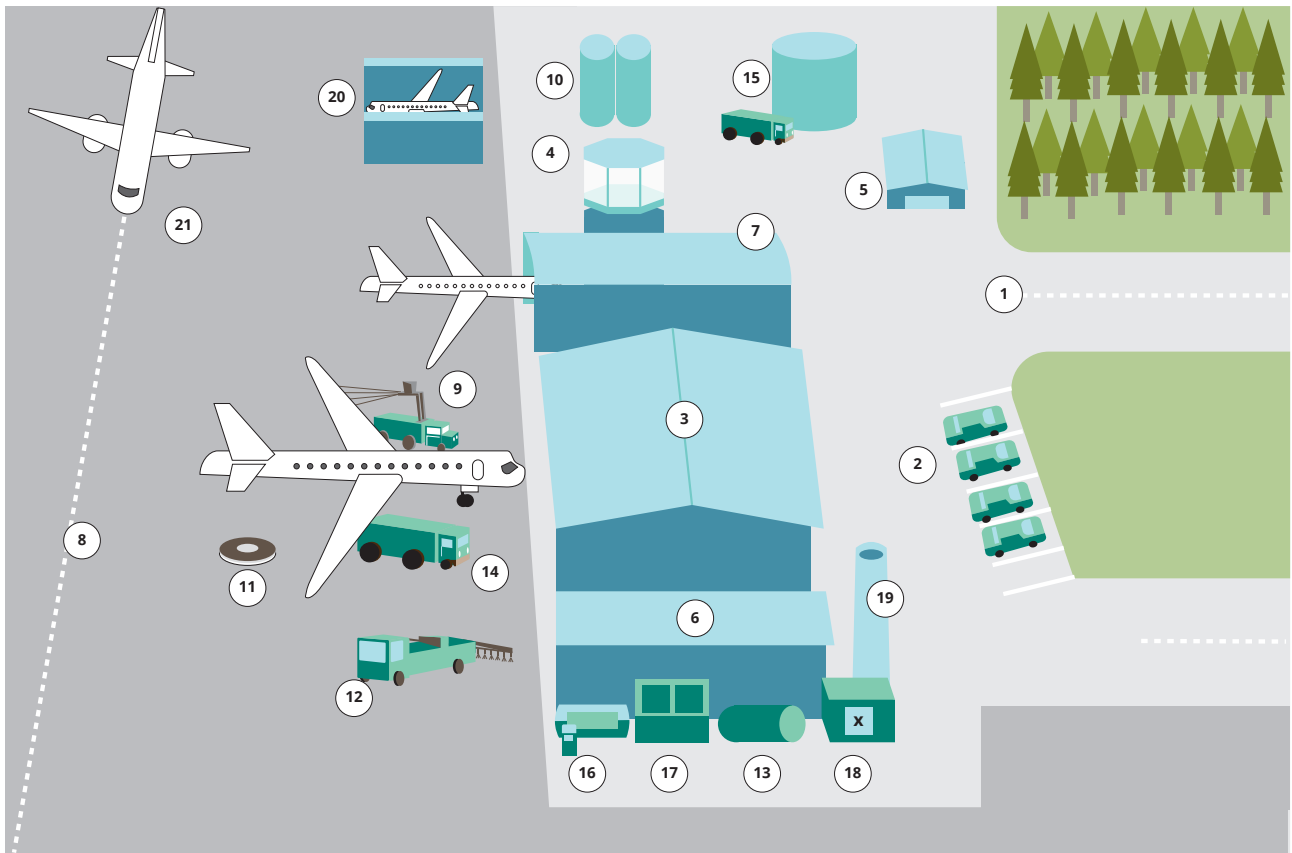
For rail, the same study calculates a total cost of habitat damage in the EU-28 of EUR 2.7 billion per year, or EUR 0.006/passenger-km. For aviation, the total costs per year for the 33 selected airports are estimated to be EUR 0.05 billion or EUR 0.00007/passenger-km and EUR 0.122/passenger.

The costs of habitat fragmentation are related to not only the presence of the transport infrastructure but also the use of that infrastructure. For example, the probability of bird strikes at airports increases with the number of aeroplanes landing and taking off.

3.3.3 Environmental damage caused by the operation of airports and stations

The operation of airports causes various types of environmental damage, for example through the operation of ground support equipment, energy consumption, the generation of waste, the de-icing of aircraft and runways, aircraft cleaning, retail and catering, water demand and the generation of waste water (see also EEA (2017), Nunes et al. (2011) and Gómez Comendador et al. (2019)). Figure 3.14, taken from Gómez Comendador et al. (2019), illustrates the various airport functions that have an impact on the environment.

Figure 3.14 Functional elements of the airport affecting the environment



Functional elements:

- | | | |
|---|--|--|
| 1. Airport access: road connections and public transport to the airport | 8. Runways and taxiways | 15. Tanks for fuel storage |
| 2. Parking | 9. De-icing equipment for aircraft | 16. Fuel for the maintenance team |
| 3. Passenger terminals | 10. Tanks with anti-freeze liquid (glycol) | 17. Urban waste |
| 4. Control tower (air navigation) | 11. Aircraft parking area with drainage pipes | 18. Hazardous waste |
| 5. Aeronautical office buildings | 12. De-icing equipment for the tracks | 19. Services for buildings (water and heating) |
| 6. Aircraft/airport vehicles maintenance facilities | 13. Tanks with antifreeze (acetates and formats) | 20. Aircraft maintenance test |
| 7. Hangars | 14. Equipment for refuelling aircraft | 21. Aircraft take-off, landing and rolling |

Source: Based on Gómez Comendador et al. (2019). Reproduced under the terms and conditions of the Creative Commons CC BY 4.0 licence (<https://creativecommons.org/licenses/by/4.0/>).

In an analogous way, the operation of the railway system also leads to environmental damage. IZT and Macroplan (2012) identified the following main energy consuming activities in stations and

concessions: heating, cooling, lighting, ventilation and air-conditioning in stations, platforms and shops/concessions, station elevators and escalators, maintenance and depots.

4 European Union and national policy context and international agreements

Key messages

- At the EU level, the climate change impacts of rail and aviation are tackled, directly or indirectly, by a 'basket of measures' comprising support for the development of innovative technologies, operational improvements, the promotion of renewable energy through the Renewable Energy Directive and market-based measures, in particular the EU Emissions Trading System. Although the recast of the Renewable Energy Directive does not currently set a specific target for aviation, the European Commission is considering legislative options to boost the production and uptake of sustainable aviation fuels.
- At the international level, the International Civil Aviation Organization (ICAO) sets standards for noise, local air quality impacts and carbon dioxide emissions. Furthermore, the Carbon Offsetting and Reduction Scheme for International Aviation, or CORSIA, an initiative of the ICAO, targets the carbon emissions of international civil aviation. Its pilot phase starts in 2021.
- More general EU policy frameworks, transport policies, initiatives and funding instruments also influence the development of rail and air transport demand and supply and, therefore, the context in which environmental policies operate.

4.1 Introduction

The environmental impacts of medium- to long-distance passenger travel by rail and air are mitigated by various policies at EU and international levels on the one hand and at the national level on the other. First of all, a number of general EU policy frameworks apply to both transport modes. In addition, mode-specific policies are used or will come into use at EU, national

and international levels. The policy instruments include a wide range of measures, including pricing policies (taxes, charges, subsidies), technology standards, other command-and-control measures, infrastructure measures and support for research and development (R&D) as well as more general measures. Table 4.1 gives a general overview, with a focus on the control of the environmental impacts caused by climate change, air pollution and noise.

Table 4.1 Overview of policy frameworks and policies at EU, national and international levels

Environmental impact	Government level	Policies	
		Rail	Air
Climate change	EU	General framework: EU climate and energy framework Renewable Energy Directive European Green Deal	
		ETS (electric trains)	ETS (intra-EEA aviation)
	International		CORSIA CO ₂ standards for new aircraft (ICAO standards)
	National	Fuel taxes Electricity taxes Renewable energy policies	Fuel taxes (domestic flights) or ticket taxes Policies on sustainable aviation fuels
Air pollution	EU	General framework: Air Quality Directive National Emission Ceilings Directive	
		Emission standards for diesel locomotives and railcars (non-road mobile machinery regulation)	Aircraft emission standards (in line with ICAO standards)
	International		Engine emission standards (ICAO standards)
	National	National taxes/charges	Airport charges differentiated by aircraft emission levels
Noise	EU	General framework: Environmental Noise Directive	
		Rail noise emission limits Regulatory framework for noise-differentiated rail track access charges schemes	Noise certification standards (in line with ICAO standards) Regulation (EU) No 598/2014 on the procedures concerning the introduction of noise-related operating restrictions (also taking into account other environmental impacts)
	International		Noise certification standards Procedures concerning the introduction of noise-related operating restrictions
	National	Noise-differentiated rail track access charges Rail noise abatement programmes	Noise-differentiated airport charges Aviation noise abatement schemes Noise-related operating restrictions
General	EU	Sustainable and Smart Mobility Strategy 2020 EU strategy on low-emission mobility 2016 Transport White Paper 2011 TEN-T programme Connecting Europe Facility ERDF and Cohesion Fund/ESIFs Horizon 2020 transport European digital strategy	
		Railway packages Recast of the Interoperability Directive Shift2Rail	Air Service Regulation SES SESAR Clean Sky joint undertaking
	National	Non-environmental rail charges	Non-environmental airport charges and aviation taxes

Note: CORSIA, Carbon Offsetting and Reduction Scheme for International Aviation; ERDF, European Regional Development Fund; ESIF, European Structural and Investment Fund; ETS, Emissions Trading System; ICAO, International Civil Aviation Organization; SES, Single European Sky initiative; SESAR, Single European Sky Air Traffic Management Research; TEN-T, Trans-European Networks for Transport.

4.2 Climate change

4.2.1 EU policies

The UN 2030 agenda for sustainable development and the Paris Agreement on climate change form the general background for the relevant EU policies. The EU's commitment to reaching its objectives finds expression in the EU 2030 energy and climate framework, the Energy Union, the circular economy action plan and the EU implementation of the 2030 agenda for sustainable development. The European Green Deal also forms part of the European Commission's strategy to implement the United Nation's 2030 agenda and the Sustainable Development Goals (SDGs). It seeks a 90 % reduction in transport emissions by 2050 compared with 1990 (EC, 2019b).

The Renewable Energy Directive (2009/28/CE) and its revision in 2015 through Directive (EU) 2015/1513 set the regulatory framework for renewable energy in Europe up to 2020. It sets a target of a 10 % share of renewable energy by 2020 in transport. For evaluating whether the target has been achieved, the target is computed as the ratio of the amount of all types of renewable energy consumed in all forms of transport and of the amount of petrol, diesel, biofuels and electricity used in road and rail transport. Renewable fuel in aviation can in principle also contribute to the target share. The directive imposes additional restrictions on the type of fuels that can be used.

In the EU transport sector, renewable energy made up around 8.3 % of all energy use in 2018. With renewable electricity playing only a small role in transport, the bulk of renewable energy use in this sector comes from biofuels (Eurostat, 2020b). The use of sustainable aviation fuels (SAFs) in the EU is very small — for 2017 it was estimated to be only about 0.05 % of the total jet fuel consumption (EC, 2020c).

The RED II, as the recast of the Renewable Energy Directive (Directive (EU) 2018/2001) is known, applies to the period 2021-2030 and confirms the increased use of renewable energy as an instrumental part of the actions required to meet the EU climate change mitigation objectives. For transport, which in 2018 still relied on fossil fuels for 94 % of its energy supply (Eurostat, 2020c), the share of renewable energy supplied for final energy consumption should be at least 14 % by 2030. The target is set with respect to all types of fuels consumed in road and rail transport. Limits are imposed on biofuels made from crops with a high risk of causing high indirect land use change (ILUC), i.e. food or feed crops or those crops for which a significant expansion of the production area would be into land with high carbon stocks. Such biofuels should gradually be phased out by the end of 2030.

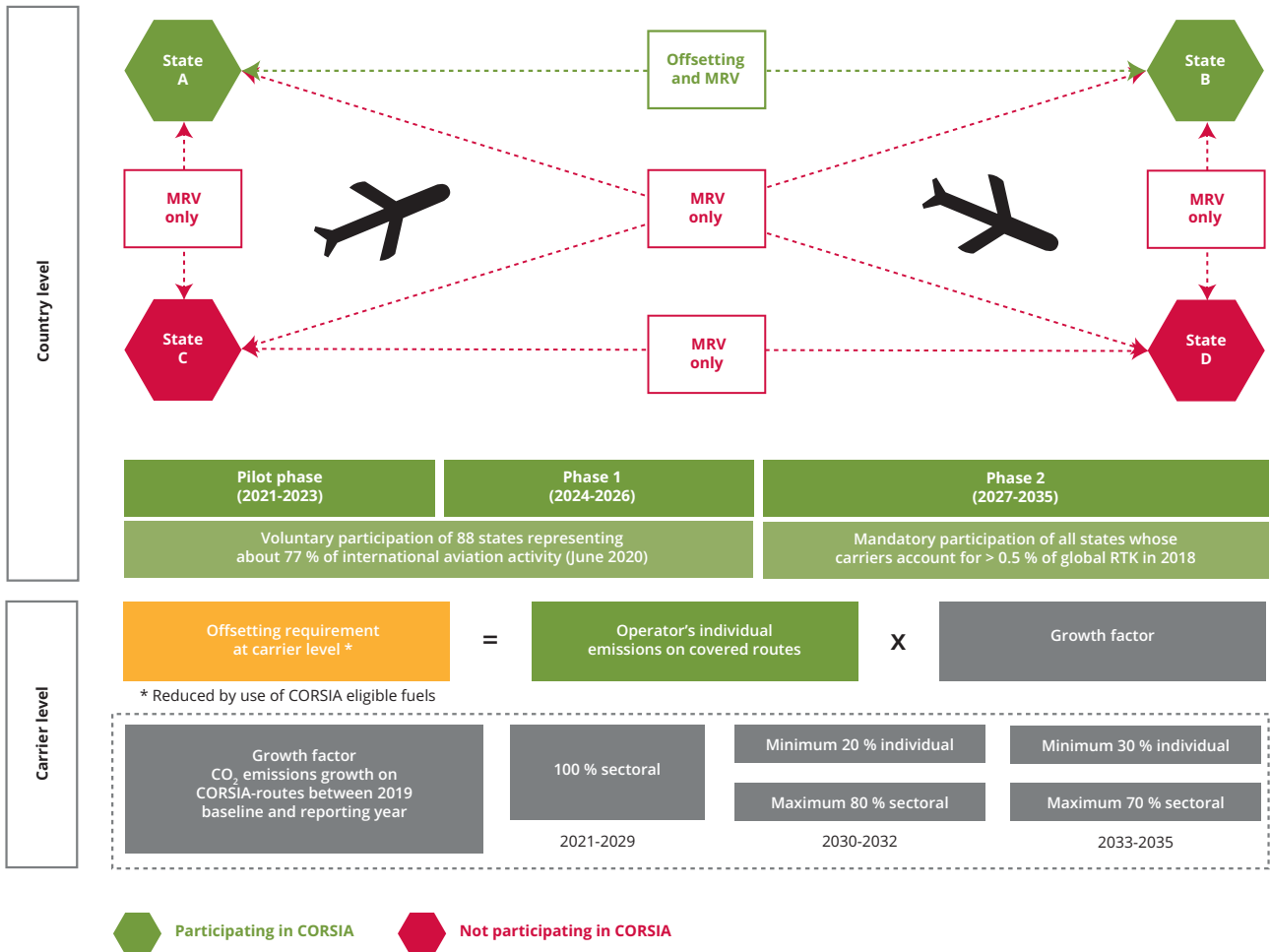
Certain biofuels and types of biogas get an extra incentive, as their energy content is multiplied by two in calculating the share of renewables. This applies to two groups of fuels: (1) fuels produced from used cooking oil and animal fats, for which a maximum share is also imposed; and (2) the so-called advanced biofuels for which a gradually increasing minimum share also applies. Extra incentives are given to the use of renewable electricity in rail transport and to the use of renewable fuels (other than food- and feed-based fuels) supplied to aviation.

No specific target is set for aviation. However, the European Commission is currently considering legislative options to boost the production and uptake of SAFs (EC, 2020c).

The greenhouse gas (GHG) emissions of rail and of aviation within the European Economic Area are addressed directly or indirectly by the EU Emissions Trading System (ETS), which is discussed further in Section 5.3.2. For rail, the EU ETS is relevant for electrified rail transport. About 72 % of total rail transport (in terms of train-km) takes place on electrified lines (Schroten et al., 2019). By incentivising the electrification of rail infrastructure for the Trans-European Transport Network (TEN-T) 'core network', the TEN-T policy leads to a shift in GHG emissions from rail transport into the EU ETS. For aviation, the current scope of the EU ETS covers only flights within the European Economic Area, which corresponds to about 36 % of the CO₂ emissions from aviation (including international bunkers) of the countries in the European Economic Area in 2018. The rest of the emissions, from inbound or outbound flights to and from the European Economic Area, are currently outside the scope of the system.

For air transport, the 1944 Chicago Convention on International Civil Aviation (Article 24) established the principle that fuel on board aircraft flying to, from or across the territory of another contracting state should be exempt from any national or local duties and charges. A series of bilateral agreements have subsequently extended this exemption to 'fuel supplied in the territory of one State party to an airline of the other party' (EEA, 2016). Under the EU Directive on the common system of value added tax (VAT) (2006/112/EC), the supply of goods for the fuelling and provisioning of aircraft for commercial air traffic on international routes should be exempt from VAT (Article 148). The Energy Taxation Directive (2003/96/EC) states that aircraft fuel, for commercial operations, is exempt from excise duty. Still, Member States may limit the exemption to intra-community and international flights. Currently no aviation fuel taxes are levied in the EU (CE Delft and SEO Amsterdam Economics, 2019). The European Green Deal states that '... in the context of the revision of the Energy Taxation Directive, the Commission will look closely at the current tax exemptions including for aviation and maritime fuels ...' (EC, 2019b, p. 10).

Figure 4.1 Design of the Carbon Offsetting and Reduction Scheme for International Aviation (CORSA)



Note: MRV, Monitoring, reporting and verification.

Source: Maertens et al. (2019). Reproduced under the terms and conditions of the Creative Commons CC BY 4.0 licence (<https://creativecommons.org/licenses/by/4.0>).

4.2.2 International

At the international level, the Carbon Offsetting and Reduction Scheme for International Aviation (CORSA) (ICAO, 2019a), an initiative of the International Civil Aviation Organization (ICAO), targets the carbon emissions of international civil aviation (i.e. civil aviation flights that depart in one country and arrive in a different country). Figure 4.1 gives an overview of the scheme. The ambition is to limit the carbon emissions from this sector to the level of emissions in 2020 (so-called carbon neutral growth from 2020).

CORSA is a world-wide scheme that enables the air transport sector to offset its non-domestic emissions by purchasing and cancelling eligible carbon credits and/or deducting CO₂ emissions corresponding to the use of certain fuels. It will be implemented in three phases:

- The pilot phase (2021-2023) and first phase (2024-2026) will apply to states that have volunteered to participate in the scheme.
- The second phase (2027-2035) will apply to all states that have an individual share of international aviation activities, in revenue tonne-kilometres (RTKs), in 2018 above 0.5 % of total RTKs or that are within the 90 % cumulative share, from largest to smallest, of all international aviation activity in the form of RTKs. The least developed countries, small island developing states and landlocked developing countries are excluded unless they volunteer to participate in this phase.

In June 2020, the ICAO Council determined that the CORSA baseline, originally calculated as the average of 2019 and 2020 emissions from the aviation sector, will

be changed to the value of the 2019 emissions for the CORSIA implementation during the pilot phase from 2021 to 2023 (ICAO, 2020c).

In each phase, all international flights on the routes between states, both of which are included in CORSIA, are covered by the offsetting requirements of CORSIA. As of 30 June 2020, 88 states, corresponding to 76.82 % of international aviation activity, have indicated that they will voluntarily participate in CORSIA from its outset (ICAO, 2020a).

In the two first phases of CORSIA, the offsetting requirements of aircraft operators will be calculated on a sectoral approach, while a hybrid approach will be adopted afterwards.

- From 2021 to 2029, the amount of CO₂ offsetting requirements for each operator will be calculated by multiplying the operator's annual emissions with a single sectoral growth factor every year.
- From 2030 onwards, the amount of CO₂ offsetting requirements will be calculated following a hybrid approach that takes into account both the sectoral growth factor and growth factors of individual operators; the individual factors' contribution to the calculation of CO₂ offsetting requirements will be at least 20 % from 2030 to 2032 and at least 70 % from 2033 to 2035.

The use of certain fuels, the so-called CORSIA eligible fuels, can reduce aircraft operators' offsetting requirements under CORSIA. Two categories of fuel can be distinguished:

- CORSIA sustainable aviation fuel. This is a renewable or waste-derived aviation fuel that meets the CORSIA sustainability criteria.
- CORSIA lower carbon aviation fuel. This is a fossil-based aviation fuel that meets the CORSIA sustainability criteria. These are traditional fossil fuels produced in a way that reduces their climate impact.

Table 4.2 presents the current CORSIA sustainability criteria for the CORSIA eligible fuels (additional criteria are undergoing adoption procedures). The baseline life cycle emissions value of jet fuel, to which the life cycle emissions of alternative fuels are compared, is set at 89 g CO₂e/MJ.

It is expected that offsetting emissions will remain the less expensive option in the short term and that CORSIA will not trigger the large-scale use of SAF. For example, Mayeres et al. (2019) found that with offset prices of EUR 10 to 50 per tonne of CO₂ there would be no uptake of SAFs in 2030. However, the higher fuel costs associated with the offset requirement lead to some emission reduction in the aviation sector itself. The bulk of the emission reduction would be realised via offsetting emissions in other sectors.

CORSIA complements international CO₂ standards for new aircraft that were adopted by ICAO in 2017. The standards apply to type designs from 2020 and to aircraft that are already in production as of 2023. Those in-production aircraft that do not meet the standard by 2028 can no longer be produced unless their designs are sufficiently modified (ICAO, 2019a).

Table 4.2 CORSIA sustainability criteria for CORSIA eligible fuels

Theme	Principle	Criteria
GHGs	Principle: CORSIA eligible fuel should generate lower carbon emissions on a life cycle basis.	Criterion 1: CORSIA eligible fuel should achieve net GHG emissions reductions of at least 10 % compared with the baseline life cycle emissions values for aviation fuel on a life cycle basis.
Carbon stock	Principle: CORSIA eligible fuel should not be made from biomass obtained from land with high carbon stock.	<p>Criterion 1: CORSIA eligible fuel should not be made from biomass obtained from land converted after 1 January 2008 that was primary forest, wetlands or peat lands and/or contributes to the degradation of the carbon stock in primary forests, wetlands or peat lands, as these lands all have high carbon stocks.</p> <p>Criterion 2: In the event of land use conversion after 1 January 2008, as defined based on IPCC land categories, direct land use change (DLUC) emissions shall be calculated. If DLUC greenhouse gas emissions exceed the default induced land use change (ILUC) value, the DLUC value will replace the default ILUC value.</p>

Note: IPCC, Intergovernmental Panel on Climate Change.

Source: ICAO (2019b).

4.2.3 National

At the national level, many countries levy fuel taxes on diesel consumption by trains. According to Schrotten et al. (2019), Belgium, Hungary and Sweden do not charge fuel taxes on diesel for rail transport and in the other EU-27 countries there is significant variation in the rates. According to the same study only 16 of the EU-27 Member States tax the electricity used in rail transport (and two have no rail system). Two countries in Europe tax jet fuel for domestic flights, both of them non-EU countries (Norway and Switzerland). A number of countries in the European Economic Area levy aviation ticket taxes.

There are initiatives in some European countries to support the uptake of SAF. For example, Norway introduced the world's first national mandate for jet biofuel in 2018, calling for at least 0.5 % SAF from sustainable non-food sources from 2020. The Norwegian government aims to increase this mandate to 30 % by 2030 (Norwegian Ministry of Climate and Environment, 2019). The Netherlands is studying SAF supply obligations with a 14 % target in 2030 (Ministerie van Infrastructuur en Waterstaat, 2020). Similar mandates have also been proposed in Finland, France, Germany, Spain and Sweden.

4.2.4 Voluntary schemes and company initiatives

Examples also exist of voluntary schemes organised at the level of an airline or another organisation, whereby individual travellers compensate for their GHG emissions by making a financial contribution to a GHG-reduction project or pay for an uptake of SAFs. Examples of the latter are the corporate biofuel programme of Royal Dutch Airlines (KLM, 2020), Lufthansa's Compensaid platform (Lufthansa, 2020) and the Swedish Fly Green Fund (Fly Green Fund, forthcoming). Several European airlines have recently implemented airline-level voluntary offsetting schemes, such as EasyJet, Air France and British Airways (Air France, 2020; British Airways, 2020; Easyjet, 2020). Other examples of such schemes are documented in Deane and Pye (2016) and Becken and Mackey (2017). Irwin (2009) describes theoretical and empirical evidence of cases in which people take voluntary actions to reduce their emissions.

The experience of most voluntary contribution schemes is that their uptake is relatively limited and that they therefore make only a limited contribution to sustainable fuel uptake or GHG offsets. They can therefore be expected to lead to emission reductions that are smaller than socially optimal (Irwin, 2009). However, they help raise awareness and may signal customers' preference for more sustainable air travel to airlines.

4.3 Air pollution

The Air Quality Directive and the National Emission Ceilings Directive form the general framework for air quality in Europe. The former introduces ambient air quality standards for the protection of human health, while the latter sets national commitments to reduce emissions for Member States and the EU for five air pollutants: (1) nitrogen oxides (NO_x), (2) non-methane volatile organic compounds (NMVOCs), (3) sulphur dioxide (SO₂), (4) ammonia (NH₃) and (5) fine particulate matter (PM_{2.5}).

Within Europe, railway engines' emissions are regulated by the non-road mobile machinery (NRMM) Regulation (EU) 2016/1628. At the national level, Schrotten et al. (2019) found that in rail infrastructure access charges there is only a small differentiation according to emission characteristics.

In the case of aviation, the EU has set aircraft emission standards in line with the engine certification standards set by the ICAO (ICAO, 2019a). The ICAO emissions certification standards regulate smoke and various gaseous emissions from aircraft engines, including unburned hydrocarbons (HCs), carbon monoxide (CO), nitrogen oxides (NO_x) and non-volatile particulate matter (nvPM). The smoke limit aims to control visible emissions, whereas the limits for gaseous emissions and nvPM were set to address local air quality issues in the vicinity of airports. A reference landing and take-off (LTO) cycle is used as the basis for the calculation of the mass of gaseous emissions and the mass and number of particles. The standards apply to new certified engine types and sometimes in-production engines (EASA et al., 2019). In March 2020, ICAO adopted a new nvPM mass and number engine emission standard that applies to both new and in-production engines from 2023 onwards (ICAO, 2020b). Such harmonised standards give a long-term incentive to invest in emission reductions. They are complemented at some airports by charges that are differentiated according to the emission characteristics of the aircraft (Schrotten et al., 2019). These charges vary between airports.

4.4 Noise

The Environmental Noise Directive (Directive 2002/49/EC) sets the general framework for environmental noise management.

Noise emission limits are applied in the EU for new railway rolling stock. The obligation forms part of the Railway Interoperability Directive ((EU) 2016/797), through a technical specification for interoperability on noise. Since its initial adoption in 2005, it has been amended several times. The latest version is Commission Regulation (EU) No 1304/2014 on the

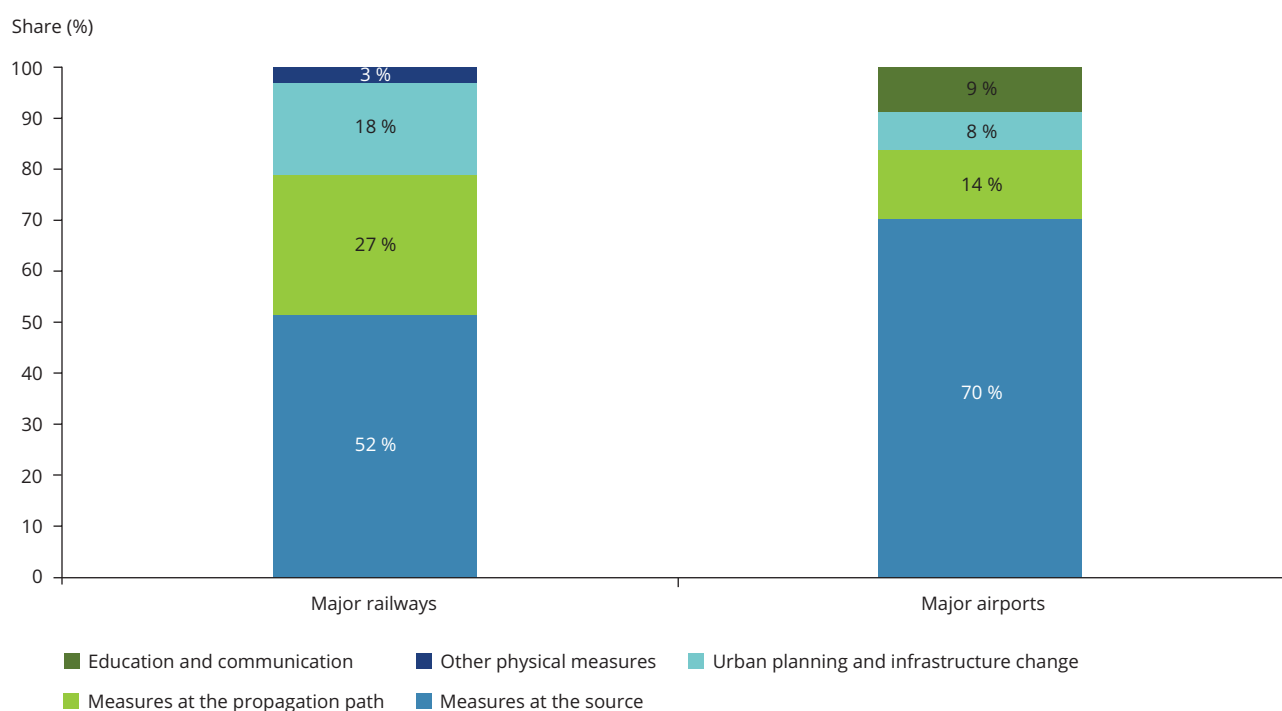
technical specification for interoperability relating to the subsystem 'rolling stock — noise', as amended by Commission Implementing Regulation (EU) 2019/774.

For aviation, the ICAO sets noise standards, which have been gradually tightened over time (ICAO, 2019a). These standards have been implemented in EU legislation. In addition, Regulation (EU) No 598/2014 sets a common approach for procedures for the introduction of noise-related operating restrictions at airports. This approach is set in line with the international principles on noise management, agreed and recommended by the ICAO — the so-called balanced approach. The aim of the regulation is to avoid inconsistencies in operating restrictions across European airports. The regulation also takes into account other environmental impacts and therefore may lead to co-benefits for climate change and air pollution.

The EU measures are complemented by national policies. A small number of countries have rail access charges that depend on the noise level of the trains. For air transport, several airports apply noise charges. The noise level can also determine the LTO charge. Noise charges are always differentiated by the noise class or noise level of the aircraft, and they often also depend on the time of the flight and the maximum take-off weight of the aircraft. In addition, noise

abatement measures are undertaken. Noise exposure from rail and air traffic is tackled by various measures. A classification by WHO and the EEA (2020b) makes a distinction between (1) noise control measures at the source, (2) noise control measures in the propagation path, (3) urban planning and infrastructure change, (4) other physical measures and (5) education and communication. A review of the actions commonly taken found that, for rail traffic noise, 52 % of the measures aim to control noise at the source via, for example, changes to the rail tracks, trains or traffic management. Another 27 % aim to control the noise in the propagation path, by installing noise barriers or insulating dwellings and another 18 % by land use planning and infrastructure change (e.g. construction of rail tunnels). For air traffic noise, 70 % of the measures taken try to control noise problems at the source, via traffic management, incentives for quieter aircraft or time restrictions on flights. Of the measures, 14 % concern the propagation path, mainly focusing on the insulation of houses. Education and communication measures also play a more important role in this case (8 % of measures), compared with rail traffic noise where they are almost not used. This last type of measure aims to reduce exposure by changing people's behaviour and by informing people to influence their perceptions regarding sources or to explain reasons for changes in noise levels, which may increase the acceptability.

Figure 4.2 Types of measures at the national level for noise control from major railways and airports



Note: Based on the 2017 reporting round, values rounded.

Source: EEA (2020b).

4.5 General policy frameworks, EU initiatives and funding instruments

General policy frameworks, transport policies, initiatives and funding instruments influence the overall development of transport demand and supply in the rail and air travel sectors and therefore the context in which the environmental policies described above operate. A selection is presented in Table 4.1. The key items are described here.

In December 2020, the European Commission published its *Sustainable and Smart Mobility Strategy* (EC, 2020g). The new strategy acknowledges that reducing emissions and becoming more sustainable is the most important challenge for Europe's transport sector. It also states that achieving the ambition of the European Green Deal requires a 'fundamental transformation' of the mobility system towards sustainability and away from fossil fuels. The strategy calls for a shift towards more sustainable modes of transport and putting in place the right incentives to support the shift.

Rail plays an important role in this context. The strategy includes the objective to increase the number of rail passengers and to create an 'affordable high-speed rail network' across Europe. In concrete terms, the strategy aims to double high-speed rail traffic by 2030 and triple it by 2050. Making high-speed rail services available on short-haul distances and enabling carbon-neutral collective passenger travel for distances below 500 km by 2030 are also among its objectives. To improve long-distance passenger rail services in Europe, a specific action plan proposal has been announced for 2021.

The strategy on low-emission mobility, published in 2016 (EC, 2016), contains the following main elements: (1) increasing the efficiency of the transport system by making the most of digital technologies; (2) smart pricing and further encouraging the shift to lower emission transport modes; (3) the faster deployment of low-emission alternative energy for transport; and (4) the move towards zero-emission vehicles.

The 2011 Transport White Paper (EC, 2011b) set an ambitious goal of reducing GHG emissions from transport by 60 % by 2050 compared with the level of these emissions in 1990. To achieve this, overall objectives regarding modal shift were set, proposing among other things:

- By 2030, the length of the existing high-speed rail (HSR) network should be tripled and a dense railway network should be maintained in all Member States. By 2050, the European HSR network should be complete and the majority of medium-distance passengers should travel by rail.

- By 2030, there should be a fully functional and EU-wide multimodal TEN-T 'core network', while by 2050 there should be a network of high quality and capacity, with a corresponding set of information services.
- By 2050, all core network airports should be connected to the rail network, preferably the HSR network.
- By 2020, a framework for a European multimodal transport information, management and payment system should be established.

The White Paper proposes a two-pronged strategy to promote a modal shift: first, to confront all modes with their full costs (including the costs of negative externalities) and, second, to directly improve the market conditions in non-road modes. The range of policy measures for improving the attractiveness of non-road modes is based on financial and regulatory mechanisms to increase their efficiency, with the associated effect of reducing their prices for users. A first subcategory is the financial support through dedicated programmes. At the European level, transport projects can benefit from numerous sources of financial assistance. Examples are the European Regional Development Fund (ERDF); the Cohesion Fund/European Structural and Investment Fund (ESIF); the Connecting Europe Facility (CEF), in which multimodal integration is an overarching priority; and loans and guarantees from the European Investment Bank (EIB). Moreover, the successive European framework programmes for research and technological development, and their successor, the Horizon 2020 framework programme for research and innovation, also provide support for transport projects. Promoting a modal shift away from road is a major criterion in the selection of the TEN-T projects.

A second subcategory consists in eliminating administrative and technical barriers that diminish the attractiveness of specific modes. In the railway sector, the railway packages and the recast of the Directive on the interoperability of the rail system within the Community (known as the Interoperability Directive) aim to create an internal market in rail transport by opening up rail transport to regulated competition and removing operational barriers.

For the aviation sector, the Air Service Regulation (Regulation (EC) No 008/2008 on common rules for the operation of air services in the Community) provides the economic framework for air transport in the European Community.

The Shift2Rail joint undertaking (S2R JU) is a form of public-private partnership in the rail sector. It supports research and innovation activities that can contribute to achieving the Single European Railway Area and to increasing the attractiveness and competitiveness and improving the safety of rail in Europe. Shift2Rail aims to introduce better trains to the market (quieter, more comfortable, more dependable, etc.) that operate on an innovative rail network infrastructure, at a lower life cycle cost and with the capacity to cope with rising demand for rail transport for passengers and freight. By improving the competitiveness and attractiveness of rail services, combined with increased capacity, the share of travel by rail can increase, which contributes to reducing the CO₂ emissions of the transport sector.

The Single European Sky (SES) initiative, adopted by the European Commission in 2004 (and amended in 2009), and the SES II legislative package of 2013 aim to modernise and harmonise air traffic management (ATM) systems by means of innovative technological and operational solutions. Its core idea is to shift the design of ATM from the national level to the EU level to realise efficiencies of scale and to reduce administrative and technical barriers created by the legacy of national approaches. To this end, national air traffic control organisations are required to work together in regional airspace blocks. Binding key performance targets were introduced for safety, capacity, cost efficiency and environmental performance. Eurocontrol, as the network manager, performs certain tasks that

are most efficiently carried out centrally (e.g. route design). The regulatory framework is coupled with a technological modernisation programme, the 'SESAR project' (Single European Sky ATM Research), with the provision for financial incentives.

One of the four high-level goals of the SES initiative is to reduce the environmental impact per flight by 10 %, compared with 2005. Two environmental key performance indicators (KPIs) are defined, by which the length of the trajectory flown can be assessed. In 2017, the actual trajectory was on average 2.81 % longer than the great-circle distance (PRB, 2018). Vertical flight efficiency, non-CO₂ emissions, noise levels or air quality are not yet covered by the SES performance scheme (EASA et al., 2019).

In addition, the Clean Sky joint undertaking, funded by the Horizon 2020 programme, supports the development of innovative, cutting-edge aviation technology aimed at reducing emissions of CO₂ and air pollutants and noise levels produced by aircraft.

The European digital strategy formulates the EU's approach to digital technological development and to the ways in which technology will be used to meet climate-neutrality objectives. One example is that telecommunications, networks and connectivity make it possible to collaborate remotely instead of travelling, the usefulness of which has become very prominent during the COVID-19 pandemic.

5 Train or plane?

Key messages

- An analysis of 20 city pairs shows that the environmental costs of rail travel are substantially lower than those of air travel.
- Because of the high environmental costs of landing and take-off, the environmental costs of air travel rise less than proportionally with distance.
- The environmental costs of travelling to and from the railway station/airport account for only a small share of the total environmental costs if the city centre is the origin/destination. They are the largest when the main trip is done by air, as airports are most often located further away from the city centre than railway stations. The costs can become more important when the origins and destinations differ from the city centres of the city pairs considered.
- In all cases, electric rail transport between the city pairs and air travel between the airport pairs is covered by the EU Emissions Trading System, which has implications for the ultimate impact of a modal shift in greenhouse gas emissions, as discussed in Section 5.3.3.

5.1 Introduction

This chapter further explores the environmental costs of travelling by train and air in mainland Europe, based on an analysis for specific city pairs. Although the focus lies on these two modes, their environmental costs are also compared with those of travelling by car, which is the dominant mode of passenger transport in Europe.

The following environmental cost categories are considered:

- diesel train, aeroplane, car: the tank-to-wheel/-wake (TTW) emissions of greenhouse gases (GHGs) and air pollutants;
- car: emissions related to tyre and brake wear combined and to road surface wear ^(?);
- electric train, aeroplane, car: the well-to-tank (WTT) emissions of GHGs related to fuel and electricity production, transmission and distribution;

- train, aeroplane, car: noise pollution;
- the non-CO₂ climate costs of aviation.

The environmental costs related to the manufacturing of the vehicles (and batteries for electric cars), their maintenance and the construction and maintenance of the transport infrastructure are not covered in this analysis. Hence, the scope is a well-to-wheel/-wake (WTT) analysis, rather than a life cycle analysis (see the illustration of these concepts in Figure 3.1).

In addition to this environmental cost analysis, this chapter further investigates the implications for the environment of a shift from rail to air transport, including the mechanisms at work in the EU Emissions Trading System (ETS).

^(?) Non-exhaust emissions are also relevant for rail and aviation, but no emission factors are available.

5.2 Environmental costs of travelling by rail and air — an analysis for specific city pairs

5.2.1 Selection of the city pairs

This chapter assesses the environmental impacts of travel for 20 main city pairs. For the selection of the city pairs the following steps were followed:

- First, Eurostat data were gathered on the annual number of passengers on direct flights between airports in the EU-27 (Eurostat, 2020a). The top 70 airport pairs were identified, and connections to the EU's outermost regions (e.g. the Azores or the Canary Islands) (EC, 2020f) and connections to islands in Europe were excluded. The main city in the vicinity of each airport was identified.
- In order to get a geographically balanced set of city pairs across mainland EU countries, several connections were added.
- Only city pairs with a rail connection were considered.

Out of this longlist of city pairs, 20 city pairs were selected. In this selection, the following additional criteria were used:

- There should be a balanced coverage of three distance bands, where distance is measured by the distance by car between the city pairs by the fastest route on a popular web mapping service.
 - Of the 20 city pairs, six pairs cover a car distance below 500 km, eight pairs cover a distance of between 500 and 750 km, and six pairs cover a distance of between 750 and 1 100 km. The three distance bands are relevant for the choice between rail and air transport and cover both distances for which rail is competitive with air and distances for which that is less the case.
- The selection covers both city pairs with a good railway connection and pairs with a railway connection of medium to lower quality. For the quality assessment of the rail connection, account was taken of the travel time by rail, the rail travel time per kilometre and the number of transfers per hour, using data collected via the web mapping service and Trainline (forthcoming). This information was collected before the COVID-19 outbreak in the EU:

- The rail connection is taken to be of high quality if the travel time is below 4 hours and the number of transfers per hour and the travel time per kilometre are below the 80 % percentile value for the longlist of city pairs. If one of the last conditions is not met, the connection is taken to be of medium quality.
- The rail connection is also taken to be of medium quality if the travel time is between 4 and 8 hours and the number of transfers per hour and the travel time per hour are below the 80 % percentile value for the longlist of city pairs. If one of the last conditions is not met, the connection is taken to be of lower quality.
- The rail connection is also taken to be of lower quality if the travel time is longer than 8 hours.
- Five city pairs have a high-quality rail connection according to the criteria defined above. Another six pairs have a medium quality, while the others have a lower quality connection, usually because the maximum travel time of 8 hours is exceeded.

For each city pair, an alternative origin/destination pair was selected, consisting of two other cities in the vicinity that are potentially served by the railway station or airport of the main cities. This takes into account the fact that the travel distance to/from the railway station/airport is not necessarily the travel distance from the centres of the main cities.

Table 5.1 presents the selection of city pairs, the associated additional city pairs, the distance by car and the distance by air as well as an assessment of the quality of the rail connection based on the criteria presented above. In addition, the table gives the number of air travellers in 2018, based on Eurostat data. For some city pairs, numbers are given for the rail travellers based on various sources. However, as no European data set is available for rail passengers for the respective city pairs, the information in this column is less complete. The lack of statistics for rail travel between European cities comparable to those for aviation makes assessing the current situation and the policy options more difficult.

Table 5.1 Overview of city pairs

No	Origin (main city)	Destination (main city)	Origin (alternative city)	Destination (alternative city)	Distance by car between main cities (km)	Distance by air between airports of main cities (km)	Rail quality	Air passengers carried between airports of main cities (2018) (million)	Rail passengers carried between main cities (million)
Car distance of up to 500 km									
1	Krakow, Poland	Warsaw, Poland	Wieliczka, Poland	Piaseczno, Poland	293	284	High	0.398	
2	Brussels, Belgium	Frankfurt am Main, Germany	Leuven, Belgium	Wiesbaden, Germany	398	366	Medium (transfers/hour)	0.882	0.09-0.115 (CER)
3	Sofia, Bulgaria	Varna, Bulgaria	Pernik, Bulgaria	Novi Pazar, Bulgaria	441	387	Low	0.288	
4	Bucharest, Romania	Cluj-Napoca, Romania	Ploiești, Romania	Turda, Romania	453	346	Low	0.490	
5	Lyon, France	Paris, France	Saint-Étienne, France	Versailles, France	468	463	High	0.641	3.4 (T&E)
6	Frankfurt am Main, Germany	Hamburg, Germany	Wiesbaden, Germany	Lüneburg, Germany	492	488	High	1.439	1.2 (CER)
7	Athens, Greece	Thessaloniki, Greece	Thebes, Greece	Edessa, Greece	500	368	Medium	1.512	
Car distance of between 500 and 750 km									
8	Amsterdam, Netherlands	Paris, France	Utrecht, Netherlands	Versailles, France	516	467	High	1.403	2 (KiM)
9	Oslo, Norway	Stockholm, Sweden	Drammen, Norway	Ösmo, Sweden	522	426	Medium	1.449	
10	Madrid, Spain	Barcelona, Spain	Guadalajara, Spain	Sabadell, Spain	625	545	High	2.468	3.9 (T&E)
11	Madrid, Spain	Lisbon, Portugal	Guadalajara, Spain	Setúbal, Portugal	629	583	Low	1.518	
12	Copenhagen, Denmark	Stockholm, Sweden	Roskilde, Denmark	Ösmo, Sweden	657	550	Low (transfers/hour)	1.511	
13	Berlin, Germany	Vienna, Austria	Potsdam, Germany	Sankt Pölten, Austria	685	603	Low	1.051	0.02-0.05 (CER)
14	Vienna, Austria	Zurich, Switzerland	Sankt Pölten, Austria	Zug, Switzerland	722	655	Medium	0.982	0.161 (2019) (CER)
Car distance of more than 750 km									
15	Amsterdam, Netherlands	Copenhagen, Denmark	Utrecht, Netherlands	Roskilde, Denmark	800	676	Low	1.092	
16	Frankfurt am Main, Germany	Ljubljana, Slovenia	Wiesbaden, Germany	Kamnik, Slovenia	804	683	Low	0.145	0.001 (CER)
17	Milan, Italy	Paris, France	Monza e Brianza, Italy	Versailles, France	851	722	Medium	1.922	
18	Milan, Italy	Vienna, Austria	Monza e Brianza, Italy	Sankt Pölten, Austria	867	732	Low	0.369	
19	Budapest, Hungary	Frankfurt am Main, Germany	Vác, Hungary	Wiesbaden, Germany	964	917	Low	0.660	0.001-0.005 (CER)
20	Barcelona, Spain	Paris, France	Sabadell, Spain	Versailles, France	1038	945	Medium	2.478	0.02 (T&E)

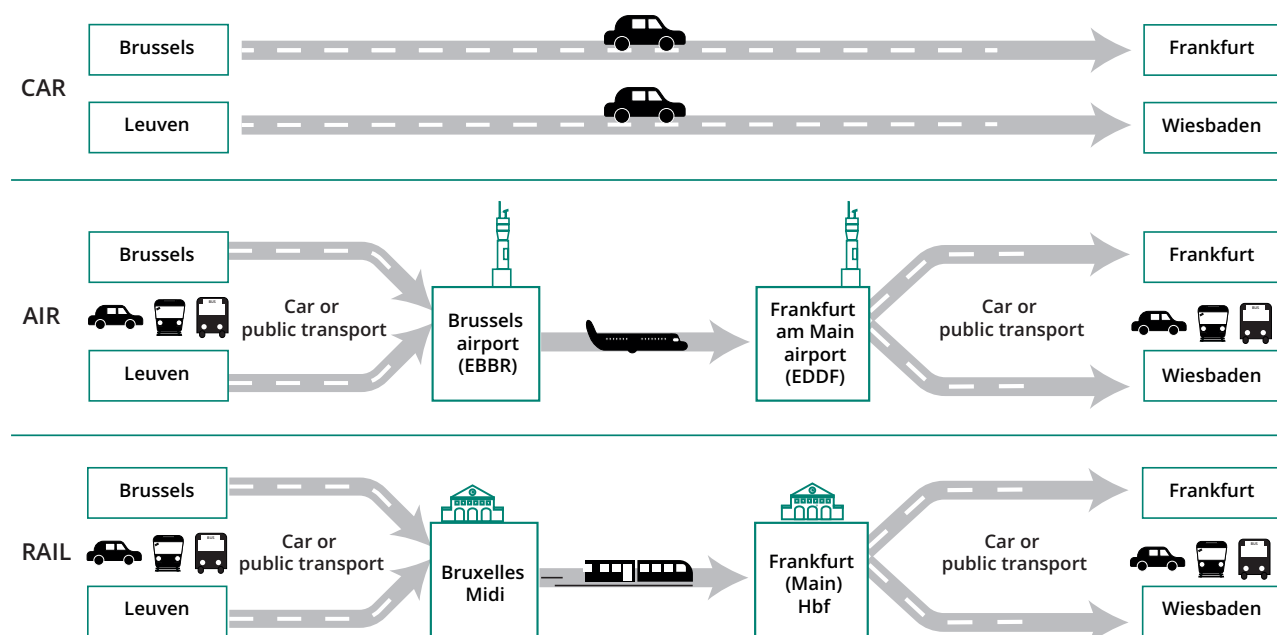
Notes: Distance by car: distance between city centres of main cities of origin and destination, fastest route according to web mapping service.

Distance by air: average distance based on Eurocontrol data for 2017 to 2019 (except for Copenhagen-Stockholm, the data for which came from Flight Plan Database).

Air passengers carried: passengers arriving and departing in main city of origin, based on Eurostat data for 2018.

Rail passengers: CER communication (data for one operator for each connection), Savelberg et al. (2018) and Bleijenberg (2020).

CER, Community of European Railway and Infrastructure Companies; KiM, Netherlands Institute for Transport Policy Analysis; T&E, Transport & Environment.

Figure 5.1 Illustration of the approach for a trip between Brussels/Leuven and Frankfurt/Wiesbaden

5.2.2 Calculating the environmental impacts

The environmental impacts are calculated for each city pair and its associated alternative cities. Account is taken of the environmental costs of transport to and from the railway station or airport (referred to below as pre- and post transport). The costs can be considered to be the marginal environmental costs: the costs of an additional passenger-km for each city pair, using a specific train/aircraft type, with a specific load factor, covering a specific distance, just to name a few of the characteristics of the transport options between the city pair. Figure 5.1 illustrates the approach for one city pair.

For the main trip, the environmental impacts are calculated for three modes: car, rail and air transport. Although the focus of the report and of the discussion in this section lies on rail and air transport, the environmental costs are also calculated for car transport, as an additional point of comparison. For transport to and from the main railway station or airport, the distance by car from the city centre to the main railway station or airport is taken. Three modes are considered: car, bus and (heavy) rail.

Table 5.2 shows the car, bus and train types considered for the city pairs as well as a selection of aircraft types⁽⁴⁾. It also presents the capacity of the

vehicles/aircraft as well as the assumption that is made for the occupancy rates. The occupancy rate of the aeroplanes is based on data from Eurostat for the respective city pairs (see Table A1.2 in Annex 1).

Two types of car with an internal combustion engine are included as is an electric car, while recognising that for longer distance trips, the range of the electric car still entails higher time costs of travel on account of additional and longer charging stops.

For rail transport, five different trains are included in the analysis. The high-speed train is the only train that cannot be used for transport to/from the railway station/airport. For the city pairs that do not have a high-speed rail (HSR) connection (see Table A1.2 in Annex 1), intercity trains are used for the main trip. The city pairs that do have an HSR connection obviously use it as a main transport mode. The occupancy rate given is taken to be the same as that in Schrotten et al. (2019).

For car travel, two different average occupancy rates are used: one and four. The first reflects the conditions for business travel or recreational travel by one person, and the second reflects the conditions for recreational travel with a larger group. The environmental costs of travelling with two or three people will logically lie in between the two values reported here.

⁽⁴⁾ See Annex 1 for the full list of aircraft types.

Table 5.2 Overview of vehicle/train/aeroplane types, capacity and assumptions about occupancy rate

Mode	Vehicle/aeroplane type	Capacity	Occupancy	Occupancy rate (%)
Train	High-speed train	500	330	66
	Intercity electric train	500	180	36
	Intercity diesel train	500	180	36
	Regional electric train	350	105	21
	Regional diesel train	350	105	21
Bus	Bus, Euro VI, diesel	30	20	66
Car	Medium petrol Euro 6 (up to 2016)	5	1 and 4	20-80
	Medium diesel Euro 6 (up to 2016)			
	Medium electric car			

Source: Rail and bus: Schrotten et al. (2019). Occupancy rate for aircraft and cars based on authors' assumptions; for aircraft capacity, see Table A1.1 in Annex 1.

In the graphs shown below, not all transport types are shown for the sake of clarity. Of course, which transport mode and vehicle type is used is indicated for each graph.

The environmental cost categories considered are described in Section 5.1. For bus transport, the same cost categories are considered as for car transport. The inputs for the calculation of the emissions are presented in Annex 1.

As indicated in Section 3.2.1, aviation also emits short-lived climate forcers, including SO₂, NO_x and black carbon. This leads to changes in the radiative forcing in the climate system, including the forming of contrails and cirrus clouds, which both warm the atmosphere. In the report by the European Commission (EC, 2019d) the total climate change costs of aviation are taken to be twice the costs of the CO₂ emissions alone. To compare, a recent report for the German Environmental Agency indicated that the total impact (for the global fleet) is about five times the impact of CO₂ emissions alone for constant emissions, and the total impact for pulse emissions (e.g. for emissions of an individual flight) is about three times the impact of CO₂ emissions. That report points out that, rather than using a constant factor, the underlying processes can also be accounted for in a better way. However, this requires more data than are available here (Niklaß et al., 2020). To reflect the uncertainty over the non-CO₂ climate costs of aviation, the approach of Cox and Althaus (2019) is followed. They take the total climate impact during the flight phase at an altitude above 9 km to be a factor of 1.3-3.6 of the combustion-related CO₂ emissions. Based on this information three different options were taken into account for the non-CO₂ climate costs: base, medium or central, and high values, in which the non-CO₂ climate costs amount to 0.3, 1 and 2.6 times, respectively, the impact of the CO₂ emissions during the climb, cruise, descent (CCD phase). The medium value corresponds to the approach taken in EC (2019d). It should be noted that the non-CO₂ climate effect could be slightly overstated

here, because the CCD phase starts at an altitude of 10 m and ends when the aeroplane descends below an altitude of 300 m (Goblet et al., 2015). This also justifies the range of aviation-related non-CO₂ emissions that was adopted here. Most recently, the European Aviation Safety Agency prepared an updated analysis of the non-CO₂ effects of aviation at the request of the European Commission (EASA, 2020c). The new report confirms the significance of these effects and, by and large, also confirms the range of non-CO₂ climate costs used here. However, as the analysis was published in late 2020, it was not possible to fully align the calculations with the findings of the report.

In order to calculate the costs related to the emissions of air pollutants and GHGs, we use the cost per kilogram or tonne of emissions put forward in EC (2019d). For all city pairs, we use the average EU value. The costs for the air pollutants include the costs related to the health effects, crop loss, biodiversity loss and material damage and are determined using the impact pathway method. In this approach, the environmental costs are estimated by following the pathway from source emissions via changes in the quality of air, soil and water to physical impacts, which are then expressed in monetary costs. For the GHG emissions, EC (2019d) considers so-called avoidance costs, i.e. the costs that are implied by achieving the target set by the Paris Agreement. The central value for the short- and medium-term costs (up to 2030) is EUR 100/t CO₂e, while the low and high values are EUR 60 and EUR 189/t CO₂e, respectively. In this report the central value is used. All values used are summarised in Annex 1.

For noise pollution, the evidence from EC (2019d) on the average external noise costs per vehicle-km, train-km and landing and take-off (LTO) is taken as an approximation to calculate the external noise costs (see also Annex 1). Behind this average value are costs that are highly dependent on the time of day, population density and vehicle type/aircraft, as discussed in Section 3.2.6.

5.2.3 Comparing the environmental performance

Comparison of different transport modes

The different transport modes are compared in two graphs: Figure 5.2 displays the environmental costs for all of the different transport modes travelling the same distance of 500 km on the main trajectory. Only the five most frequently used aircraft types are displayed. Figure 5.3 presents the same information for a distance of 1 000 km. In both figures, an aircraft occupancy rate of 80 % is assumed. These two figures make it possible to spot the differences between the transport modes when their main trajectories are the same. A discussion of the different city pairs will follow later in which the distances for the different transport modes will differ from each other.

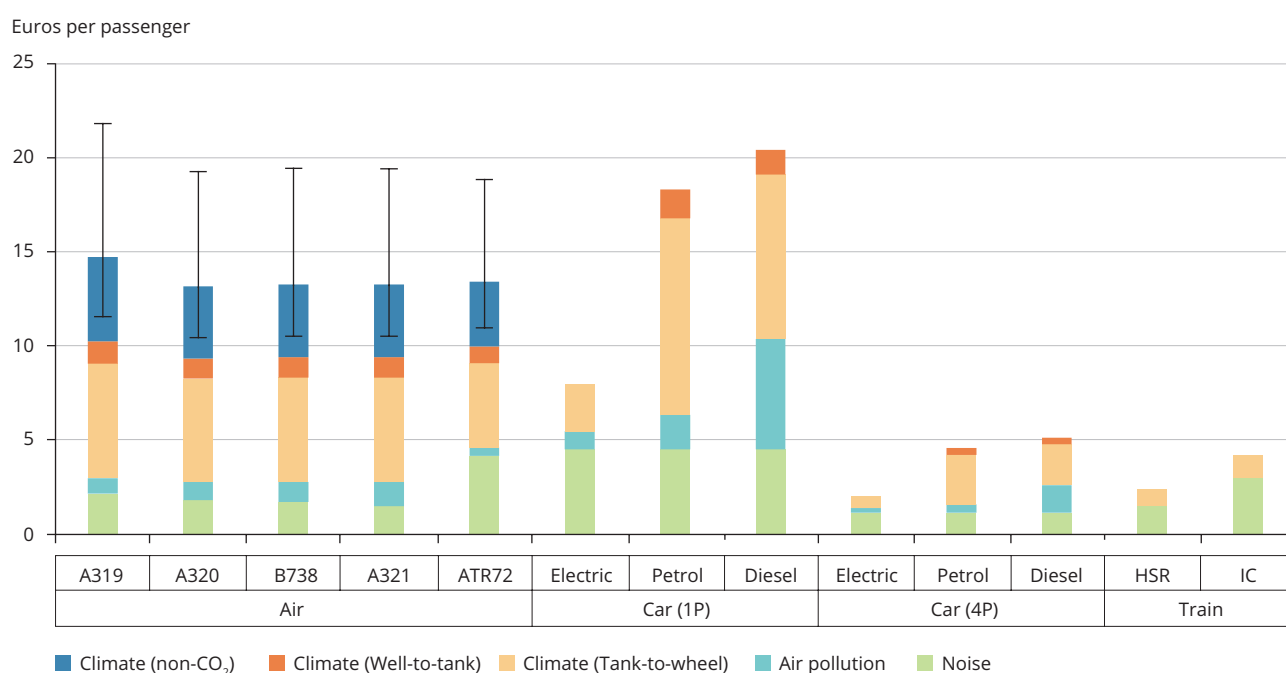
Considering the distance class of 500 km first (Figure 5.2), it can be seen that for the central value of the non-CO₂ climate costs, travelling by air causes more than six times higher environmental costs than travelling by HSR. Travelling in a fully occupied car, with four people, also has significantly lower environmental costs per passenger than travelling by air. The costs of only one person travelling in a diesel or petrol car is the highest of all travel alternatives considered here.

Comparing Figure 5.2 and Figure 5.3, it can be seen that the ranking between the different transport

modes does not change significantly for the two distances. It is clear that the train is the transport mode with the lowest cost, except for electric cars with four occupants. These electric cars seem to have a comparable environmental cost to that of trains. For electric cars with one passenger, the noise costs account for about half of the environmental costs. The occupancy rate and the noise cost make electric cars with one passenger the third most environmentally friendly option. Second after rail come diesel and petrol cars with four occupants. Apart from electric cars with one passenger, it is unclear which transport mode will have the third lowest cost, either cars with one passenger or air travel. This will depend on the non-CO₂ climate costs of aviation and will be discussed in more detail. The figures show the central value for the non-CO₂ climate costs, which equals the costs of the CO₂ emissions during CCD. The low and high values that were indicated earlier, 0.3 and 1.6 times the costs of the CO₂ emissions, are shown as error bars, to indicate the uncertainty around this component.

Although the environmental cost per passenger of rail is lower than that of air, the noise costs are comparable or, in the case of HSR for longer distances, higher than for air travel. For rail, the noise costs depend on the distance, while for air travel they do not, as they are generated during LTO.

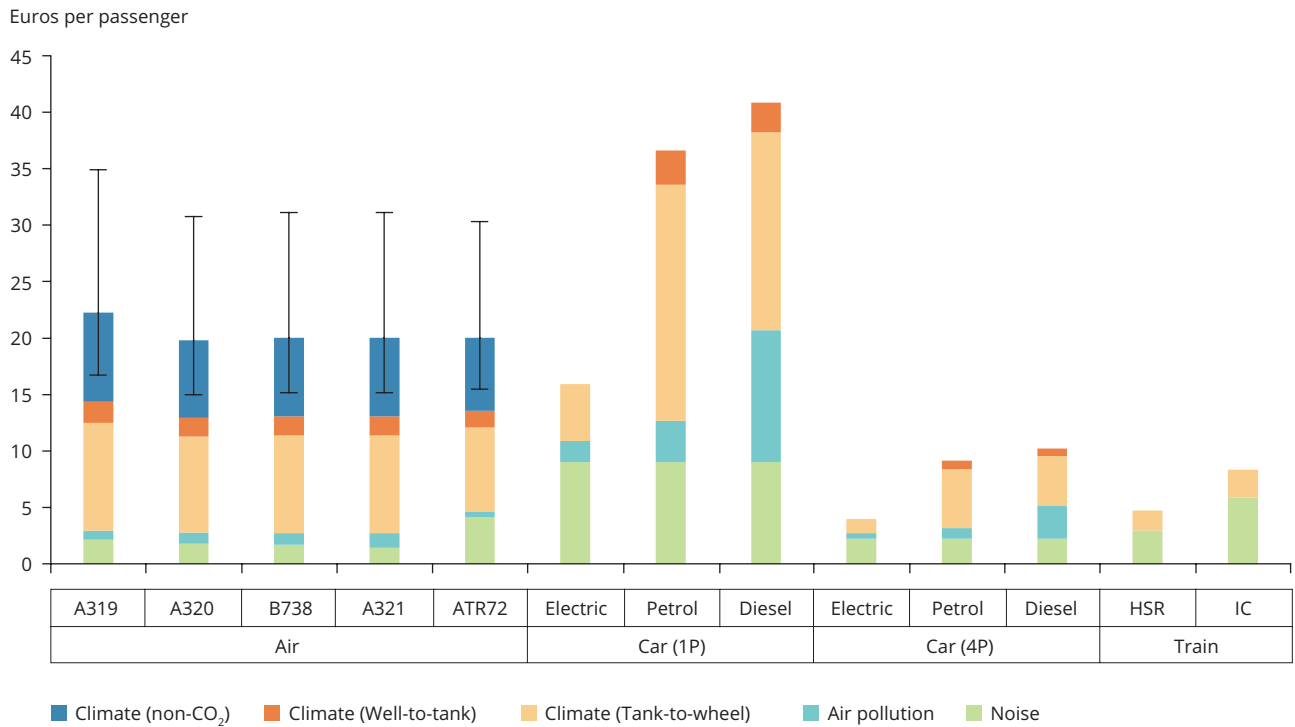
Figure 5.2 Emission costs of different transport modes (500 km)



Note: The error bars reflect the uncertainty for the non-CO₂ climate costs of aviation based on Cox and Althaus (2019). Occupancy rates: aircraft 80 %; HSR 66 %; IC (intercity train) 36 %.

Source: EEA.

Figure 5.3 Emission costs of different transport modes (1 000 km)



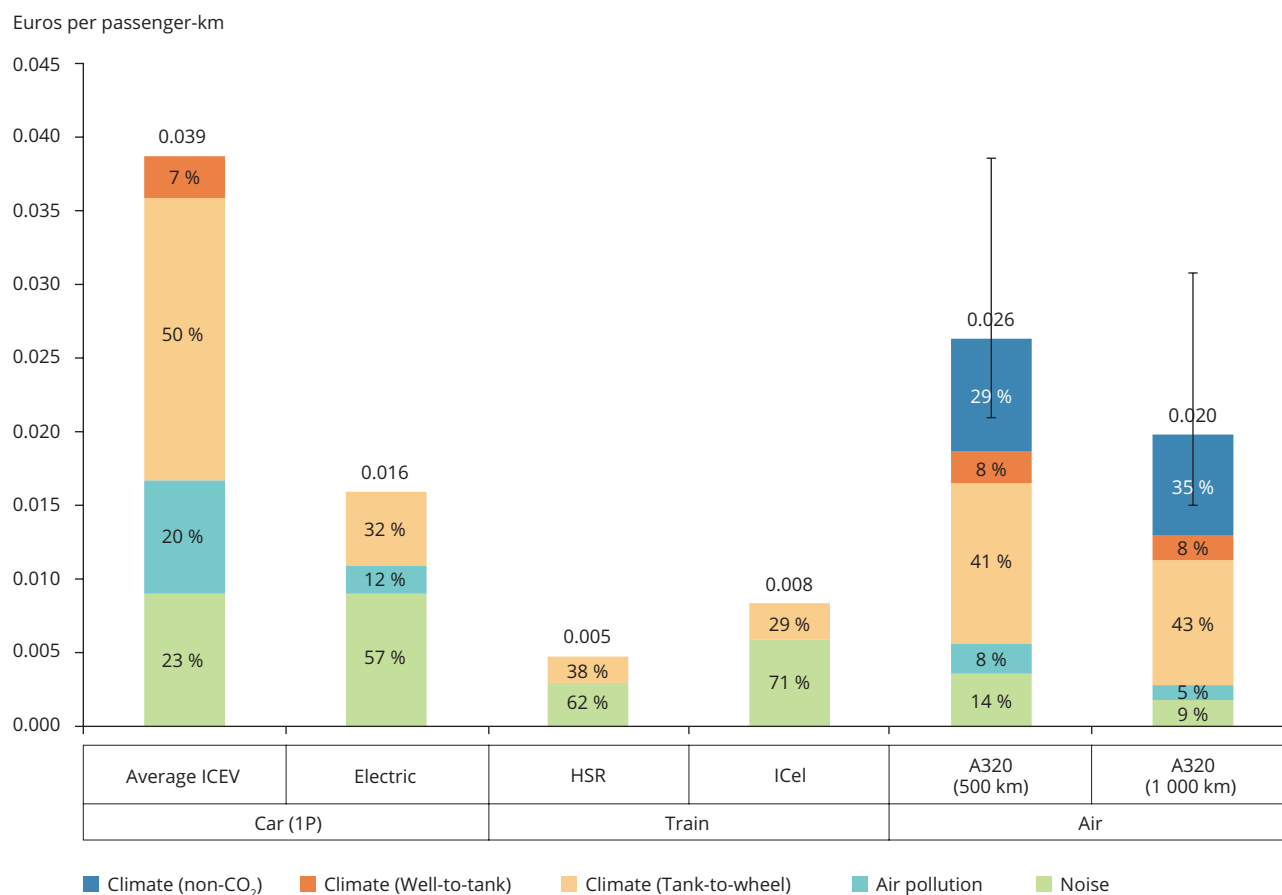
Note: The error bars reflect the uncertainty for the non-CO₂ climate costs of aviation based on Cox and Althaus (2019). Occupancy rates: aircraft 80 %; HSR 66 %; IC (intercity train) 36 %.

Source: EEA.

Figure 5.4 shows the relative contribution of the different environmental cost categories and, above each bar, the total costs per passenger-km for each transport mode and vehicle type. For air transport, this figure presents the case of the Airbus A320. For air travel the climate costs are the most important cost category. For rail, the costs are mainly noise related, with respective shares of 62 % and 71 % for HSR and intercity rail. The same holds true for electric cars, for which 57 % of the environmental cost corresponds to noise costs. Note that the total cost for intercity trains is higher than that for HSR. This is the result of two aspects. Firstly, while the electricity consumption per train-km is higher for HSR than for the electric intercity train, the assumed occupancy rate is substantially higher for HSR (see Table 5.2), leading to a lower electricity consumption per passenger-km.

Secondly, the noise cost per passenger-km is also higher for the intercity train, because of higher costs per train-km and a lower occupancy rate.

The effect of the number of passengers on the environmental cost per passenger-km is even greater for passenger cars. When four seats in a five seater car are occupied, the cost per passenger-km comes close to that of rail. However, when the average number of passengers per car drops to one, the environmental costs per passenger-km fall within the range of the costs related to aeroplanes. This, however, depends on the non-CO₂ climate costs of flying. When the central value is taken for these costs, the environmental impact of a car trip with one occupant is bigger than that of one person travelling by aeroplane.

Figure 5.4 Environmental cost split per passenger-km: share of environmental cost categories

Note: The error bars reflect the uncertainty for the non-CO₂ climate costs of aviation based on Cox and Althaus (2019). Occupancy rates: aircraft 80%; HSR 66%; IC (intercity train) 36%. ICEV, internal combustion engine vehicle.

Source: EEA.

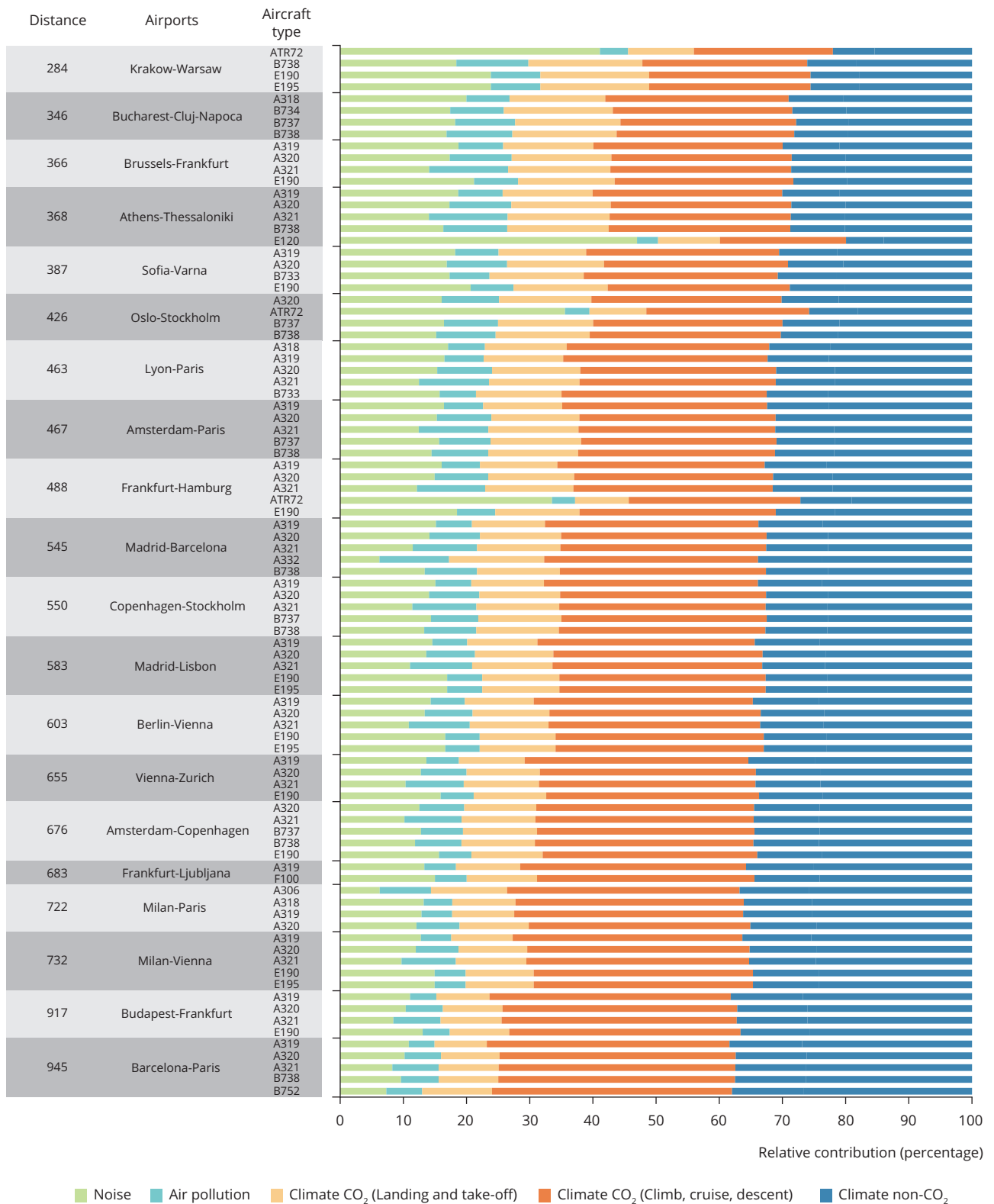
It is important to stress the impact of the aviation-related non-CO₂ climate costs. There still is, as already said, uncertainty about the magnitude of this component. The figures therefore consider a wide range for this cost, from 0.3 times to 2.6 times the costs of the CO₂ emissions while at cruising altitude. Figure 5.2 shows that for medium-distance flights of 500 km the costs of travelling in bigger aeroplanes become comparable to those of diesel and petrol cars with one passenger, and this is even the case for the highest estimate for the non-CO₂ climate costs. However, when a smaller aeroplane is chosen with fewer available seats, the costs are only comparable to the costs of cars if the lowest estimate of the non-CO₂ climate costs is taken.

For longer distance flights of 1 000 km, the environmental costs per passenger of air travel decrease, but they remain substantially higher than for the best performing modes. At the high value for

the non-CO₂ climate costs, aircraft have comparable or somewhat lower environmental costs than conventional passenger cars with a low occupancy rate.

The previous paragraph demonstrates the importance of non-CO₂ climate costs, but it also indicates that the costs per passenger-km of travelling by air decrease with increasing distance. This can also be seen in Figure 5.4, which displays the costs for travelling 500 km and 1 000 km by Airbus A320. Figure 5.5 helps to explain this. This figure indicates the relative contribution of the two different phases of flights: LTO and CCD. Where the contribution is about 50-50 for the first city pair at a distance of 284 km (depending on the aircraft), the contribution of the LTO phase drops to less than 30% for the longest distance flight of 945 km. This is logical, because the environmental cost of the LTO phase is about the same for all flights. For longer flights its contribution is therefore smaller given the longer CCD phase.

Figure 5.5 Relative contribution of the environmental costs of landing and take-off versus climb, cruise and descent



Notes: Green, light blue and orange indicate environmental costs of the LTO phase. Red and dark blue indicate environmental costs of the CCD phase.

CCD, climb, cruise and descent; LTO, landing and take-off.

Source: EEA.

Figure 5.6 Environmental costs of the different transport modes for the main trip for 20 city pairs

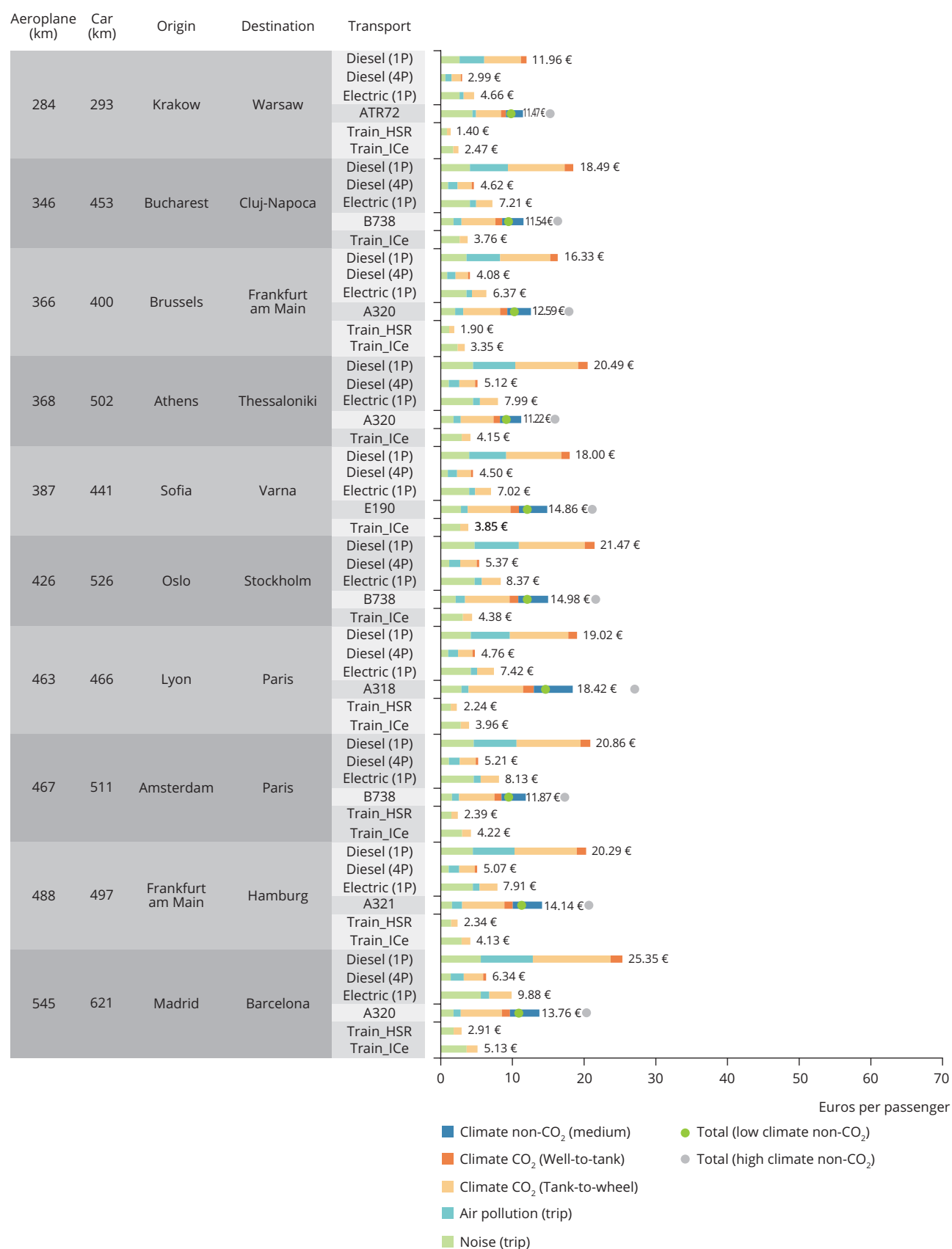
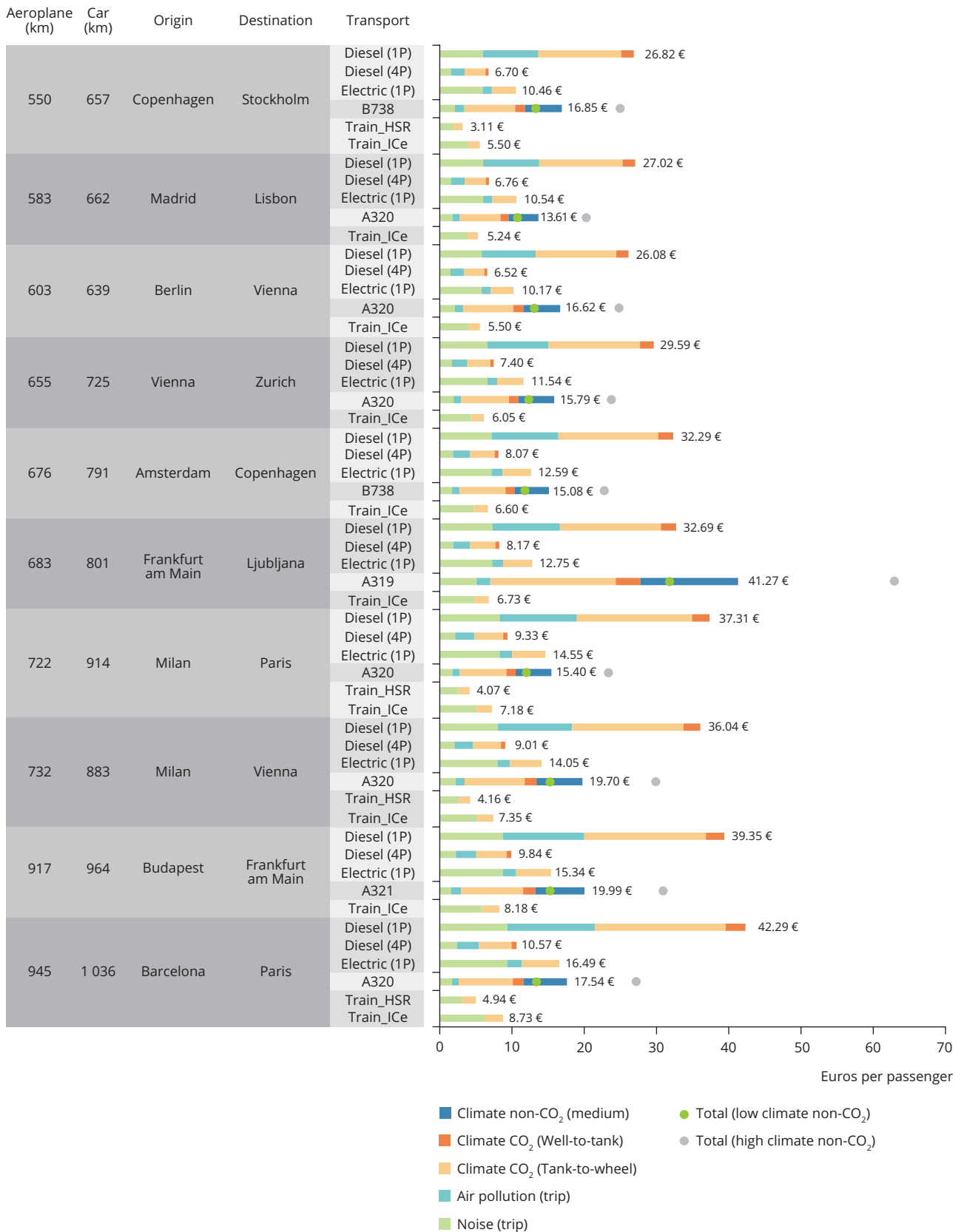


Figure 5.6 Environmental costs of the different transport modes for the main trip for 20 city pairs (cont.)



Note: Grey dot — environmental cost with high value for non-CO₂ climate cost. Green dot — environmental cost with low value for non-CO₂ climate cost. HSR, high-speed rail; ICE, electric intercity train.

Source: EEA.

Trajectory comparison of different transport modes

The previous section showed the relation between the different transport modes and their respective costs. It is, however, clear that not all transport modes can be used on every trajectory. For example, not all city pairs are connected by HSR and large aircraft with a large number of passengers on board are not used for all city pairs. Therefore, Figure 5.6 indicates the environmental costs of travelling between the different city pairs, with their available transport modes.

The environmental costs displayed for each city pair and transport mode and their interrelationship are largely in line with the story in the previous section. However, some elements have an impact on the relative magnitude of the costs of the different transport modes.

The first element is the difference in trajectory length for the different transport modes. This is most evident for the Milan-Paris case. The distance travelled from the origin to the destination is 914 km and 722 km for the car and aeroplane, respectively. This makes an aeroplane more attractive when looking at the environmental cost, despite the — in most cases — higher costs for aeroplanes per kilometre travelled. A second influence is the aeroplane used on the trajectory. When an aeroplane with a lower number of seats is used, the cost per passenger increases and therefore this type of transport will be less attractive from an environmental perspective. Furthermore, the emission characteristics of the different aeroplanes can differ significantly. For some city pairs, relatively old aeroplanes are used, with a less efficient propulsion system than that of newer aeroplanes on other trajectories. A third parameter, which has only a small influence on the relative magnitudes, is the availability of an HSR connection between the two cities. If there is only an intercity train for the whole trajectory, the environmental costs for an electric car with four occupants will become comparable with trains. This, however, is not the case for electric cars with only one occupant, as these have a higher environmental cost than rail travel.

In addition to these elements, the transport to and from the railway station/airport could also have an impact on the relative performance of the transport modes. Details about how these costs were computed can be found in Annex 1. Figure 5.7 displays the environmental costs per main city pair. It can be seen that the impact of the environmental costs of pre- and post-transport phases is limited. In fact, these costs are even negligible for all transport modes except for air transport. This is because airports are most often located further away from the city centre than railway stations. Naturally there is no pre- and post-transport cost for trajectories with a passenger car as the main transport mode, as the whole trip is assumed to be done by car.

It must be noted here that the pre- and post-transport costs for trains in Figure 5.7 are shown only for intercity electric trains; for cars, costs for only a diesel car with one occupant are shown. However, even with this last relatively environmentally unfriendly transport mode the environmental costs of pre- and post-transport are negligible, except for cases where an aeroplane is the transport mode on the main trajectory.

The magnitude and share of the environmental costs of the pre- and post-transport phases increases, however, when these are calculated for the alternative city pairs. This is shown in Figure 5.8 and Figure 5.9. These figures present the environmental costs for the main and alternative city pair, respectively, in the Barcelona-Paris case. When the train is the main transport mode, Figure 5.9 shows a non-negligible, and actually large, environmental cost for the pre- and post-transport phases in contrast to Figure 5.8. For air transport as the main transport mode, the difference is rather limited. In both cases, the cost is non-negligible, depending on the mode that is chosen. It should be noted here that the chosen main trajectory is rather long, so that the environmental cost of pre- and post-transport phases are, of course, of lesser importance in this case. The environmental costs for the other city pairs can be found in Annex 2 and show comparable results.

Figure 5.7 Environmental route cost with pre- and post-transport phases included

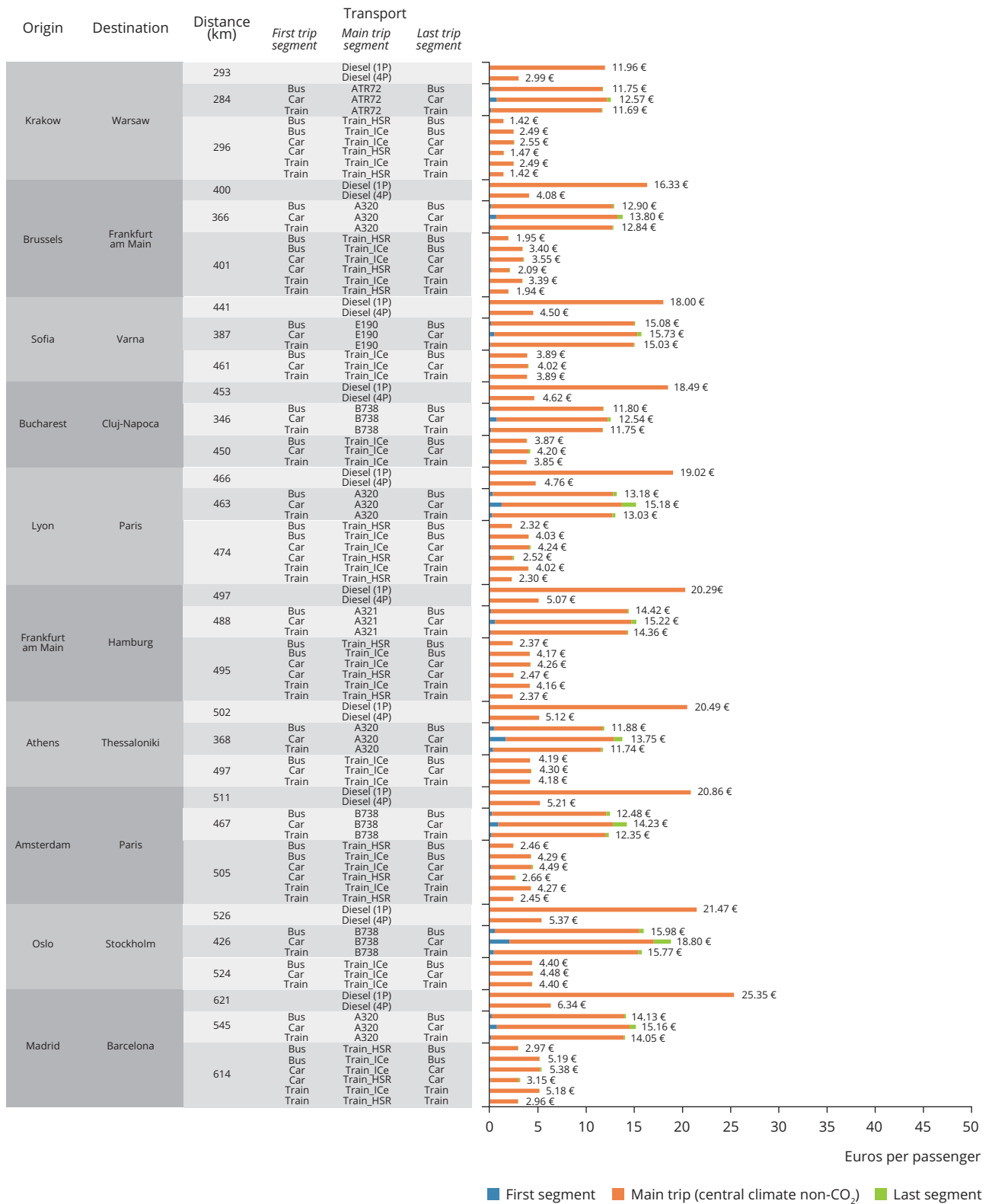
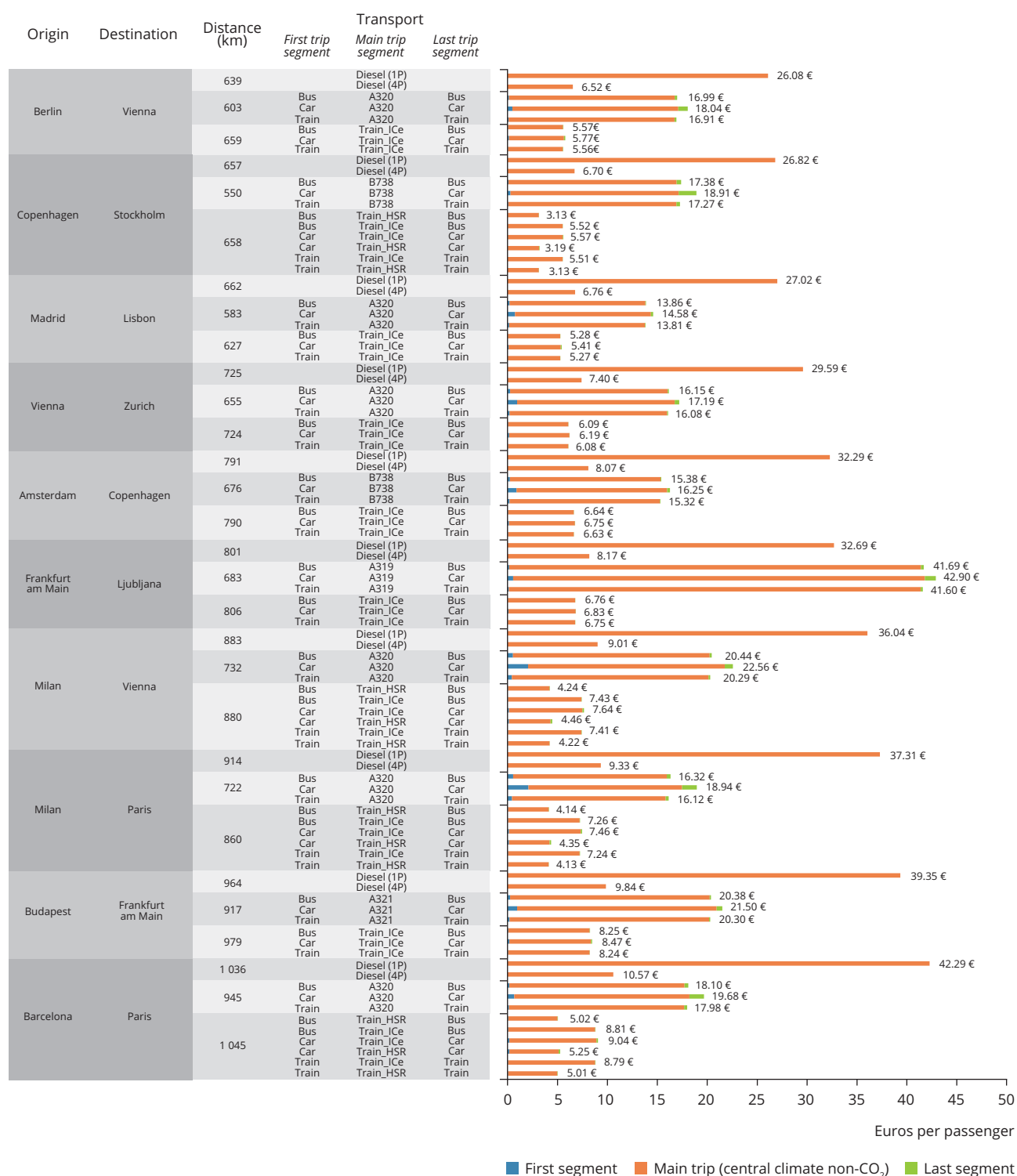


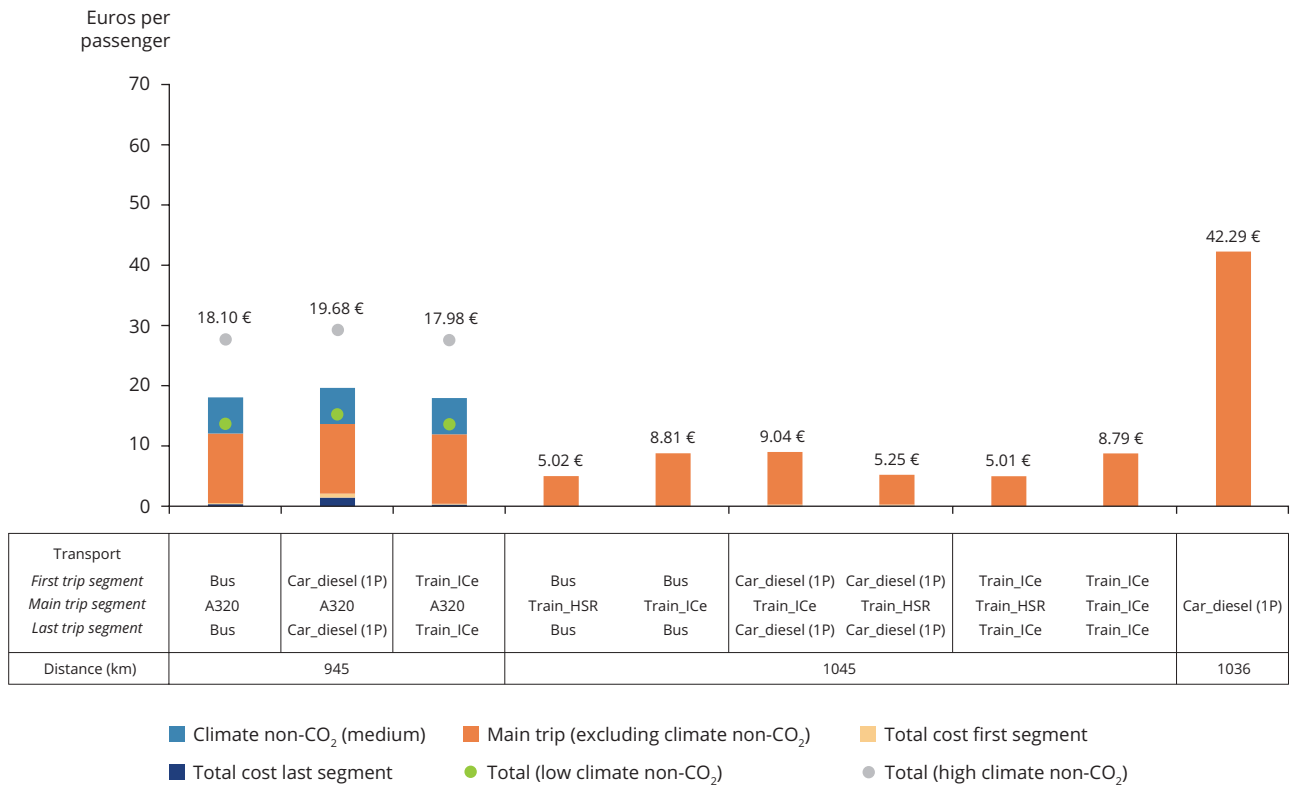
Figure 5.7 Environmental route cost with pre- and post-transport phases included (cont.)



Note: HSR, high-speed rail; ICE, electric intercity train.

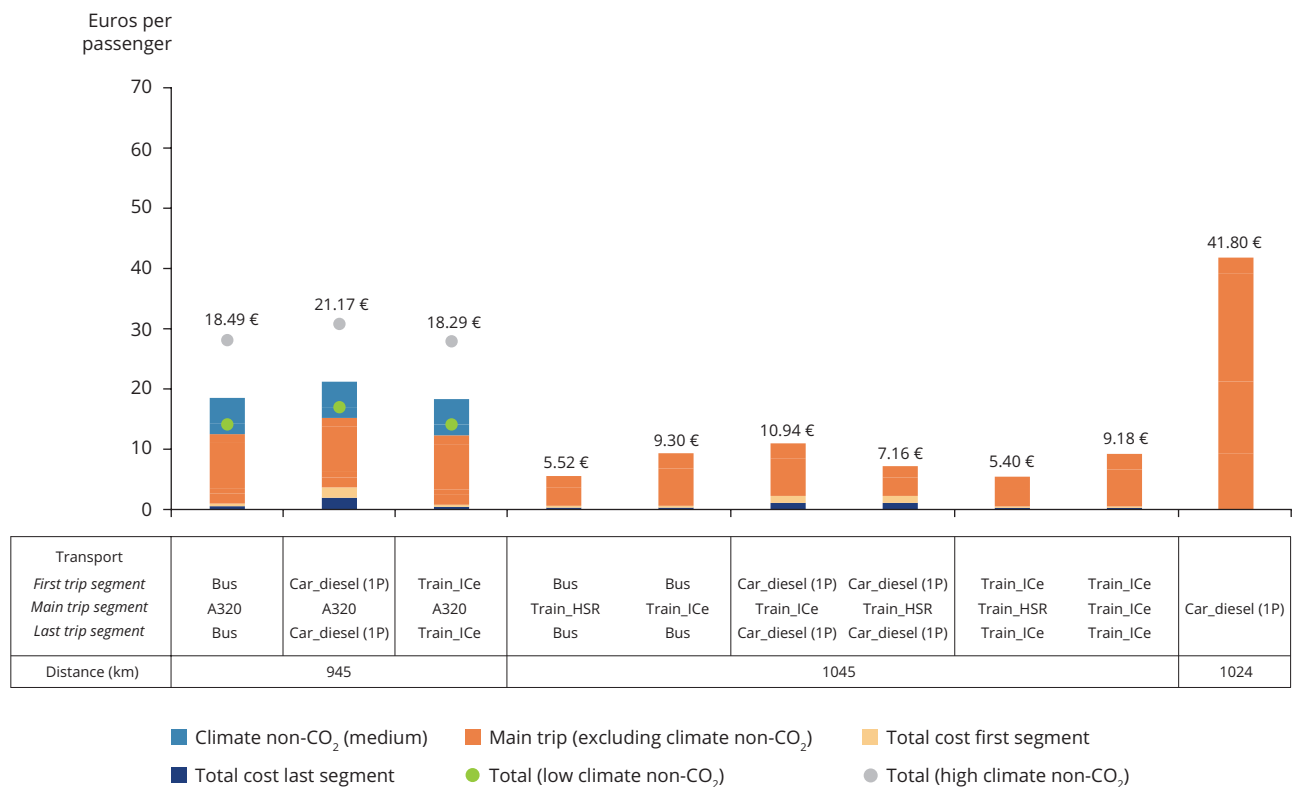
Source: EEA.

Figure 5.8 Environmental costs of main trip and pre- and post-transport phases — Barcelona-Paris



Source: EEA.

Figure 5.9 Environmental costs of main trip and pre- and post-transport — Sabadell-Versailles



Source: EEA.

5.3 The environmental impacts of the modal shift from air to rail

5.3.1 *Going beyond the relative environmental cost comparison for rail and air*

The analysis in Section 5.2 sheds light on the relative environmental performance of the two modes. To understand the environmental impact of a modal shift from air to rail passenger transport, it is, however, not enough to compare their current environmental costs.

Firstly, one needs to consider potential rebound effects linked to induced demand and the uptake of freed slots at airports. As mentioned in Section 2.4, more attractive rail options may lead to induced demand (people travelling more by rail or over longer distances). The coverage of aviation emissions by the EU ETS might also result in effects on emissions from other sectors (or flights not covered by the EU ETS), although such effects are relatively complex to determine (see Section 5.3.2).

Secondly, if the accommodation of additional rail travellers requires infrastructure investments and additional trains, the environmental costs of building, operating and maintaining the infrastructure and manufacturing the trains need to be taken into account (see Section 3.3.2).

5.3.2 *A focus on the EU Emissions Trading System*

The EU ETS is a key tool for reducing GHG emissions in the EU. It covers heavy energy-using installations (power stations and industrial plants) and airlines operating between Member States. Altogether, this represents about 45 % of EU GHG emissions.

The EU ETS is a 'cap and trade' system: it sets a cap on emissions from the activities it covers. Then, within the cap, companies receive or buy emission allowances. An emission allowance grants the right to emit 1 t CO₂e. The total number of allowances is set by the cap and is lower than the historical emissions. Companies can choose to reduce emissions or trade allowances with one another as needed in order to achieve GHG emission reductions at least cost. The EU ETS cap on emissions decreases each year according to a linear path. For the period 2013-2020, the total number of emission allowances that can be issued each year decreased by 1.74 % per year. From 2021 onwards, the annual rate will be 2.2 %. First introduced in 2005, the EU ETS has undergone many changes. It is currently in its third trading period (2013-2020). The next phase will apply for the period 2021-2030.

The EU ETS addresses, directly or indirectly, the GHG emissions of rail and aviation; it covers CO₂ emissions resulting from the production of electricity used by trains (emissions from stationary installations, including electricity and heat generation, energy-intensive industry and some industrial processes). About 72 % of total rail transport (in terms of train-km) takes place on electrified lines (Schroten et al., 2019). By incentivising the electrification of the rail infrastructure for the Trans-European Transport Network (TEN-T), the TEN-T policy leads to the shifting into the EU ETS of GHG emissions deriving from rail transport. The EU ETS also covers CO₂ emissions from aviation. The initial geographical scope of the EU ETS covered the CO₂ emissions of flights from, to and within the European Economic Area. To facilitate progress on the development of a global market-based measure within the International Civil Aviation Organization (ICAO), the scope was temporarily limited to flights within the European Economic Area (EU-27, Iceland, Liechtenstein, Norway and the United Kingdom, although no decision has yet been made regarding the United Kingdom beyond 2020 (EC, 2020d)) until 31 December 2023. Flights to and from the outermost regions of the EU (e.g. the Azores and the Canary Islands) are covered only if they occur in the same outermost region. As outlined in the *Inception impact assessment* published in July 2020, the Commission plans to adopt the proposal for a revision of the EU ETS for aviation by June 2021 (EC, 2020a). More than one third of EU aviation CO₂ emissions are currently covered by the system. It does not address non-CO₂ climate effects.

Since 2013, operators from the power generation sector must buy all of their allowances through auctions, with exceptions for some countries. In other stationary sectors, the proportion of allowances auctioned increases progressively.

In the aviation sector, the large majority (82 %) of allowances is distributed for free (or 'grandfathered'), 15 % is auctioned and 3 % is placed in a special reserve to provide allowances for new operators or for operators seeing a rapid growth in their activities. This share will also be subject to the review of the system announced by the European Commission in July 2020. Even with freely allocated allowances, the opportunity cost of an emission allowance is not equal to zero but rather to the ETS price; it is the benefit that one would gain from selling an allowance at the ETS price, rather than by using the allowance to cover a unit of emissions.

The EU ETS includes an incentive to use sustainable aviation fuels. The emission factor associated with such fuels for the monitoring of combustion emissions is set to zero in the guidelines for the monitoring and reporting of GHG emissions. To qualify for this zero-emission factor, the fuel needs to match the sustainability requirements of the Renewable Energy Directive.

Airlines can buy allowances from the other ETS sectors, but the opposite is not allowed for installations until the end of 2020. Some international credits can also be exchanged by aircraft operators for EU ETS emission allowances up to 1.5 % of their verified emissions during the period 2013-2020. In 2019, the aviation sector had to buy allowances from the other ETS sectors for 47 % of its emissions, corresponding to 32.1 megatonnes carbon dioxide equivalent (Mt CO₂e). International credits were exchanged for 0.3 Mt CO₂e (EEA, 2020a).

The market stability reserve

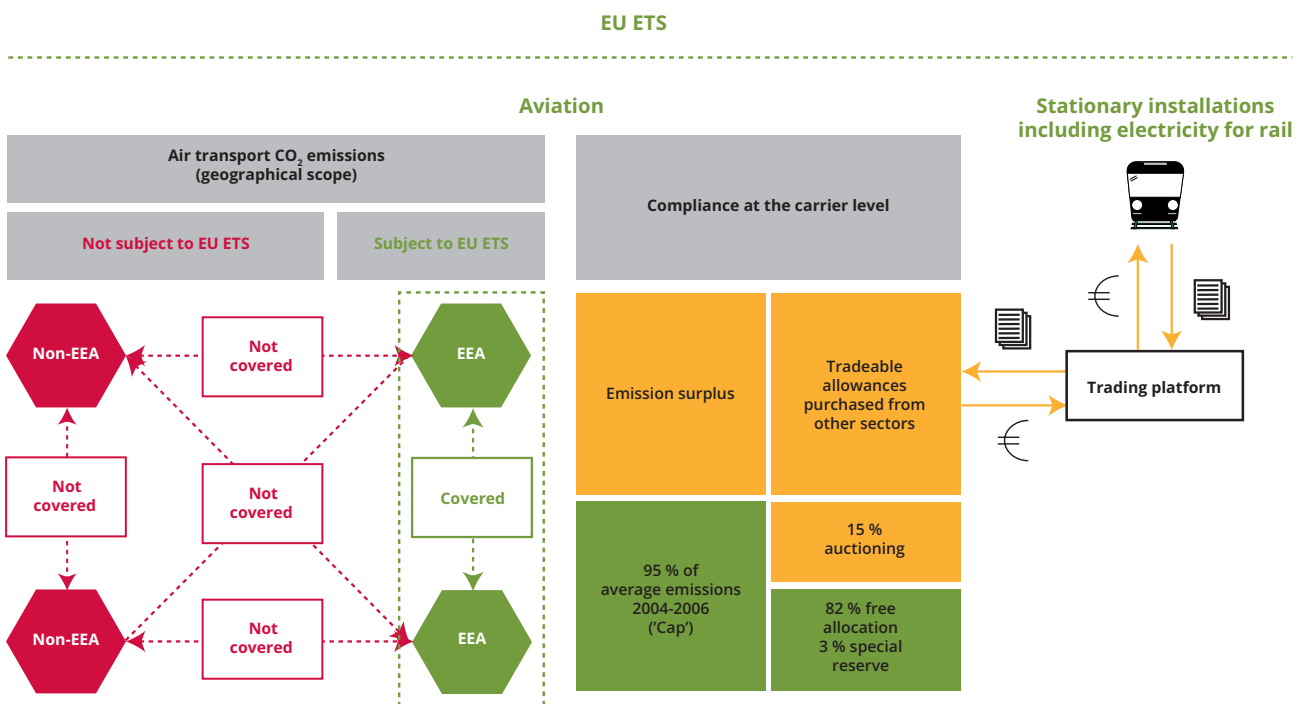
Since 2019, a correction mechanism, called the market stability reserve (MSR), has been in place. Because of a

surplus of permits in the past, due to the 2009 financial and economic crisis, among other things, and the low price of emission allowances, the Commission decided to limit the number of allowances further, depending on the surplus, as from 2019. As a result, the price of allowances has increased (Bruninx et al., 2020).

The MSR's operation is based on a set of pre-determined rules that, when the number of allowances exceed a certain threshold, put a proportion of the total number of allowances in circulation into a reserve. This is done to reduce the total number of allowances in circulation over time. If the number of allowances in circulation falls below a lower threshold, allowances are released from the reserve (EEA, 2019b). The size of the MSR is limited (EC, 2019a). If it exceeds a certain limit, a number of allowances will be cancelled as of 2023, leading to lower cumulative emissions than envisaged by the cap.

As the proportion of allowances to be placed in the reserve is calculated from emissions from stationary installations only, aviation emissions have no impact on the allowances that are placed in the MSR.

Figure 5.10 Scope of the EU ETS



Source: Adapted from Maertens et al. (2019). Reproduced under the terms and conditions of the Creative Commons CC BY 4.0 licence (<https://creativecommons.org/licenses/by/4.0>).

5.3.3 *The EU ETS and the reduction in GHG emissions from a modal shift from air to rail*

GHG emissions from aviation within the European Economic Area and from the electricity used in the rail sector are both part of the same cap and trade system — the EU ETS. For aviation, the EU ETS is relevant for CO₂ emissions related to the jet fuel burn as well as part of the WTT GHG emissions for the jet fuel produced in the countries covered by the EU ETS. The non-CO₂ impacts of aviation on climate change are not addressed by the EU ETS, and therefore the mechanisms described below do not apply to them. For rail transport, the EU ETS is relevant for the GHG emissions caused by electricity production.

The inclusion of CO₂ emissions from electricity used by rail and the emissions from intra-EEA aviation in the EU ETS means that an ETS price affects emissions from both modes of transport. As air transport is more carbon intensive than electric rail transport, the relative cost increase of air transport compared with that of rail transport is higher than in the absence of the EU ETS. Hence, this system encourages a modal shift from air to rail transport for the area covered by the EU ETS.

In the context of the EU ETS, the consequences of a modal shift from plane to train on the number of available allowances, ETS prices and, ultimately, GHG emissions are particularly complex to analyse. From a simplistic point of view, the net reduction in emissions for travel realised by the switch from air to rail would reduce the net demand for emission allowances; the freed-up allowances would become available to other ETS sectors, which would have the possibility of buying them and increasing their emissions. The total cap on emissions, which reflects the EU's ambitions to reduce GHG emissions, would continue to be respected.

In reality, such effects are difficult to analyse, in particular from the quantitative point of view. As explained above, aviation emissions do not affect the number of allowances placed in the MSR and therefore the number of auctioned allowances. In the current context, where the aviation sector is a net buyer of stationary allowances, the reduction in aviation emissions would reduce the overall demand for auctioned allowances. This would, in turn, be a factor in decreasing allowance auction prices — one of a range of many other factors affecting auction prices. This decreasing effect could be counterbalanced, to a limited extent, by the increased demand for allowances from the power generation sector in order to cover for increased electricity use resulting from train travel. The demand for allowances could also be affected by the increased emissions from other industrial ETS sectors contributing to the additional development of railway infrastructure (e.g. steel and cement).

The relative effects of these factors on auction prices and their further impact on emissions from other sectors could be determined by studying the relative impacts of various parameters to draw clear conclusions on the overall impact of a modal shift from aviation to rail. The overall effect of a decrease in aviation emissions would depend on the magnitude of the decrease.

Although there are uncertainties regarding the consequences of the EU ETS for the environmental costs of rail and air transport within the European Economic Area, the impacts of a modal shift from plane to train are much clearer for those environmental costs not covered by the EU ETS. These consist of the noise costs and the air pollution costs and for aviation they also include the non-CO₂ climate costs.

6 Further considerations and recommendations

Key messages

- The environmental performance of rail and air transport can be expected to improve in the future. Alongside market mechanisms, policies play an important role by providing incentives for the development and adoption of new technologies and fuels. Better traffic management, more efficient operations and other actions can also improve the environmental sustainability of rail and air transport.
- Confronting rail and air travellers with the non-internalised environmental costs of their travel choices is an important way to strike a balance between rail and air transport.
- Travel time cost and travel time reliability are the key parameters that influence modal choice. Improving the efficiency and reliability of rail passenger transport and making it more seamless across European borders is key to increasing its attractiveness. Factors such as convenience, comfort, quality of service and safety also merit additional attention.
- Although some of the approaches to increase rail travel's speed and capacity can be implemented in the short to medium term, many require substantial investments and take a long time. The same applies for the (re-)introduction of night trains, which could offer a strategy to deal with longer rail travel times. In all cases the social benefits should be compared with the social costs, including the environmental costs.

6.1 Introduction

The environmental performance of rail and air passenger transport presented in this report is a snapshot of the current situation. Therefore, it is important to also consider how this picture may change in the future. This is discussed in Section 6.2.

In addition, given the better environmental performance of rail compared with air travel, this chapter considers how the shift from air to rail can be promoted. This is the topic of Section 6.3.

A general consideration is that policymaking in this field requires good data. For air transport, Eurostat publishes a relatively extensive data set at various levels of detail, although data on air travel per distance class are not readily available. For rail transport, the publicly available data are more limited. These data gaps make assessing the situation today and evaluating existing and new policy options more difficult.

6.2 What factors influence the future environmental performance of these modes?

The environmental performance of rail and air travel as presented in Chapter 5 can be expected to change in the future. This will be the case not only for rail and air transport but also for the other modes of transport.

For air transport, the future environmental performance depends on further technical improvements in aircraft design and technology, including a possible move to electric, hybrid electric or hydrogen-powered aircraft for some market segments, improvements in air traffic management, improvements in air transport operations (e.g. changes in the occupancy rates of the aircraft), and the uptake of sustainable aviation fuels. Depending on the conversion technology and the type of feedstock, such fuels could realise a reduction in greenhouse gas (GHG) emissions of between 26 % and 94 % (EASA et al., 2019).

However, these figures do not yet take into account negative effects from (in)direct land use change. Recently, the role that synthetic fuels produced with renewable energy could play in the longer term has also attracted a lot of attention. The extent to which these developments take place will be influenced to a large degree by policies. The policies currently in place, including the EU Emissions Trading System (ETS), have been discussed in Chapter 4, but further incentives for research and development (R&D) of new technologies and sustainable aviation fuels, as well as other incentives for the uptake of these technologies and fuels, would accelerate change further. For example, for sustainable aviation fuels, various options exist, such as a fuel facilitation initiative, a fuel monitoring system, subsidies for such fuels, an auctioning system or an aviation-specific blending mandate (EASA, 2020a, 2020b; EC, 2020h).

In the rail sector, further improvements can also be expected. For rail transport, the further electrification of rail and the fact that electric rail transport is indirectly included in the EU ETS, with a decreasing cap, will reduce the climate impacts. The Renewable Energy Directive also aims to reduce the climate impact of electricity production. In addition, the environmental performance will be determined by the standards that are set for new trains and by the measures that are taken to reduce noise exposure. The environmental performance will also depend on the evolution of occupancy rates, as for air transport.

6.3 Actions and policies to promote a more environmentally sustainable modal choice

The promotion of rail transport over air transport, in the light of its better environmental performance, must build on an understanding of the factors that play a role when people make travel choices. As discussed in Section 2.4.1, the main factors influencing the modal choice are price, travel time, travel time reliability, frequency of the connections and other factors such as convenience, comfort and safety (Clewlow et al., 2014; Givoni and Dobruszkes, 2013; Savelberg and de Lange, 2018).

As discussed in Section 2.4, in some cases the presence of a high-speed rail (HSR) connection leads to fewer air services or could even result in discontinuing the air link. In the latter case the modal choice is between rail and road transport. The same situation would arise if governments put conditions on the air services that can still be offered for connections with a good rail connection. For example, in France the state aid provided to Air France during the COVID-19 crisis is

conditional on its limiting domestic flights between cities connected by a rail journey of less than 2.5 hours (RailExpress, 2020).

Considering then the case in which people do have the choice between rail and air transport, it is useful to consider in more detail what the factors influencing modal choice are.

The first factor is the **travel cost**. Both for rail and air travel, the direct financial cost is the ticket price. Given yield management strategies, there is high variability in fares. Fares depend on the time of booking and the time of travel, whether the trip can be changed and refunded or not, etc. Supplements often apply for air travel if one wishes to reserve a seat or take luggage.

For aviation, most countries in the European Economic Area charge value added tax (VAT) on domestic flights, with many countries applying lower rates than the standard VAT rates (CE Delft and SEO Amsterdam Economics, 2019). International air travellers do not pay VAT, and only Norway and Switzerland levy a tax on jet fuel for domestic flights. For travel in the area covered by the EU ETS, rail and air operators are (in) directly confronted with a carbon price via the EU ETS allowance cost. Depending on the pricing policies of the rail and air companies, this cost will form part of the ticket price. The EU ETS currently applies only to flights within the European Economic Area. The future impact of CORSIA (the Carbon Offsetting and Reduction Scheme for International Aviation) is still uncertain and it has not yet been decided how it will relate to the EU ETS. However, the offset price will increase the costs for airlines, which then also have to decide to what extent they want to let their customers pay for this, as in the case of the EU ETS.

Using taxes to confront travellers with the non-internalised environmental costs of their travel is an important way to create a balance between rail and air transport.

The second factor is **travel time cost and travel time reliability**. Travel time costs take into account the total travel time and the fact that different parts of the journey may be perceived as more costly than others. For example, the perceived time costs are higher for unpleasant or cumbersome parts of the journey (especially when one travels with luggage), such as transfers, the need to hurry to make a transfer and time required for security procedures. Apart from the travel time cost, the reliability of travel time also plays a role, which depends on congestion, incidents, etc. Action can be taken to improve rail travel time costs to make it a more attractive choice.

For air travel, the time flying is only part of the travel time. Travel time to and from the airport, as well as check-in time and time required for security procedures and luggage collection cause the total air travel time to be significantly longer than the time spent flying. Usually people experience this extra time as more bothersome than the time spent flying. The Flightpath 2050 vision (EC, 2011a) sets a concrete goal that '90 % of travellers should be able to complete their journey door-to-door within 4 hours throughout Europe'. It adds that 'Passengers ... are able to transfer seamlessly between transport modes to reach the final destination smoothly, predictably and on-time.' Such ambitions make it even more imperative to improve the travel time experience by rail.

For rail travel, the journey to and from the railway station can be shorter than that to the airport. However, as discussed in Section 5.3.2, the distance travelled for first and last mile travel depends on the point of origin and destination and whether they are close to the railway station. Usually when travelling by rail there is no extra time required for check-in, security and luggage reclaim. However, time spent on the train can be substantially longer and, if transfers need to be made, transfer time is experienced as more bothersome than the time on the train. In contrast, when travelling by train there may be more possibilities to work or relax than when travelling in economy class by air.

Rail travel time can be improved in various ways (APPM and Goudappel Coffeng, 2015), either by improving the efficiency of use of the existing infrastructure or by making changes in the infrastructure. Operators can improve the travel time costs by offering more direct connections or reducing the number of stops. The latter, of course, means that people that use these stops then have to make a transfer. Travel time can also be improved by improving cross-border travel and ensuring better interoperability, which the railway packages adopted at the EU level aim to realise. Other ways are to increase the speed on tracks where trains still run slower than the maximum speed, to increase the maximum speed of existing tracks, to build additional passing tracks that faster trains can use to pass slower trains or to provide new high-speed services on new high-speed tracks. Regarding HSR, Figure 2.3 in Chapter 2 presents the connections in the EU for which a further expansion of HSR infrastructure is planned. From this discussion, it is clear that in addition to the role for train operators, there are also roles for the EU, national governments and network operators.

Another strategy to deal with longer rail travel times for longer distances (e.g. between 800 and 1 200 km) is an increased use of night trains. The time and money cost of travel is then compensated for by saving the cost of hotel accommodation. For example, a recent report identified eight destinations that could potentially be served from the Netherlands: Copenhagen, Milan, Munich, Prague, Turin, Vienna, Warsaw and Zurich (Savelberg, 2019). Another example is the study by UIC and DB International (2013) on the potential for operating very-long-distance night trains on high-speed networks. In the study for the Netherlands, Savelberg (2019) points out that there are still a number of barriers, some of which are also mentioned in the study by UIC and DB International (2013). More specifically, night trains are costly to operate. To these costs, high infrastructure charges are added on account of the long distance and the presence of additional charges on top of the base tariff. The study also points out that the operators that were consulted consider the infrastructure charges high, although they do not yet cover all infrastructure costs. A point raised by the operators was the lack of a level playing field with air transport. Another barrier has to do with competition from regular rail services for the capacity of the infrastructure because both services run on the same infrastructure. The study estimates that, if these barriers are removed, in the long run 0.7-1 million trips per year could be made by night train on these connections (Savelberg, 2019). However, the noise impacts of night trains are larger than for similar trains during the day, because of the lower background noise levels and the health impacts of sleep disturbance (see Figure 3.10).

Although some of the approaches to increase rail travel speed can be relatively cheap and quick to implement, others require substantial investment and take more time. The same applies for the (re-)introduction of night trains. In all cases, the social benefits should be compared with the social costs, including the environmental costs. A report by the ECA (2018) points out that a good assessment of the costs and benefits is often lacking and stresses the need for a cost-benefit analysis to support decisions. Moreover, it points to the importance of coordination across borders. In the case of investments in new HSR infrastructure, Chapter 2 identified the conditions that ensure that the GHG costs embedded in the construction of the infrastructure are compensated for by a reduction in emissions from travelling. When assessing the emission impacts of travelling, account must also be taken of the expected future developments in the emission factors for the different modes of transport.

The **frequency of the service** is another factor that influences the modal choice. Car transport is very flexible in that respect. However, in the case of rail and air transport, travel is according to set train schedules and flight plans. The frequency determines how many passengers can be transported (together with the capacity of the train/aircraft). A schedule with higher frequency services is more likely to correspond to travellers' desired time schedule. If that is not the case, so-called schedule delay costs arise, because one arrives earlier or later than desired. A higher frequency also reduces the transfer time between two journey legs.

Another way of motivating people to make environmentally sustainable choices is to provide information on the environmental impacts of their modal choice in order to increase their awareness of the problems. For example, the European Union Aviation Safety Agency (EASA) was recently tasked by the Commission to work on the concept of environmental labelling in line with EU policies and with a view to allowing intermodal comparisons (EASA, 2019). Another example is the EcoPassenger platform developed for the International Union of Railways (UIC, 2020a).

Finally, factors such as **convenience, comfort, quality of service and safety** play a role in modal choices. These factors are generally more difficult to quantify but should not be ignored. How easy is it to find information on travel routes and fares and to book trips? Is there integrated ticketing and rail travel planning across borders? What is the quality of information available before booking a trip and also during the trip, especially in the case of delays or cancellations? Is there financial compensation in the case of missed connections and how easily can it be obtained? How much luggage can one take and how easy is it to travel with luggage? How comfortable and safe is it to travel? These are factors under the control of transport operators, but policies also form a framework for them to work in.

A good understanding of each of these factors is essential for bringing about the desired shift to the most sustainable modes of transport. This report sought to explore a shift from air to rail transport and the environmental and health benefits that it could bring about. By doing so, its authors hope to contribute to the important debate on how to make Europe's mobility system fit for the future.

Abbreviations, symbols and units

µm	Micrometre
ATM	Air traffic management
CCD	Climb, cruise, descent
CEF	Connecting Europe Facility
CER	Community of European Railway and Infrastructure Companies
CO	Carbon monoxide
CO ₂	Carbon dioxide
CO ₂ e	Carbon dioxide equivalent
CORSIA	Carbon Offsetting and Reduction Scheme for International Aviation
COVID-19	Coronavirus disease 2019
dB	Decibel
EASA	European Union Aviation Safety Agency
ECA	European Court of Auditors
EEA	European Environment Agency
EFTA	European Free Trade Association
EIB	European Investment Bank
END	Environmental Noise Directive
ERA	European Railway Agency
ERDF	European Regional Development Fund
ESIF	European Structural and Investment Fund
ETS	Emissions Trading System
EU	European Union
ft	Feet
g	Gram
GDP	Gross domestic product
GHG	Greenhouse gas
GRACE	Generalisation of Research on Accounts and Cost Estimation
HC	Hydrocarbon
HSR	High-speed rail
IATA	International Air Transport Association
ICAO	International Civil Aviation Organization
IC	Intercity train
ICe	Intercity electric train
IEA	International Energy Agency
ILUC	Indirect land use change
IZT	Institute for Future Studies and Technology Assessment (Germany)
JU	Joint undertaking
kg	Kilogram
KiM	Netherlands Institute for Transport Policy Analysis

km	Kilometre
KPI	Key performance indicator
kWh	Kilowatt-hour
l	Litre
LCA	Life cycle analysis
L _{den}	Day- evening-night-level indicator of noise
L _{night}	Night-level indicator of noise
LTO	Landing and take-off
LULUCF	Land use, land use change and forestry
MJ	Megajoule
MSR	Market stability reserve
Mt	Million tonnes
MtCO ₂ e	Megatonnes carbon dioxide equivalent
NH ₃	Ammonia
N ₂ O	Nitrous oxide
NMVOC	Non-methane volatile organic compound
NO _x	Nitrogen oxides
NRMM	Non-road mobile machinery
nvPM	Non-volatile particulate matter
PAH	Polycyclic aromatic hydrocarbon
Pb	Lead
PM	Particulate matter
PM _{2.5}	Particulate matter with a diameter of 2.5 µm or less
PM ₁₀	Particulate matter with a diameter of 10 µm or less
R&D	Research and development
RED	Renewable Energy Directive
RPK	Revenue passenger-km
RTK	Revenue tonne-km
S2R	Shift2Rail
SAF	Sustainable aviation fuel
SDG	Sustainable Development Goal
SES	Single European Sky initiative
SESAR	Single European Sky Air Traffic Management Research
SO ₂	Sulphur dioxide
SO _x	Sulphur oxides
t	Tonne
T&E	Transport & Environment
TEN-T	Trans-European Transport Network
TERM	Transport and Environment Reporting Mechanism
TSP	Total suspended particles
UIC	International Union of Railways
UN	United Nations
TTW	Tank-to-wheel
VAT	Value added tax
vkm	Vehicle-kilometre
WHO	World Health Organization
WTT	Well-to-tank
WTW	Well-to-wheel/-wake

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Annex 1

Inputs for calculating the environmental costs per trip

Distances

For the main trip the distances are determined as follows: for car travel, the distance between the city centres is taken, using the fastest route according to a web mapping service. For air transport, the distance is based on data provided by Eurocontrol. For rail transport, the distance via the rail network is difficult to obtain; therefore, the distance is currently approximated by the car distance.

For transport to and from the main railway station or airport, the distance by car from the city centre to the main railway station or airport is used. Three modes are considered: car, bus and (heavy) rail. If travel distances are short they can also be covered on foot, in

which case the environmental impact is zero. However, people may also choose to use another mode, even for short distances, when they have to take luggage. No account could be taken of the actual travel options and travel distances in each case, as this information could not be obtained from online route planners during the COVID-19 outbreak. Therefore, the findings for travel to and from the main railway stations or airports should be seen as approximations.

Aircraft types and train types for main trip between city pairs

Table A1.1 gives an overview of the aircraft used in the different city pairs, as well as their capacity and the occupancy assumed in the calculations.

Table A1.1 Aircraft types, capacity and occupancy

Aircraft type	Abbreviation	Maximum capacity	Typical capacity	Source
Airbus A320	A320	189	150-180	Airbus website (a)
Airbus A321	A321	220	180-220	Airbus website (b)
Boeing 737-800	B738	189	162-189	Boeing website (c)
Embraer E190	E190	114	96-114	Embraer website (d)
Boeing 737-700	B737	149	126-149	Boeing website (e)
Airbus A319	A319neo	160	120-150	Airbus website (f)
Embraer EMB 120 Brasilia	E120	31	31	Seatguru website (g)
Boeing B757-200	B752	234	169-234	Seatguru website (h)
Embraer E195	E195	124	100-124	Embraer website (i)
Airbus A318	A318	132	90-110	Airbus website (j)
Boeing 737-400	B734	144	144	Seatguru website (k)
Fokker 100	F100	100	100	Seatguru website (l)
Boeing 737-300	B733	148	148	Seatguru website (m)
Airbus A330-200	A332	406	210-250	Airbus website (n)
Airbus A300-600	A306	345	210-250	Airbus website (o)
Bombardier CRJ-900	CRJ9	76	76	Seatguru website (p)
ATR 72-600	ATR72	78	44-78	ATR aircraft website (q)
Bombardier CRJ-700	CRJ7	65	63-65	Seatguru website (r)
Embraer ERJ-175	E75S	80	76-80	Seatguru website (s)

- Notes:**
- (a) <https://www.airbus.com/aircraft/passenger-aircraft/a320-family/a320neo.html>
 - (b) <https://www.airbus.com/aircraft/passenger-aircraft/a320-family/a321neo.html>
 - (c) <https://www.boeing.com/commercial/737ng/>
 - (d) <https://www.embraercommercialaviation.com/commercial-jets/e190/>
 - (e) <https://www.boeing.com/commercial/737ng/>
 - (f) <https://www.airbus.com/aircraft/passenger-aircraft/a320-family/a319neo.html>
 - (g) https://seatguru.com/airlines/United_Airlines/United_Airlines_Embraer_EMB-120.php
 - (h) https://www.seatguru.com/airlines/Delta_Airlines/Delta_Airlines_Boeing_757-300_75Y.php
 - (i) <https://www.embraercommercialaviation.com/commercial-jets/e195/>
 - (j) <https://www.airbus.com/aircraft/passenger-aircraft/a320-family/a318.html>
 - (k) https://www.seatguru.com/airlines/LOT_Polish_Airlines/LOT_Polish_Airlines_Boeing_737-400.php
 - (l) https://www.seatguru.com/airlines/Virgin_Australia/Virgin_Australia_Fokker_F100.php
 - (m) https://www.seatguru.com/airlines/Jet2com/Jet2_Boeing_737-300.php
 - (n) <https://www.airbus.com/aircraft/passenger-aircraft/a330-family/a330-200.html>
 - (o) <https://www.airbus.com/aircraft/previous-generation-aircraft/a300-600.html>
 - (p) https://www.seatguru.com/airlines/Delta_Airlines/Delta_Airlines_Canadair_CRJ900_C.php
 - (q) <http://www.atraircraft.com/products/ATR-72-600.html>
 - (r) https://www.seatguru.com/airlines/American_Airlines/American_Airlines_CRJ700.php
 - (s) https://www.seatguru.com/airlines/American_Airlines/American_Airlines_Embraer_EMB-175.php

Table A1.2 presents the aircraft considered for each city pair. The aircraft reported are based on data from Eurocontrol on the number of flights by different aircraft types on the trajectories. The aircraft types reported are those for which emission factors are available in EEA (2019a) (more specifically Annex 5 for 1.A.3.a Aviation). This means that the aircraft type ranked first for a certain city pair is the type for which emission factors are available and is used most for the city pair. It could be that another aircraft type is used more often, but that no emission factors are available in the calculator. The same applies to aircraft types 2-5.

The aircraft types reported in the table were used on the trajectories to calculate the emissions and the costs related to these emissions.

The column 'HSR or IC' in the table indicates whether there is a high-speed rail (HSR) connection available on the specific trajectory or an intercity train (IC). This information was deducted from the Trainline website and a popular web mapping service.

Finally, the last column, 'Occupancy rate', presents the average occupancy rate for the airport pairs in 2018, as derived from Eurostat (2020a).

Table A1.2 Most frequently used aircraft per city pair and type of fastest rail connection

Origin	Destination	Aircraft 1	Aircraft 2	Aircraft 3	Aircraft 4	Aircraft 5	HSR or IC	Occupancy rate (%)
Amsterdam	Paris	B738	A321	A319	B737	A320	HSR	86
Amsterdam	Copenhagen	B738	B737	A320	E190	A20N	IC	83
Athens	Thessaloniki	A320	A319	A321	B738	E120	IC	80
Barcelona	Paris	A320	A321	A20N	B752	A319	HSR	87
Berlin	Vienna	A320	A319	E195	A321	E190	IC	70
Brussels	Frankfurt am Main	A320	CRJ9	A20N	A319	A321	HSR	71
Bucharest	Cluj-Napoca	B738	AT45	A318	B734	B737	IC	76
Budapest	Frankfurt am Main	A321	A320	E190	A319	CRJ9	IC	76
Copenhagen	Stockholm	A320	A319	B737	A318	B738	HSR	66
Frankfurt am Main	Hamburg	A321	A320	A20N	A319	A21N	HSR	74
Frankfurt am Main	Ljubljana	CRJ9	A319	CRJ7	F100	SB20	IC	67
Krakow	Warsaw	DH8D	E195	C295	E75S	B738	HSR	74
Lyon	Paris	A320	A319	A321	B733	A318	HSR	81
Madrid	Barcelona	A320	A321	A20N	A332	B738	HSR	80
Madrid	Lisbon	A320	E195	A319	A321	A20N	IC	84
Milan	Paris	A320	A318	A319	A306	E75S	HSR	84
Oslo	Stockholm	B738	A20N	B737	A320	CRJ9	IC	66
Milan	Vienna	A320	B738	A321	A319	A20N	HSR	63
Sofia	Varna	E190	A319	B733	A320	BE20	IC	80
Vienna	Zurich	A320	A319	A321	E190	BCS3	IC	77

Note: See Table A1.1 for definitions of the abbreviations of the aircraft types.

Sources: Eurocontrol, Trainline/web mapping service; Eurostat (2020a).

Emission factors and fuel consumption

For cars with an internal combustion engine, buses and aeroplanes the emission factors and fuel consumption per kilometre are taken from the EEA emission inventory guidebook 2019 (EEA, 2019a). The same source is also used for the emissions related to the landing and take-off (LTO) of the aeroplanes. For the electric car, the electricity consumption per

kilometre is based on the range of values presented in Baveling et al. (2020). For rail transport, the energy consumption per vehicle-km is taken from Schroten et al. (2019). The well-to-tank greenhouse gas (GHG) emissions related to the consumption of diesel, gasoline and jet fuel are based on Knörr and Hüttermann (2016). For the CO₂ emission intensity of electricity production, the average value for the EU-27 is taken from (EEA, 2020c).

Table A1.3 Exhaust emissions and energy consumption: car, bus

	CO	NM VOC	NO _x	N ₂ O	NH ₃	Pb	CO ₂ lubrication	PM _{2.5}	Energy consumption
	g/km	g/km	g/km	g/km	g/km	g/km	g/km	g/km	
Petrol car medium Euro 6 up to 2016	0.620	0.065	0.061	0.0013	0.0123	1.82E-05	0.398	0.0014	66 g/km
Diesel car medium Euro 6 up to 2016	0.049	0.008	0.450	0.0040	0.0019	1.82E-05	0.398	0.0015	55 g/km
Electric car									17 kWh/100km
Bus diesel, Euro VI	0.223	0.220	0.597	0.0400	0.0090	1.51E-05	0.265	0.0023	301 g/km

Note: NM VOC, non-methane volatile organic compound; PM_{2.5}, particulate matter with a diameter of 2.5 µm or less

Sources: EEA (2019a) (Exhaust emissions — Table 3.17, Table 3.18; Tier 2 method) (Energy consumption — Table 3.27); Baveling et al. (2020).

Table A1.4 Emissions of road vehicle tyre and break wear: car, bus

	TSP (g/km)	PM ₁₀ (g/km)	PM _{2.5} (g/km)
Passenger car	0.0182	0.0138	0.00740
Bus	0.0770	0.0590	0.03160

Note: PM_{2.5}, particulate matter with a diameter of 2.5 µm or less; PM₁₀, particulate matter with a diameter of 10 µm or less; TSP, total suspended particles.

Source: (EEA, 2019a) (Tier 1 method; Table 3.1, p. 13).

Table A1.5 Emissions of road surface wear: car, bus

	TSP (g/km)	PM ₁₀ (g/km)	PM _{2.5} (g/km)
Passenger car	0.0150	0.00750	0.00410
Bus	0.0760	0.03800	0.02050

Note: PM_{2.5}, particulate matter with a diameter of 2.5 µm or less; PM₁₀, particulate matter with a diameter of 10 µm or less; TSP, total suspended particles.

Source: EEA (2019a) (Road surface wear — Table 3.2 p.14).

Table A1.6 Emission factors and fuel consumption for landing and take-off (LTO) and climb, cruise, descent (CCD) (A320)

Aircraft type	Estimated parameters (based on year 2015)						
	A320 Airbus	Most frequently observed cruise flight level (100 ft)	Duration (hh:mm:ss)	Fuel burn (kg)	CO ₂ (kg)	NO _x (kg)	SO _x (kg)
Default LTO (1) cycle (see table below)	Default for a busy European airport, year 2015		0:27:00	742.54	2 338.99	10.97	0.62
	ICAO default		0:32:54	816.17	2 570.93	11.28	0.69
'Climb, cruise, descent' stage length (NM)	125	180	0:21:37	931.92	2 935.54	17.53	0.78
	200	270	0:31:18	1 356.45	4 272.81	25.70	1.14
	250	280	0:37:44	1 647.38	5 189.25	30.11	1.38
	500	320	1:10:49	2 946.00	9 279.91	47.54	2.47
	750	360	1:45:05	4 124.49	12 992.15	62.11	3.46
	1 000	380	2:18:37	5 273.37	16 611.12	76.68	4.43
	1 500	380	3:25:45	7 768.61	24 471.13	108.80	6.53
	2 000	380	4:32:47	10 483.84	33 024.10	144.83	8.81
	2 500	380	5:39:50	12 914.24	40 679.86	175.23	10.85
	3 000	380	6:46:01	15 846.86	49 917.60	216.57	13.31

Note: HC, hydrocarbon; NM, nautical mile; PM, particulate matter.

Source: EEA (2019a) (Annex 5).

Table A1.6 Emission factors and fuel consumption for landing and take-off (LTO) and climb, cruise, descent (CCD) (A320) (cont.)

Aircraft type	Estimated parameters (based on year 2015)						
	A320 Airbus	H ₂ O (kg)	CO (kg)	HC (kg)	PM non volatile (kg)	PM volatile (organic + sulphurous) (kg)	PM Total (kg) (3)
Default LTO (1) cycle (see table below)	Default for a busy European airport, year 2015	913.32	6.52	1.30	0.0066	0.0536	0.0602
	ICAO default	1 003.89	8.25	1.64	0.0067	0.0593	0.0661
	125	1 146.26	3.02	0.62	0.0126	0.0802	0.0928
	200	1 668.43	4.49	0.92	0.0207	0.1265	0.1472
	250	2 026.28	5.00	1.03	0.0233	0.1592	0.1825
	500	3 623.58	7.36	1.55	0.0321	0.3192	0.3513
'Climb, cruise, descent' stage length (NM)	750	5 073.12	9.79	2.08	0.0407	0.4846	0.5253
	1 000	6 486.25	12.33	2.64	0.0532	0.6708	0.7240
	1 500	9 555.39	16.71	3.61	0.0726	1.0199	1.0925
	2 000	12 895.09	20.69	4.49	0.0872	1.3407	1.4279
	2 500	15 884.44	25.01	5.45	0.1048	1.6856	1.7904
	3 000	19 491.56	28.99	6.34	0.1216	2.0047	2.1263

Note: HC, hydrocarbon; NM, nautical mile; PM, particulate matter.

Source: EEA (2019a) (Annex 5).

Table A1.7 Technical and operational characteristics of reference passenger trains

Characteristics	High speed	Intercity		Regional		Source
		Electric	Diesel	Electric	Diesel	
Reference vehicle ID	PT1	PT2	PT3	PT4	PT5	
Presence of tilting technology	Yes	Yes	Yes	No	No	www.railway-technology.com
Train length (m)	200	200	200	110	110	High speed: UIC, Siemens (2017a) Intercity: CE Delft (2017); Fornelli (2013) Regional trains: Estimations made based on Table of Train Weights (2013); Heros (undated, a); Heros (undated, b)
Train weight (t)	450	450	450	250	250	High speed: SNCF Intercity/regional trains: Table of Train Weights (2013)
Maximum axle weight (t)	17.7	n/a	n/a	n/a	n/a	UIC
Axle load (t)	17.5	21.5	21.5	15	15	High speed: Siemens (2017a, 2017b) Intercity: CE Delft (2017) Regional train: Fornelli (2013)
Maximum speed (km/h)	320	160	160	140	140	High speed: NCRRP (2015); SNCF Intercity and regional: Fornelli (2013)
Number of seats	500	500	500	350	350	Fornelli (2013); NCRRP (2015); Railway Technology (undated); Siemens (2017a); Talgo (2017); Trenitalia (2017)
Number of passengers per train	330	180	180	105	105	High speed: based on an EU average occupancy rate of 66 % (estimated based on UIC (2013), Ortega (2013), EEA (2016b), Doomernik (2014), Dinu (2016) and Italo (2016)). Intercity: based on average occupancy rate of 36 % (estimated based on CE Delft (2014) and Hayashi et al. (2015)) Regional train: based on average occupancy rate of 30 % (based on CE Delft (2014) and (UITP, 2016))
Energy consumption (kWh/vkm if electric or l/km if diesel)	20	15	4	12.5	3	CE Delft (2014); Bosquet et al. (2013); NCRRP (2015); Lukaszewicz and Andersson (2009)

Note: vkm, vehicle-km.

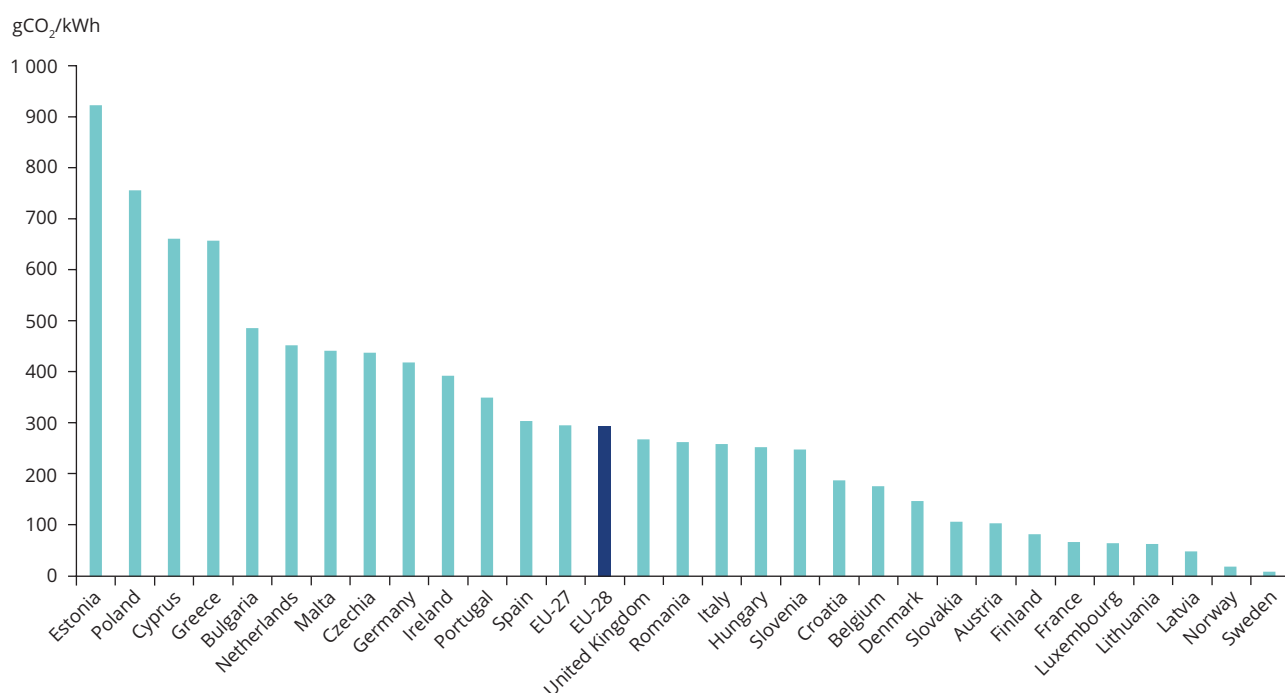
Source: Schroten et al. (2019), for the references see that publication.

Table A1.8 Well-to-tank emission factors for petrol, diesel and jet fuel

	CO ₂ (kg/kg)	NO _x (g/kg)	NM VOC (g/kg)	PM (g/kg)
Petrol (including biofuels)	0.46	1.7	2.1	0.07
Diesel (including biofuels)	0.48	1.8	1.5	0.08
Kerosene	0.63	1.7	1.5	0.06

Note: NM VOC, non-methane volatile organic compound; PM, particulate matter.

Source: Knörr and Hüttermann (2016) (Table 2.1).

Figure A1.1 CO₂ emission intensity of electricity production per Member State, 2017

Source: EEA (2020c).

Monetary valuation per unit of emission (air pollutants and greenhouse gas) and of noise costs

Table A1.9 The cost of emissions of air pollutants (euro/kg) and greenhouse gas (GHG) (euro/tonne)

Pollutant	Unit	Value
CO ₂ e central	EUR/tonne	100
CO ₂ e low		60
CO ₂ e high		189
NH ₃	EUR/kg	17.5
NM VOC		1.2
SO ₂		10.9
NO _x transport, city		21.3
NO _x transport, rural		12.6
PM _{2.5} transport, metropole		381
PM _{2.5} transport, city		123
PM _{2.5} transport, rural		70
PM ₁₀ average		22.3

Note: NM VOC, non-methane volatile organic compound; PM_{2.5}, particulate matter with a diameter of 2.5 µm or less; PM₁₀, particulate matter with a diameter of 10 µm or less.

Source: EC (2019d) (Table 14 (air pollutants) and Table 24 (CO₂e)).

For NO_x and particulate matter with a diameter of 2.5 µm or less (PM_{2.5}) the costs depend on the location where the pollutants are emitted, i.e. cities/metropolises or rural areas. In the calculations the following cost factors are used:

- Cars: the city factor is used in all the cases, also on the main trajectory.
- Buses: buses are used only on pre- and post-trajectories and therefore the cost factors are the same as those that hold in cities.
- Trains:
 - The air pollutant emissions of electricity production are not yet taken into account. When they are taken into account at a later stage, the cost factor from EC (2019d) for the well-to-tank emissions will be used, which makes no distinction between metropolitan, city and rural areas.
- Aircraft:
 - Diesel trains are used only for pre- and post-transport in some cases and therefore the city factors are used for the air pollution cost factors.
 - The air pollution costs are taken into account only during the LTO phase. Because airports are mainly located outside the city centre, the cost factors chosen are the rural factors.
 - For the climb, cruise, descent (CCD) phase the air pollution costs are not taken into account. Currently, the impacts of air pollution at high altitude have not been sufficiently well studied to make a good cost estimation for them.

Table A1.10 Average noise costs per transport mode

Mode	Unit	Value
Passenger car	EUR/vehicle-km	0.009
Bus		0.08
High-speed train	EUR/train-km	0.97
Electric train		1.06
Diesel train		0.81
Aircraft	EUR/LTO	257

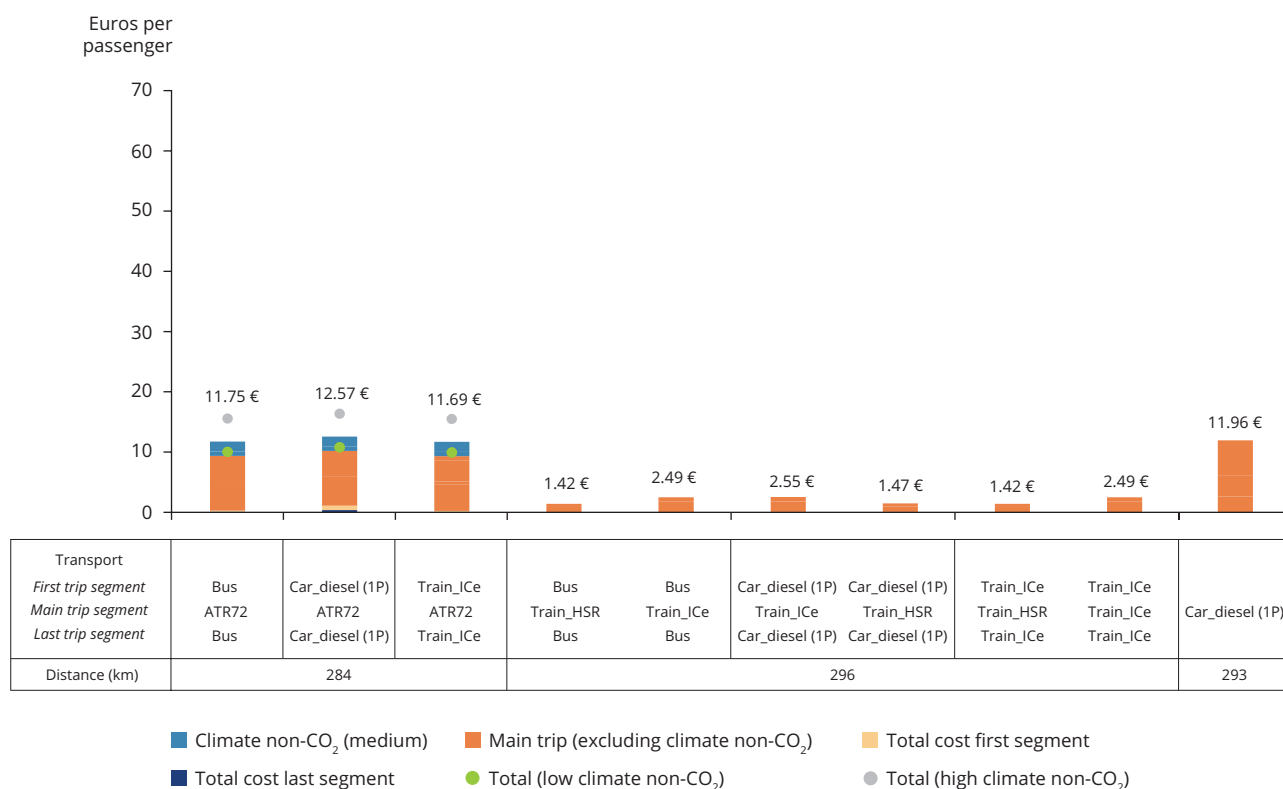
Source: EC (2019d).

Annex 2 Environmental costs per city pair

This annex gives the environmental costs for all main and alternative city pairs, with a distinction according to the environmental costs for transport to/from the railway station (pre- and post-transport) and for the main trip. In each case, these graphs are shown first for the main city pair and then for the alternative city pair.

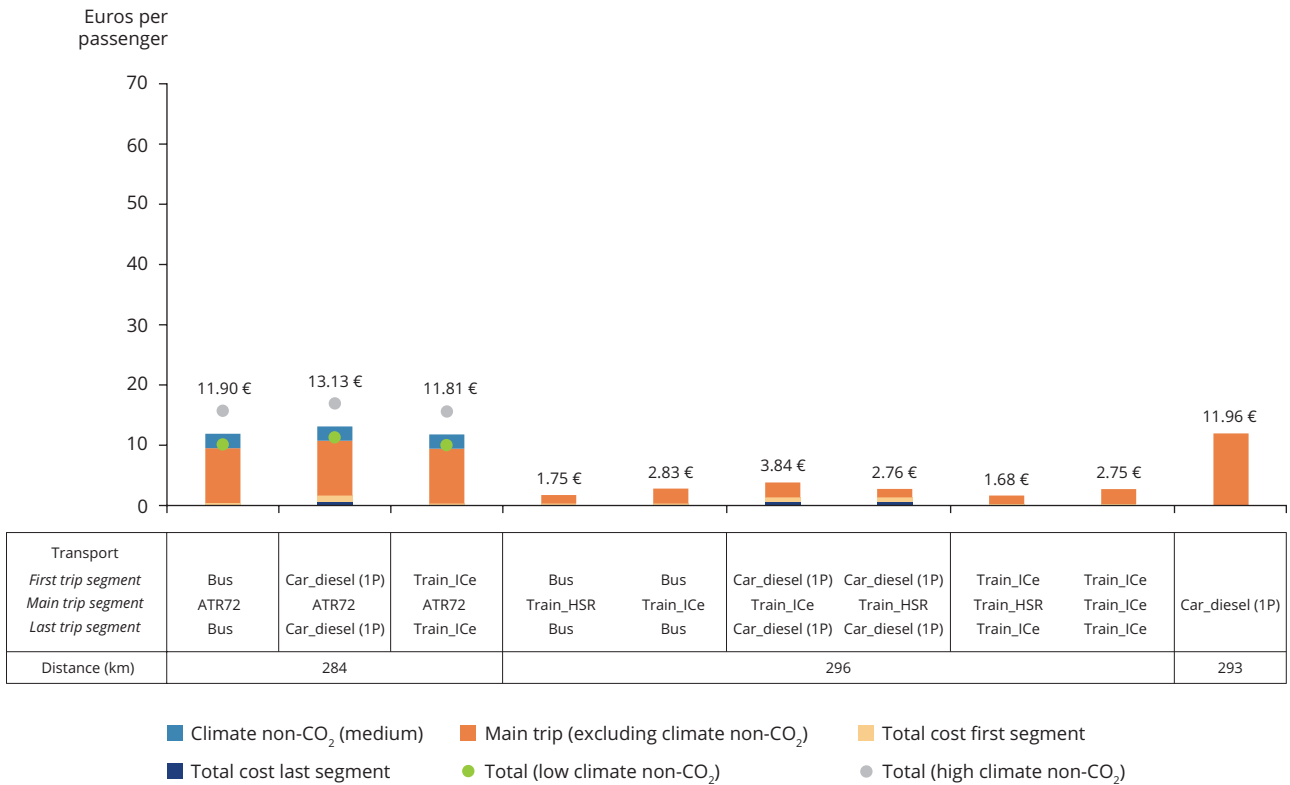
For each main transport mode, the figures also present the number of kilometres between the city pairs (for car) and the main city pairs (for air and rail).

Figure A2.1 Environmental costs of main trip and pre/post transport — (1) Krakow-Warsaw



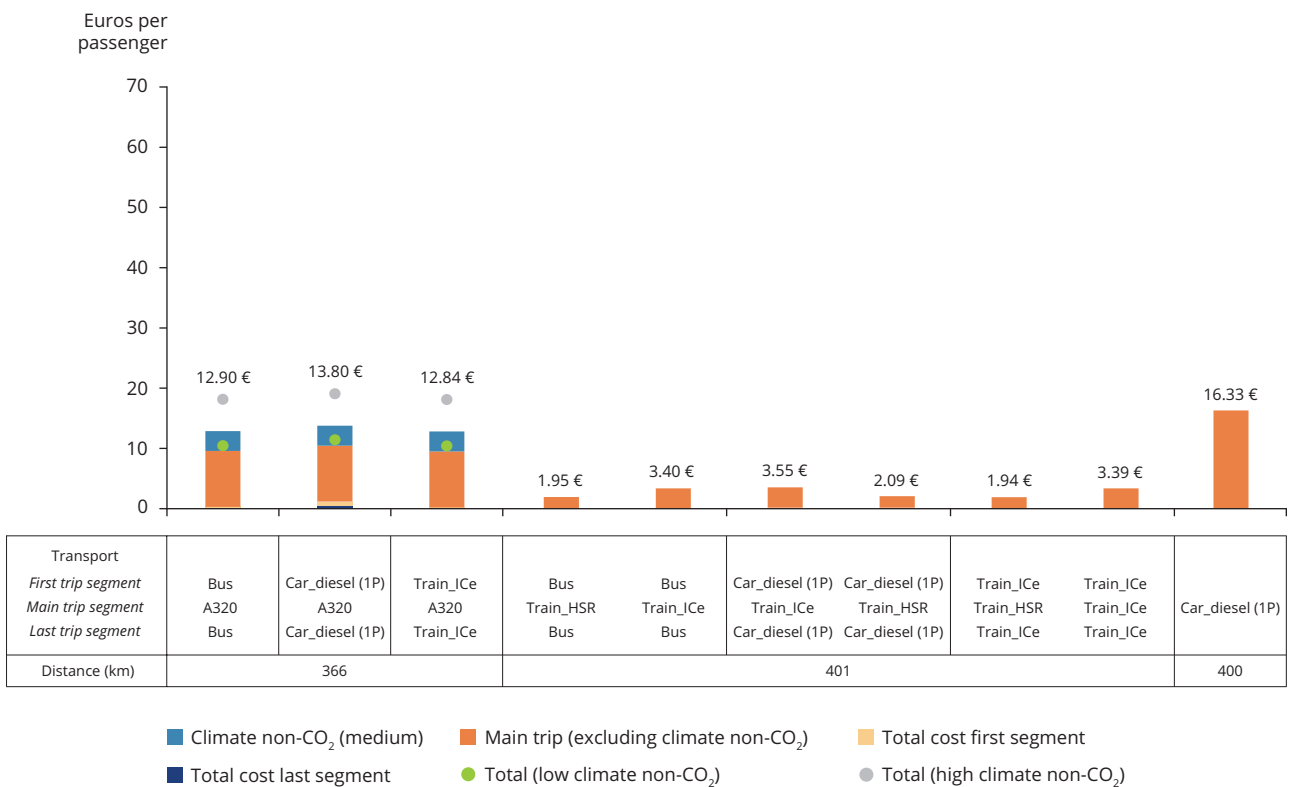
Source: EEA.

Figure A2.2 Environmental costs of main trip and pre/post transport — (1alt) Wieliczka-Piaseczno



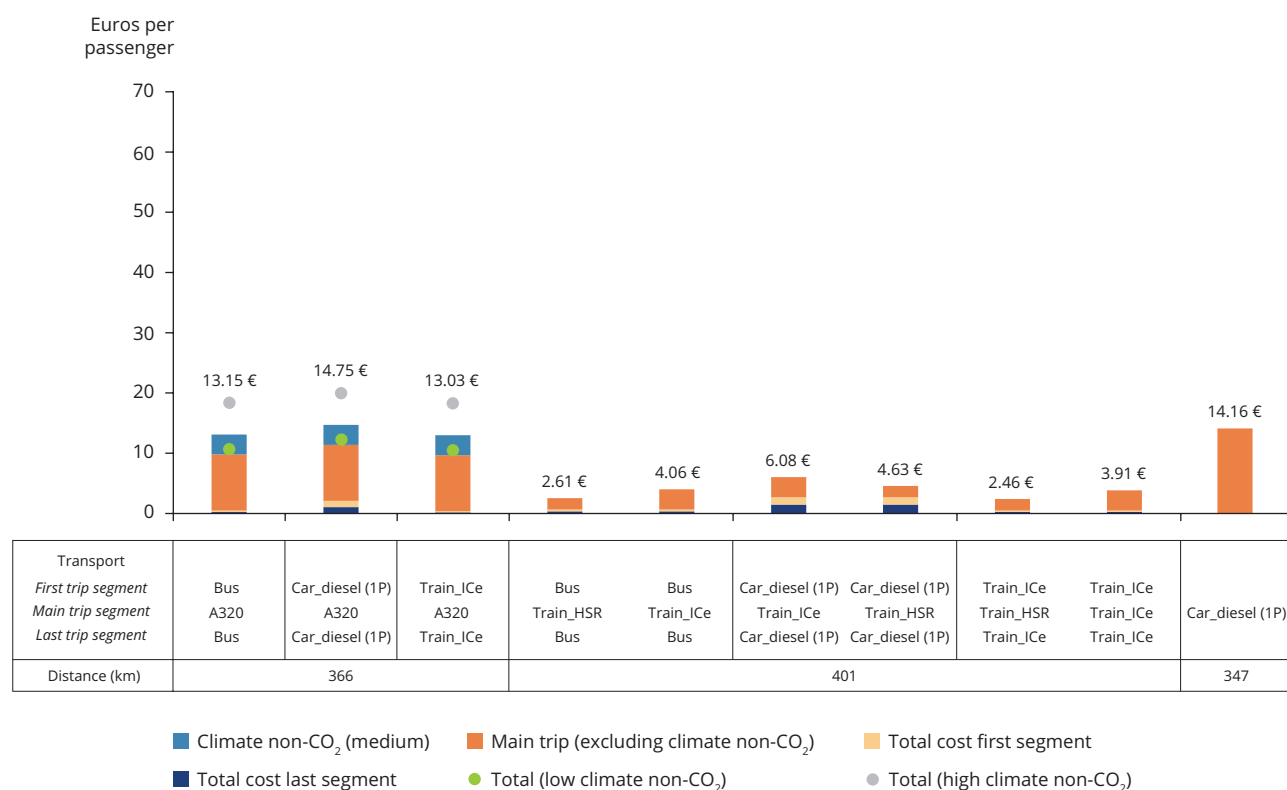
Source: EEA.

Figure A2.3 Environmental costs of main trip and pre/post transport — (2) Brussels-Frankfurt am Main



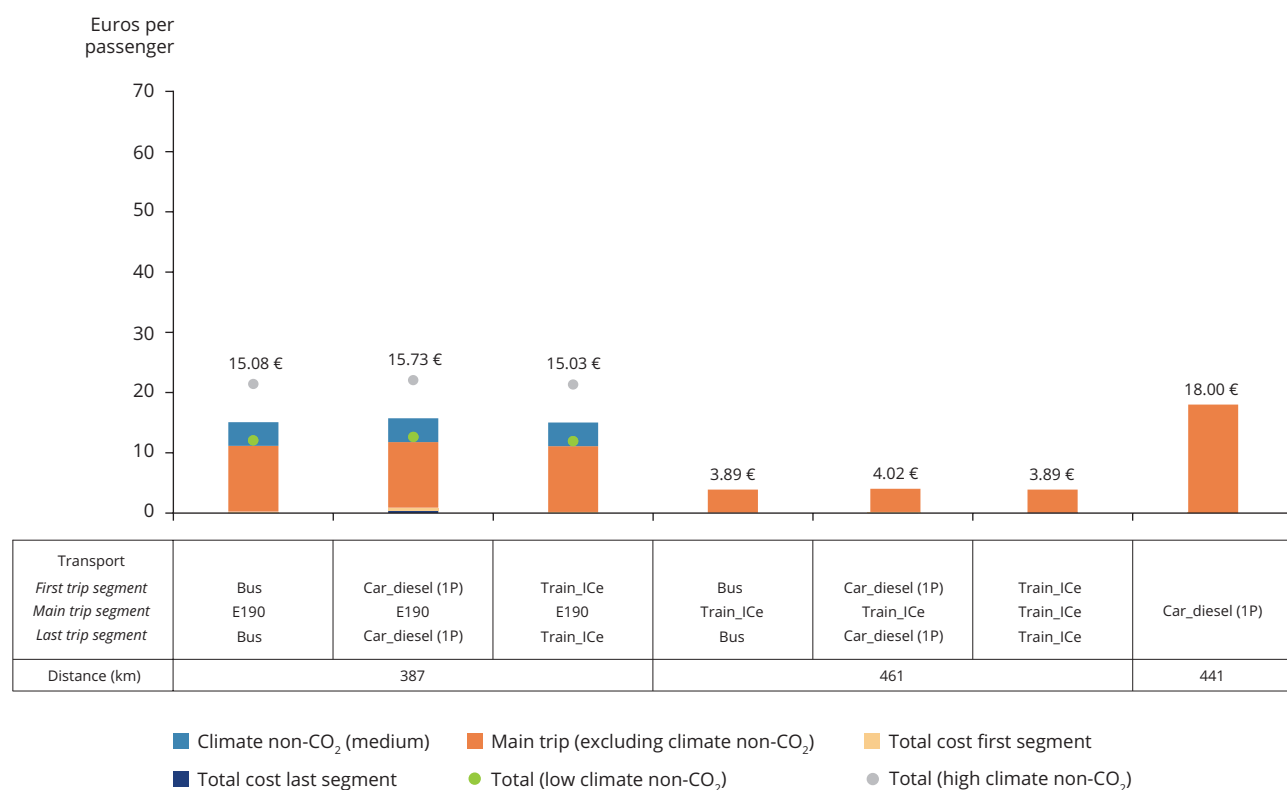
Source: EEA.

Figure A2.4 Environmental costs of main trip and pre/post transport — (2alt) Leuven-Wiesbaden



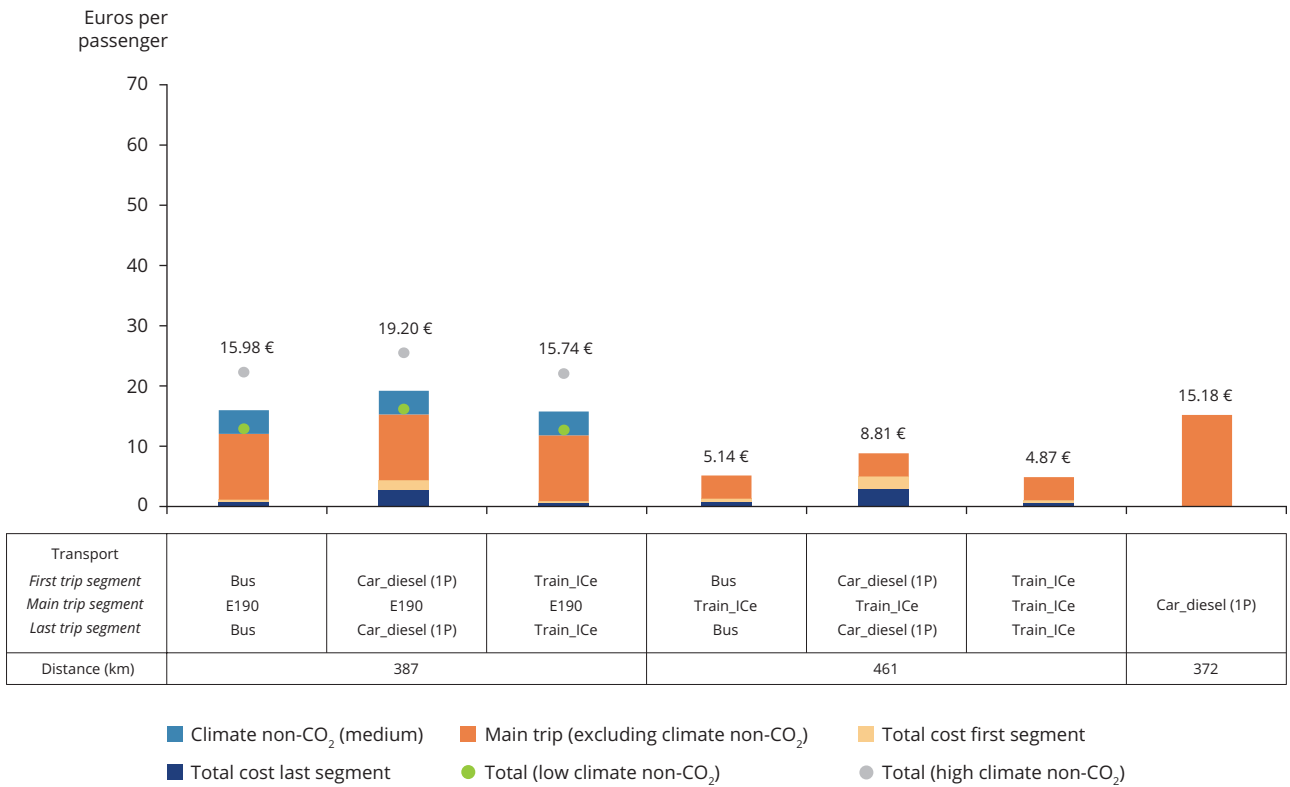
Source: EEA.

Figure A2.5 Environmental costs of main trip and pre/post transport — (3) Sofia-Varna



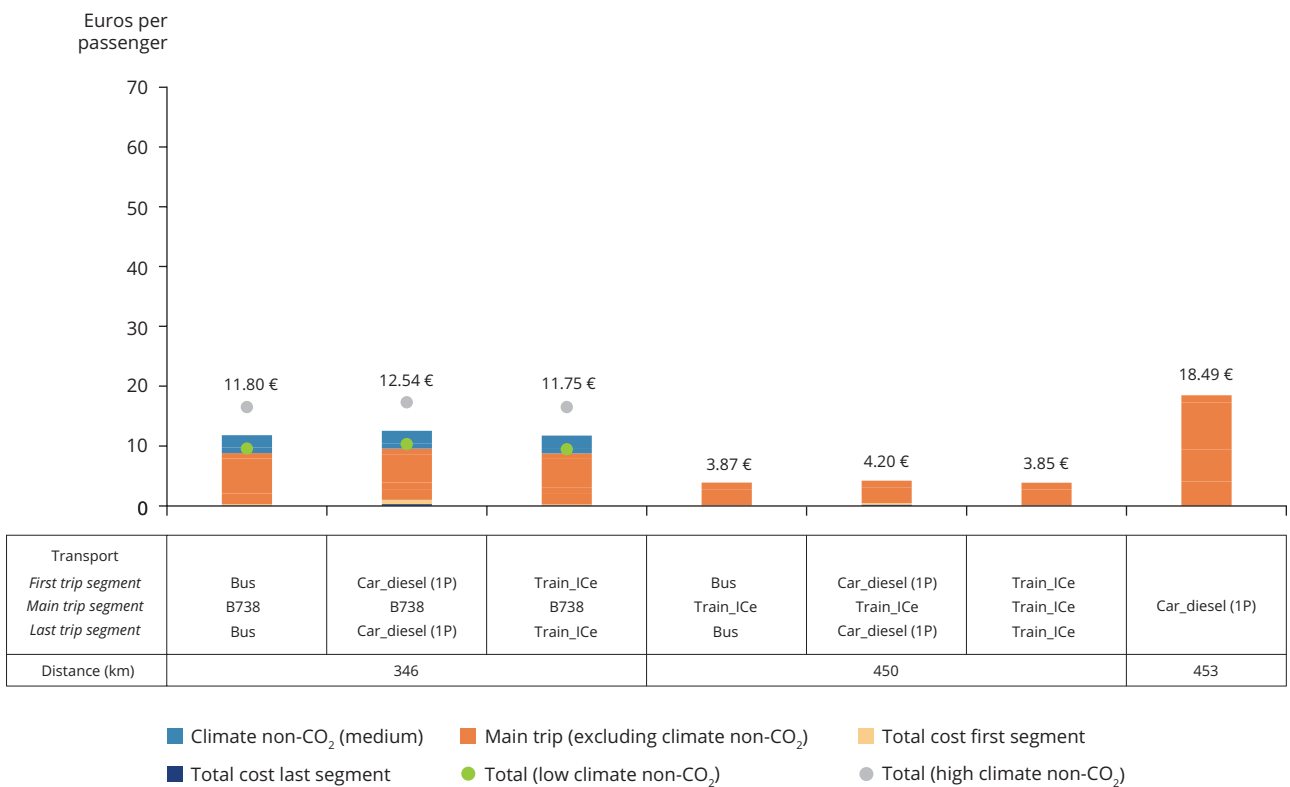
Source: EEA.

Figure A2.6 Environmental costs of main trip and pre/post transport — (3alt) Pernik-Novi Pazar



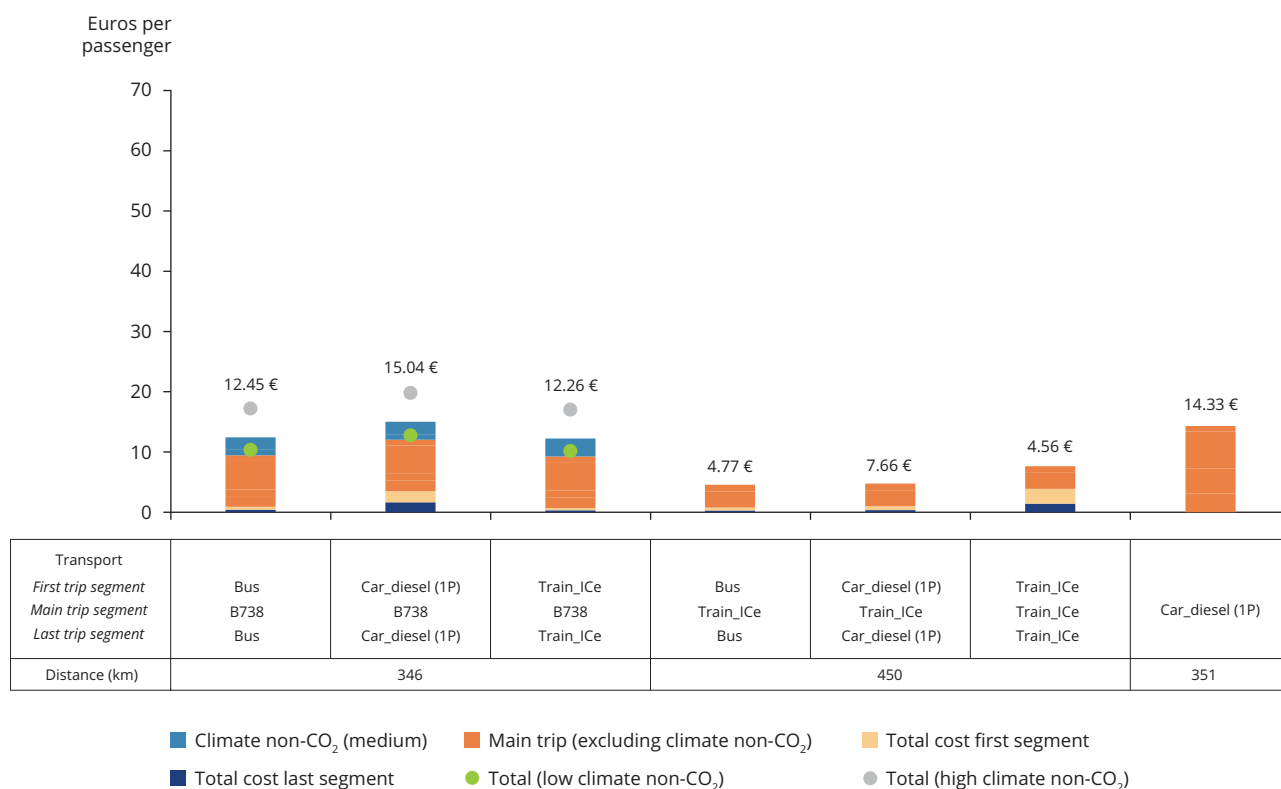
Source: EEA.

Figure A2.7 Environmental costs of main trip and pre/post transport — (4) Bucharest-Cluj Napoca



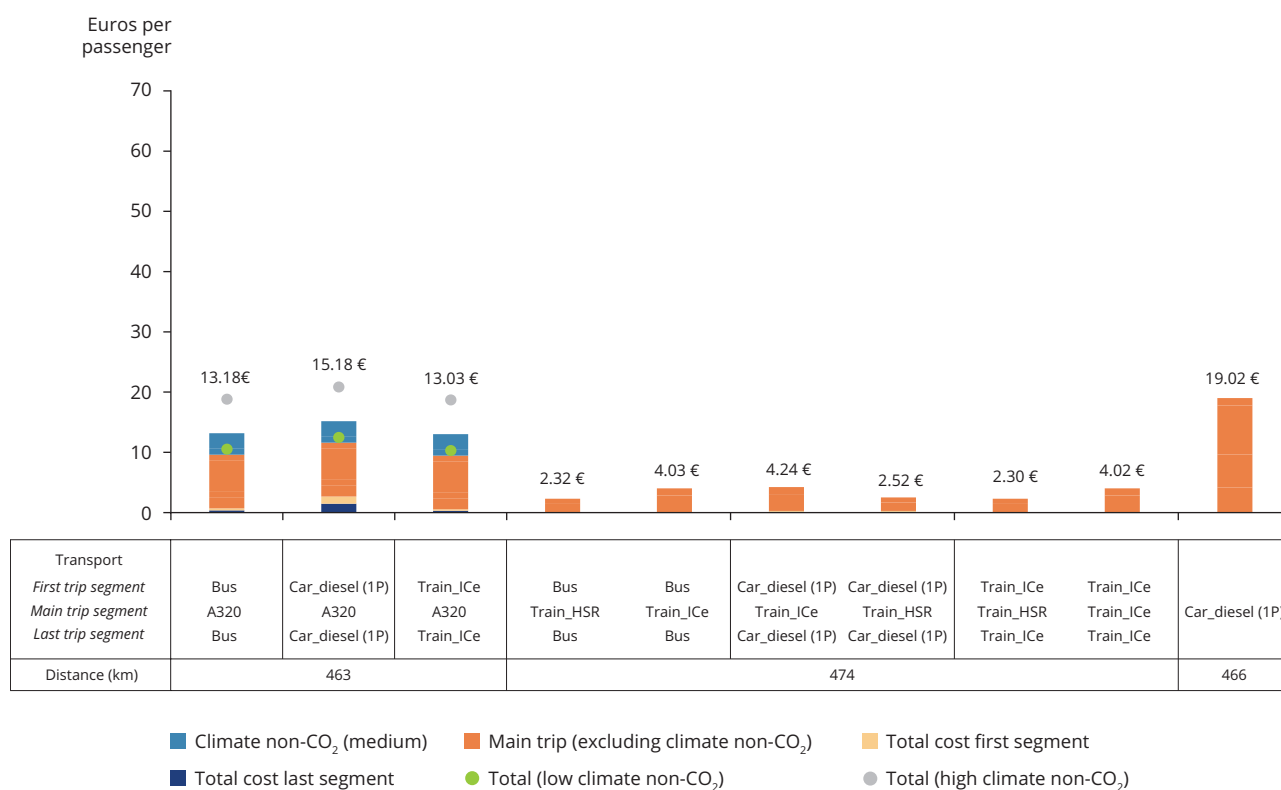
Source: EEA.

Figure A2.8 Environmental costs of main trip and pre/post transport — (4alt) Ploiești-Turda



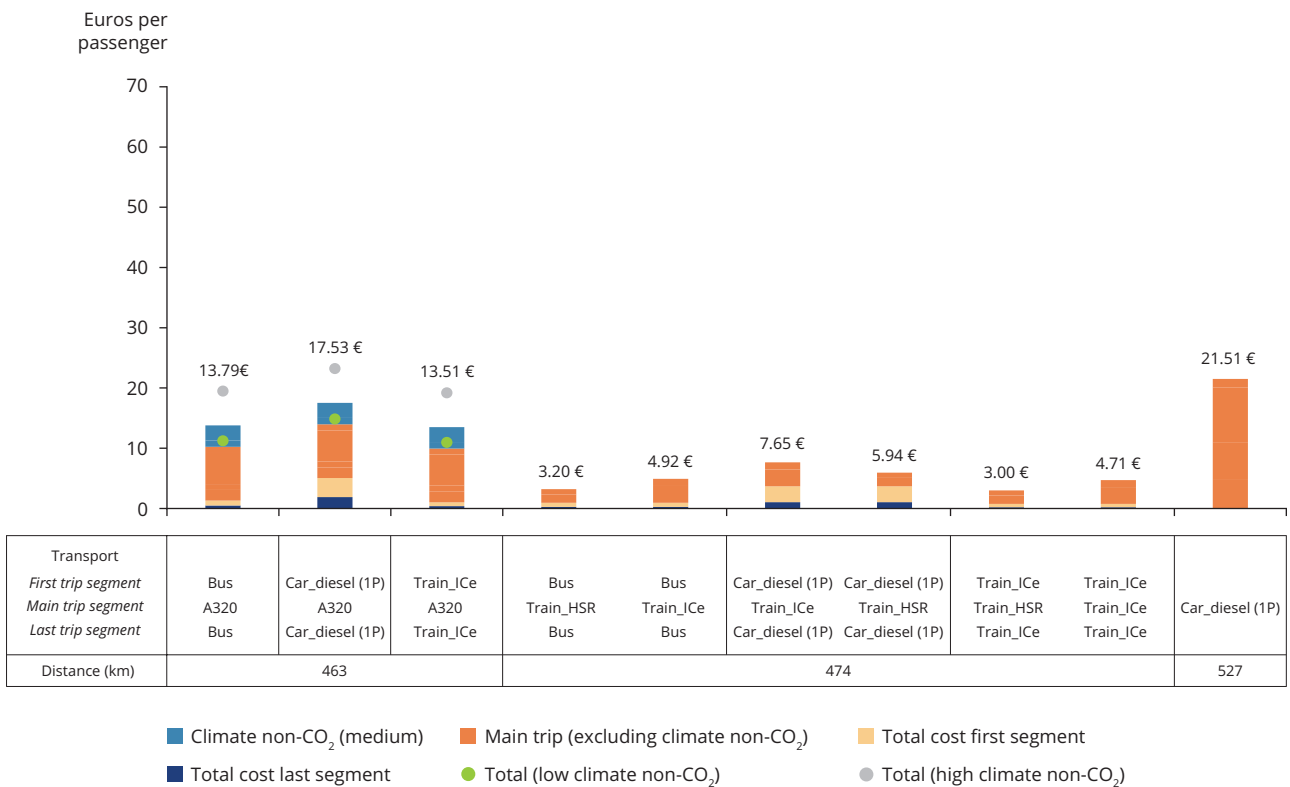
Source: EEA.

Figure A2.9 Environmental costs of main trip and pre/post transport — (5) Lyon-Paris



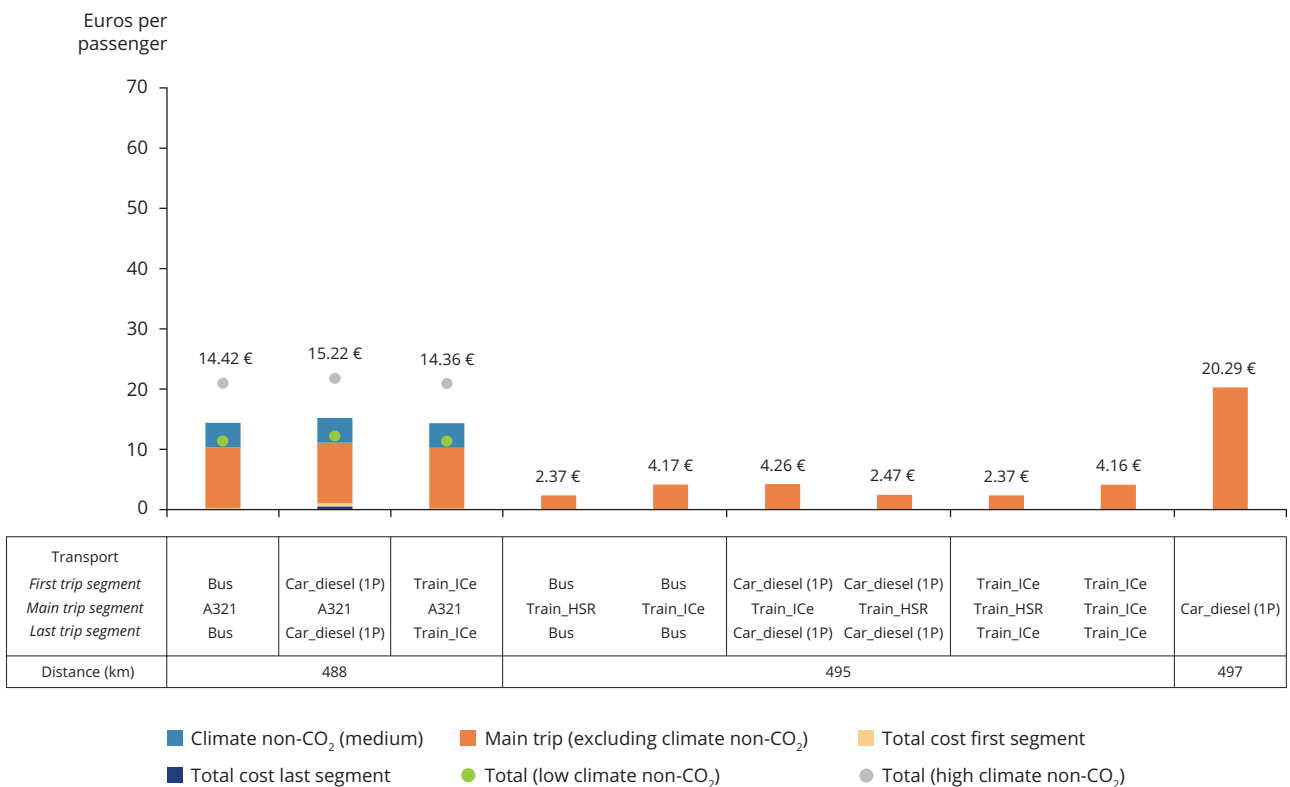
Source: EEA.

Figure A2.10 Environmental costs of main trip and pre/post transport — (5alt) Saint-Étienne-Versailles



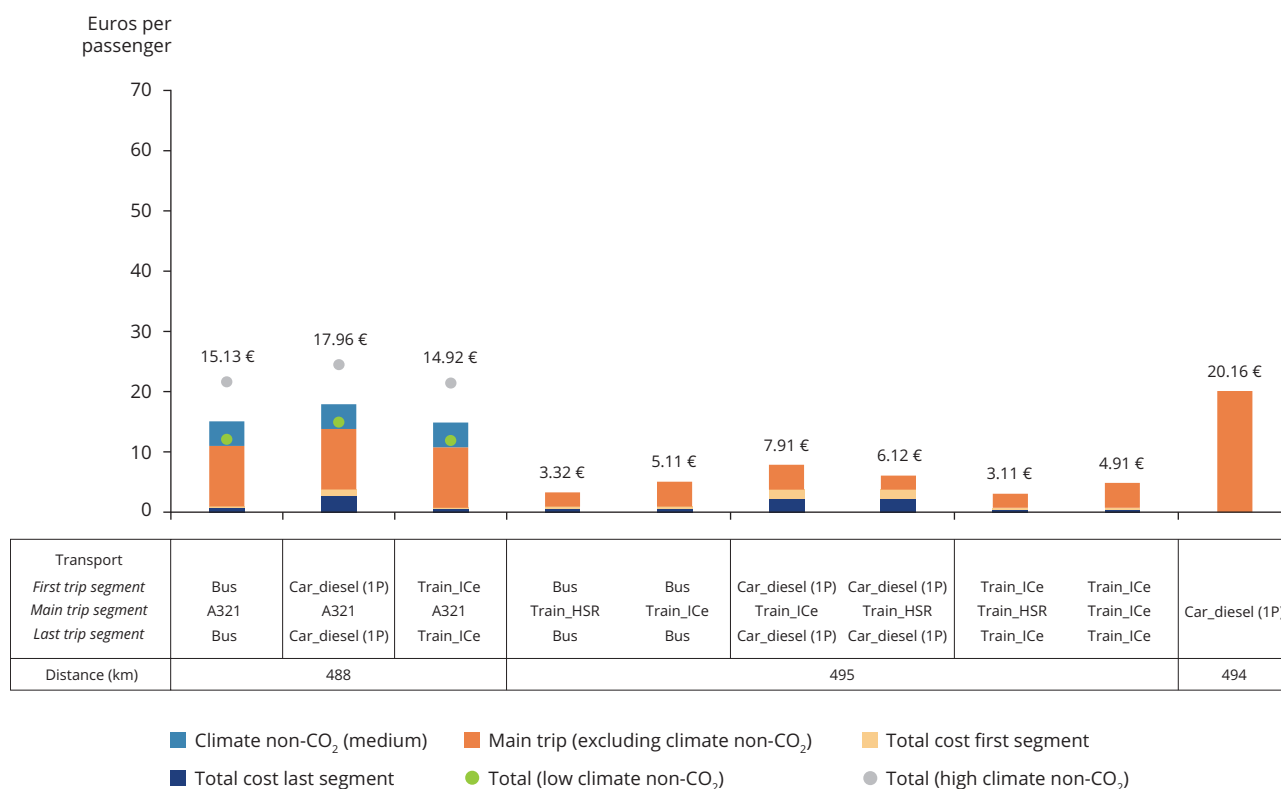
Source: EEA.

Figure A2.11 Environmental costs of main trip and pre/post transport — (6) Frankfurt am Main-Hamburg



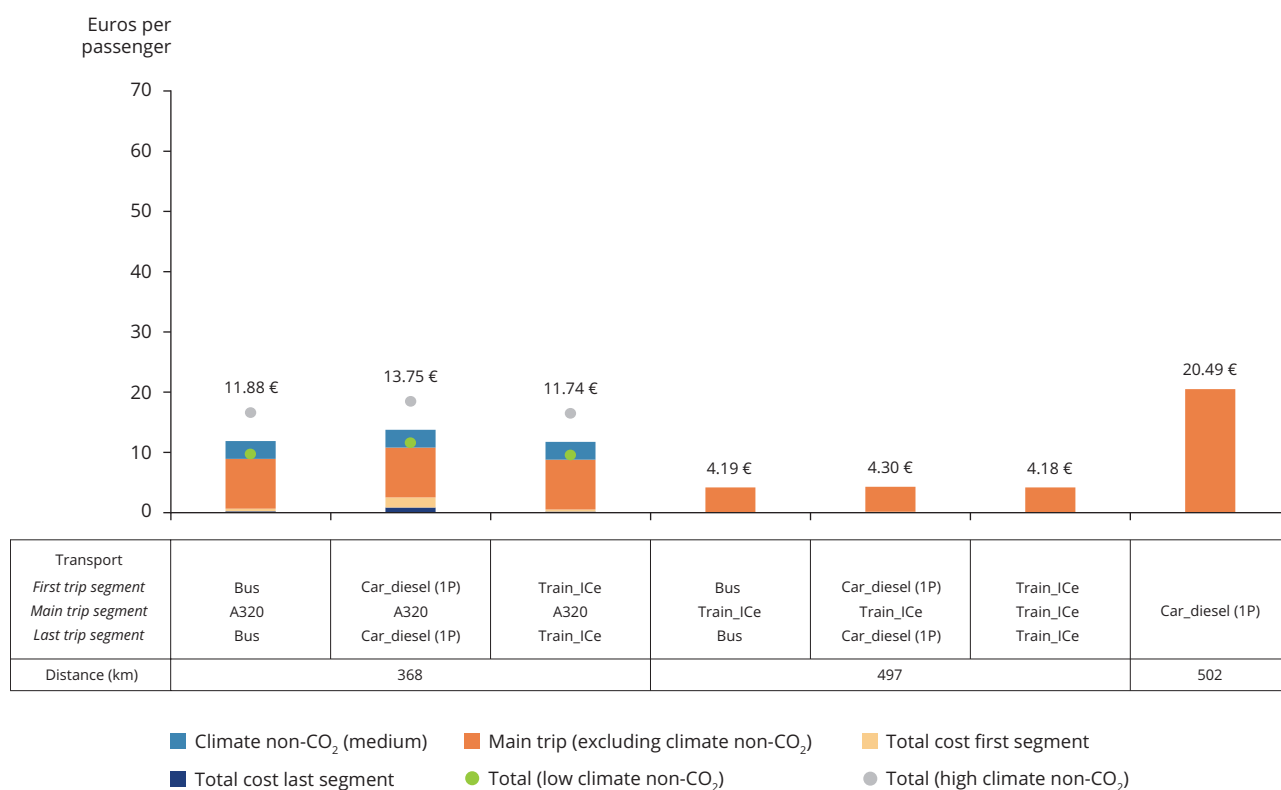
Source: EEA.

Figure A2.12 Environmental costs of main trip and pre/post transport — (6alt) Wiesbaden- Lüneburg



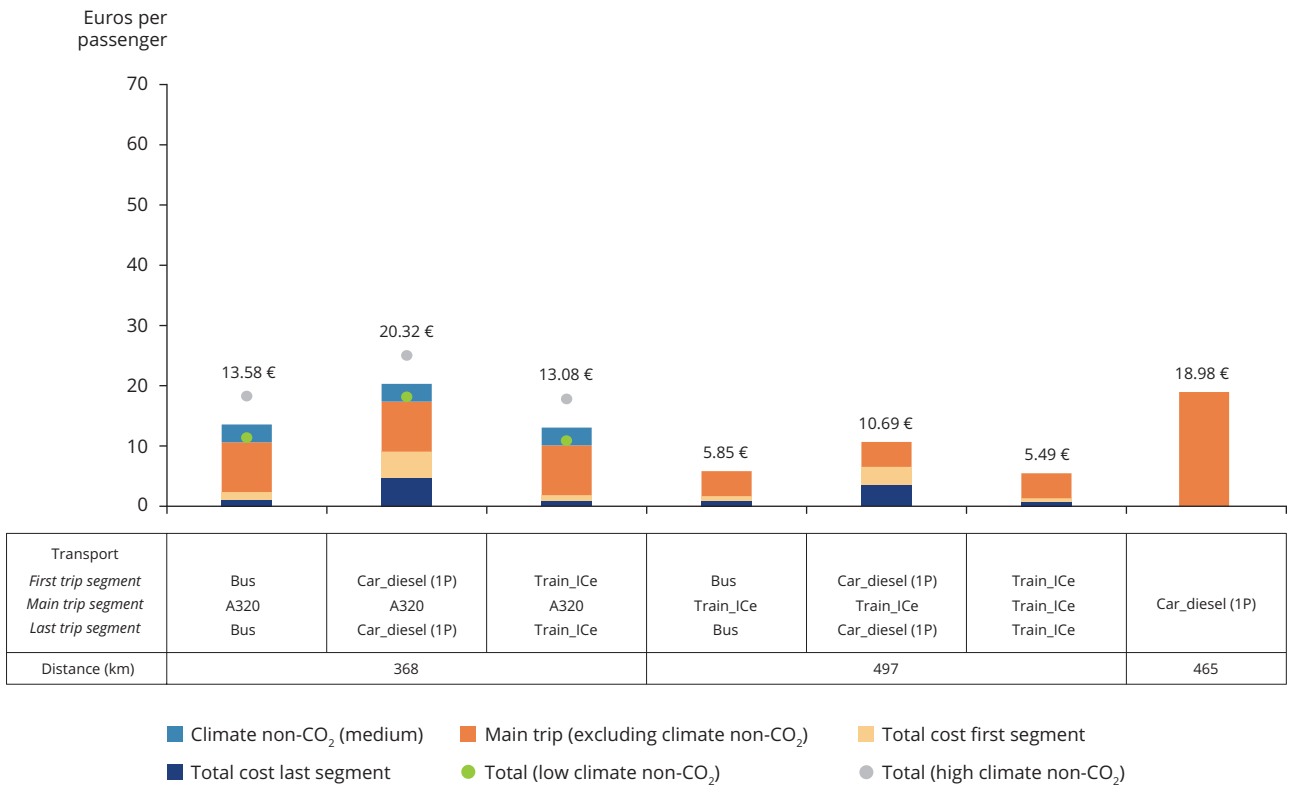
Source: EEA.

Figure A2.13 Environmental costs of main trip and pre/post transport — (7) Athens-Thessaloniki



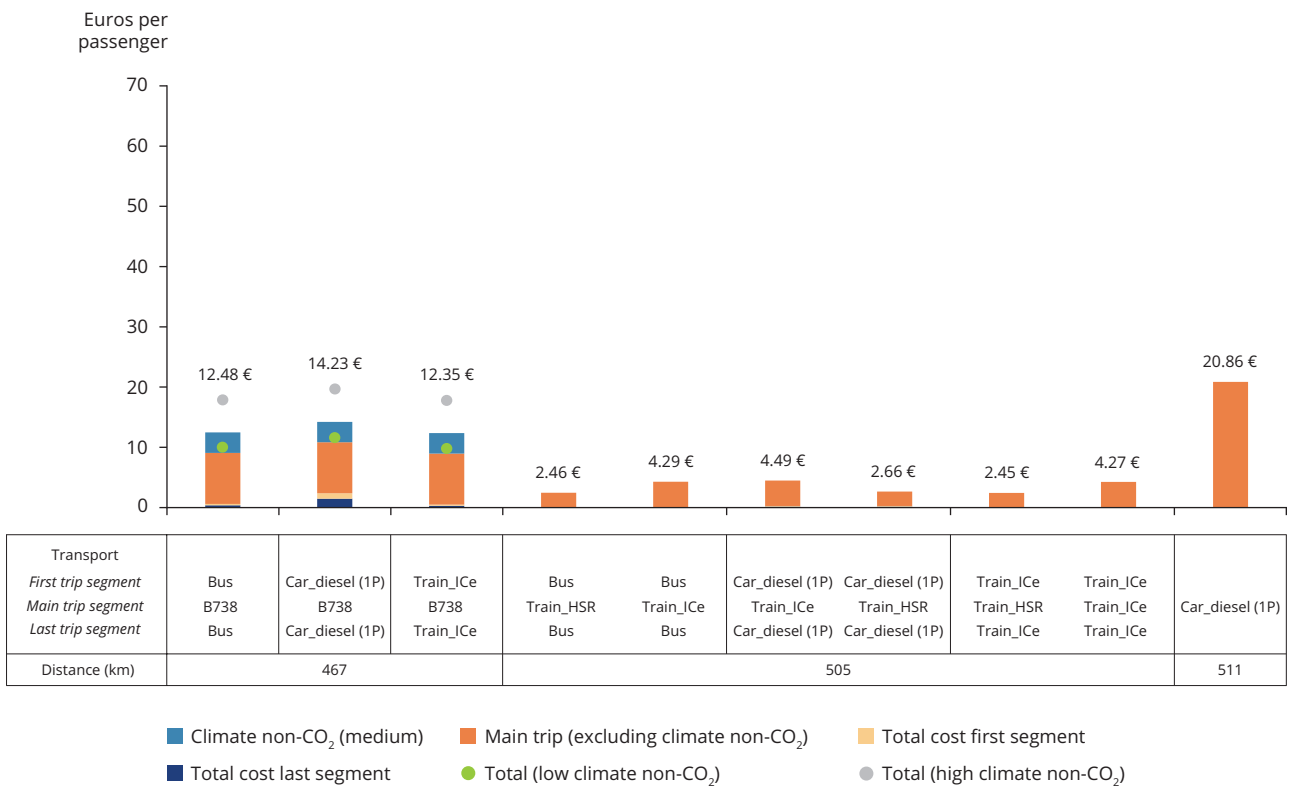
Source: EEA.

Figure A2.14 Environmental costs of main trip and pre/post transport — (7alt) Thebes-Edessa



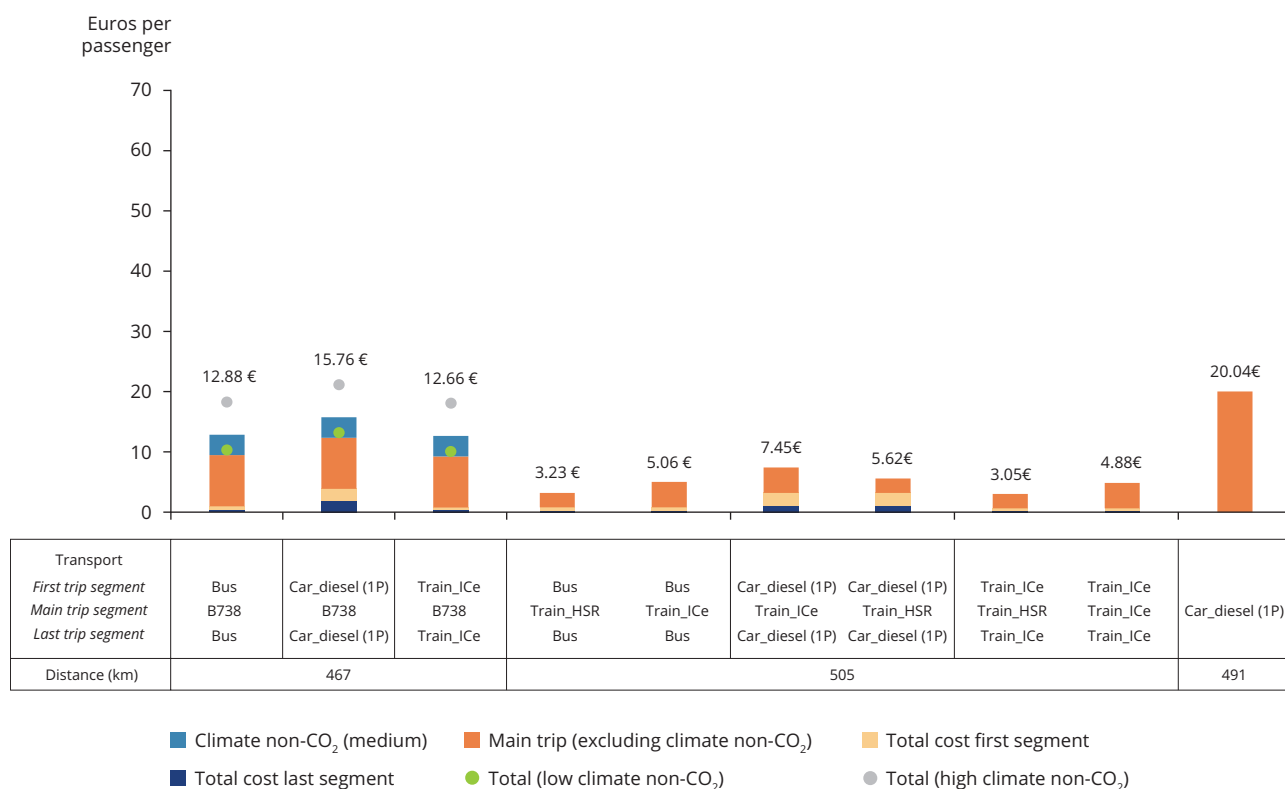
Source: EEA.

Figure A2.15 Environmental costs of main trip and pre/post transport — (8) Amsterdam-Paris



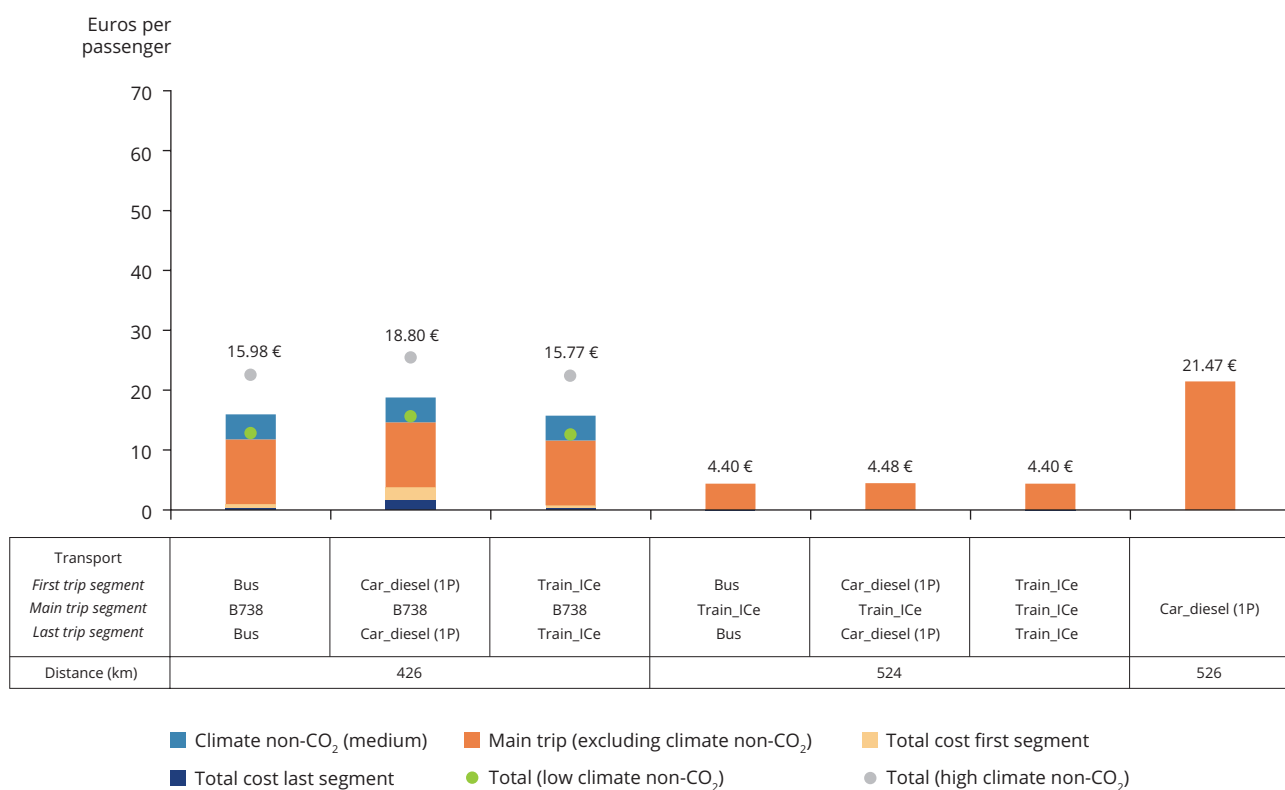
Source: EEA.

Figure A2.16 Environmental costs of main trip and pre/post transport — (8alt) Utrecht-Versailles



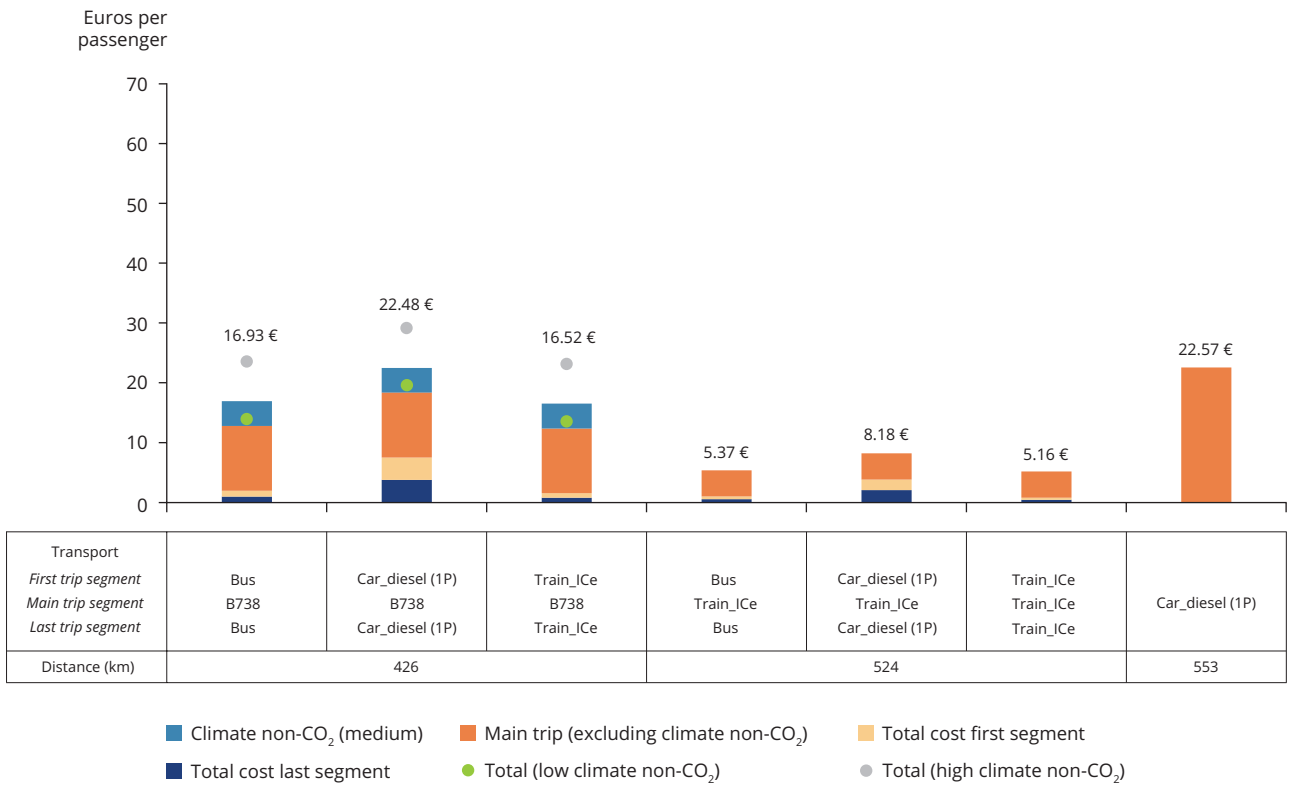
Source: EEA.

Figure A2.17 Environmental costs of main trip and pre/post transport — (9) Oslo-Stockholm



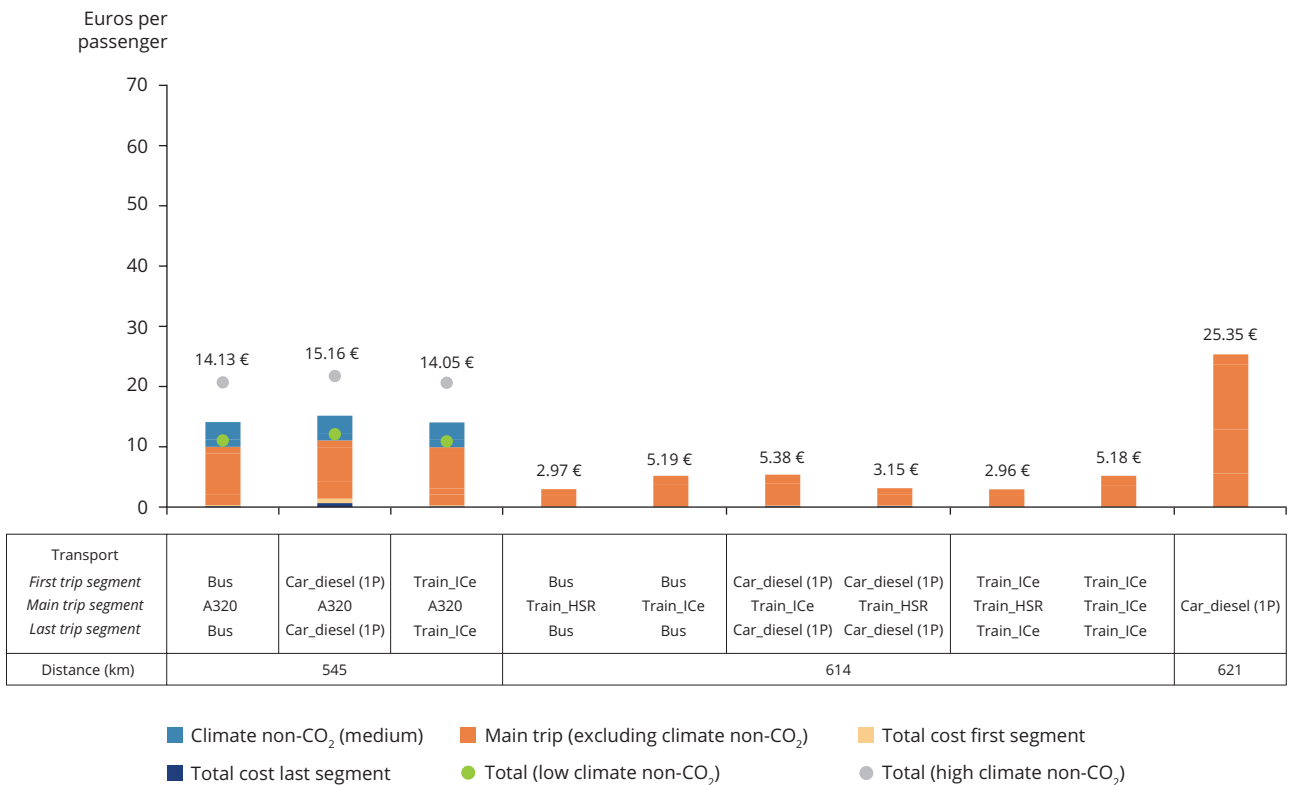
Source: EEA.

Figure A2.18 Environmental costs of main trip and pre/post transport — (9alt) Drammen-Ösmo



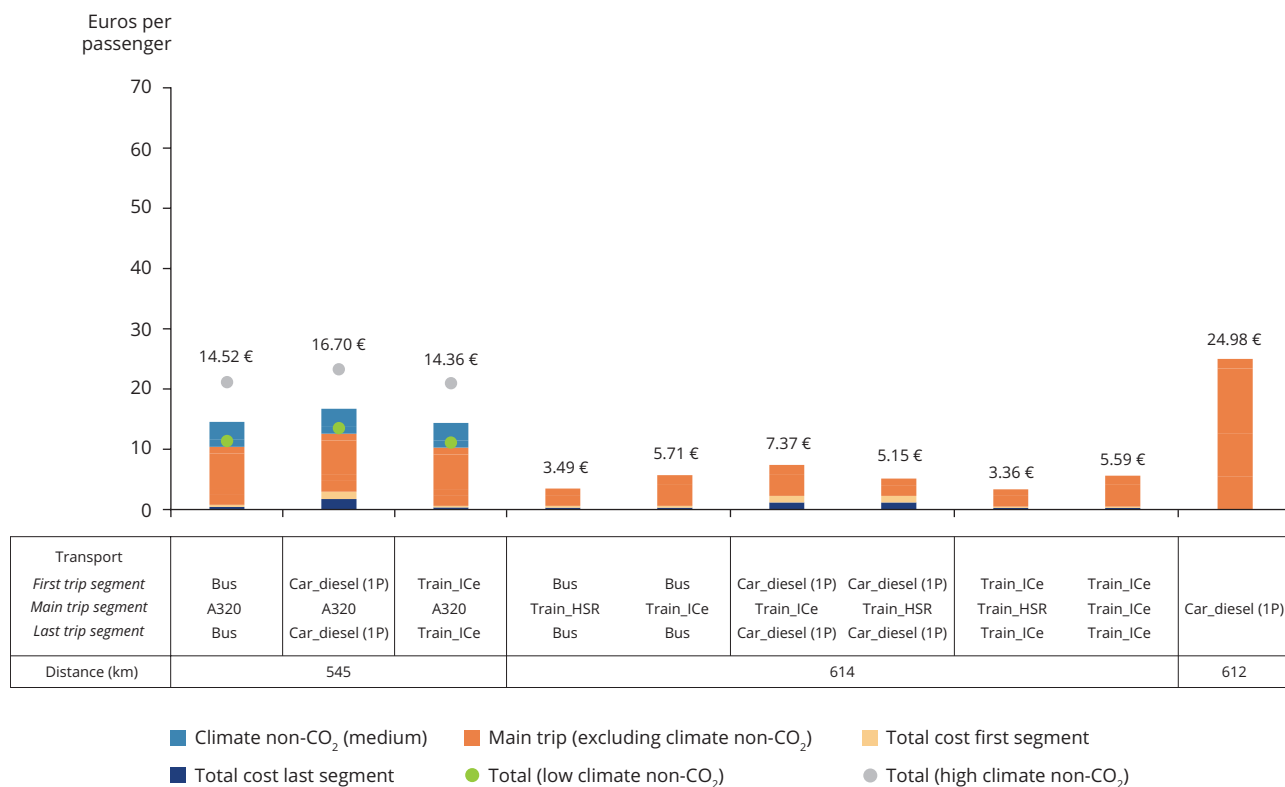
Source: EEA.

Figure A2.19 Environmental costs of main trip and pre/post transport — (10) Madrid-Barcelona



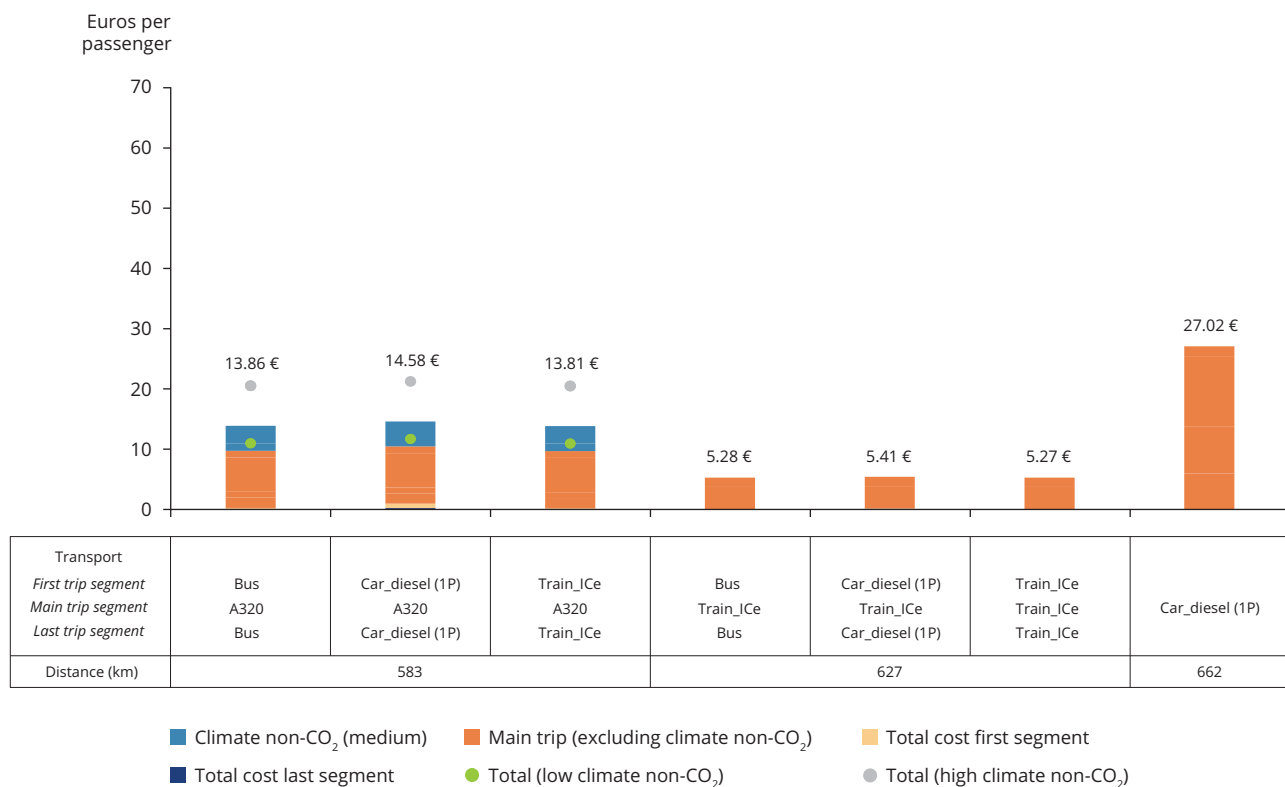
Source: EEA.

Figure A2.20 Environmental costs of main trip and pre/post transport — (10alt) Guadalajara-Sabadell



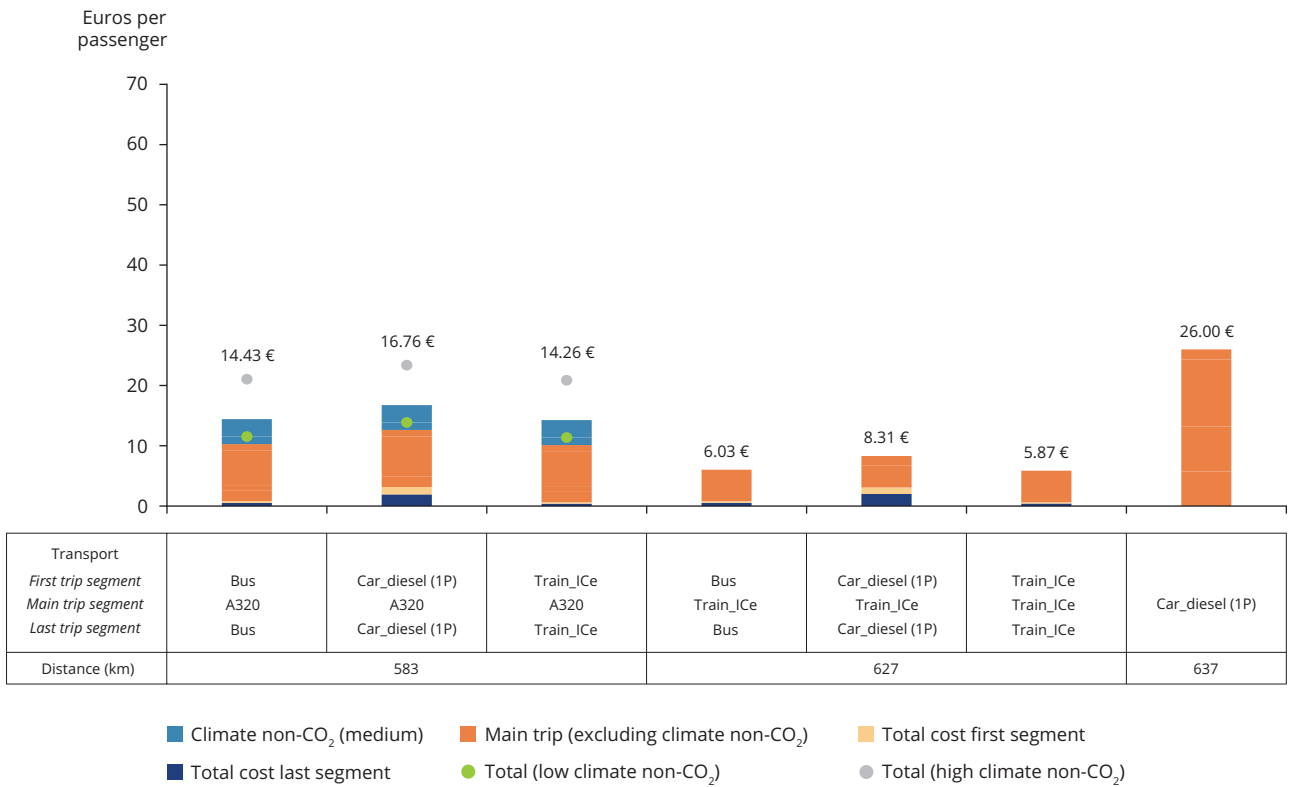
Source: EEA.

Figure A2.21 Environmental costs of main trip and pre/post transport — (11) Madrid-Lisbon



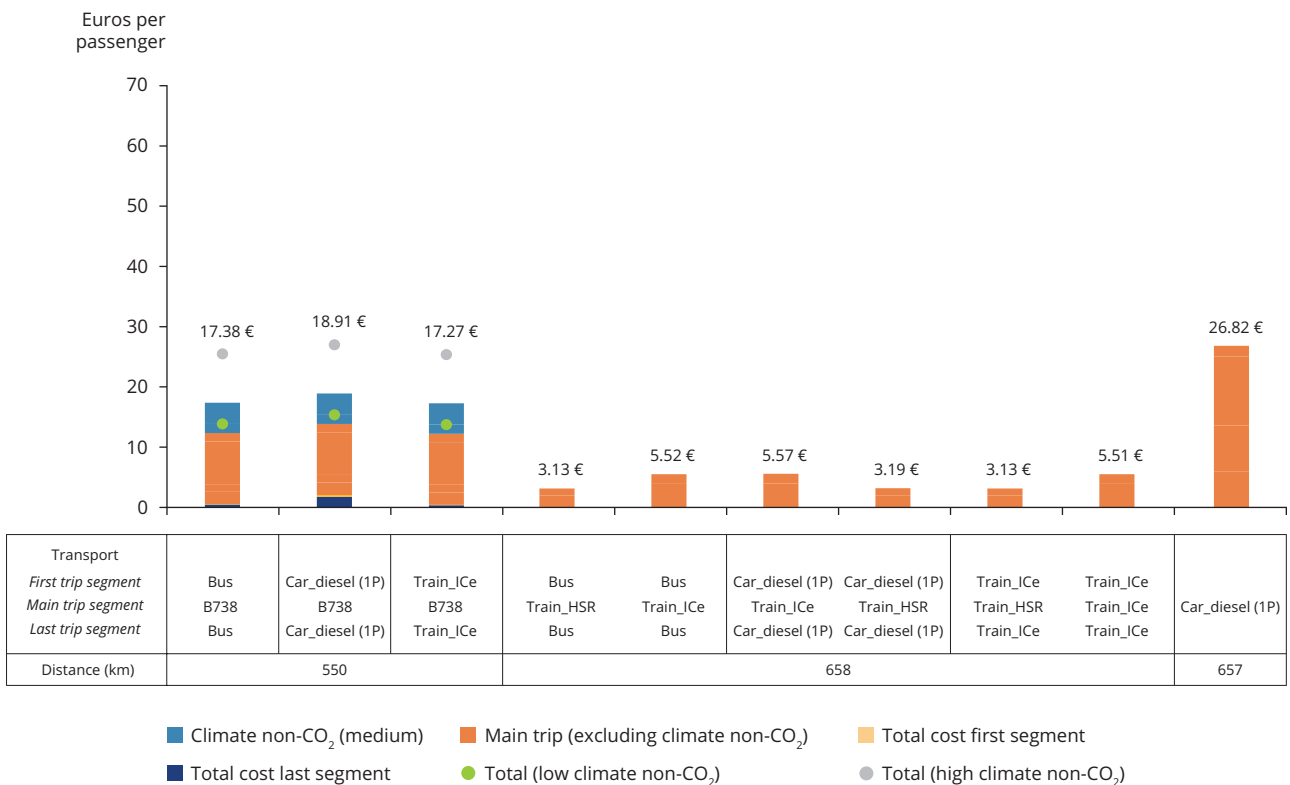
Source: EEA.

Figure A2.22 Environmental costs of main trip and pre/post transport — (11alt) Guadalajara-Setúbal



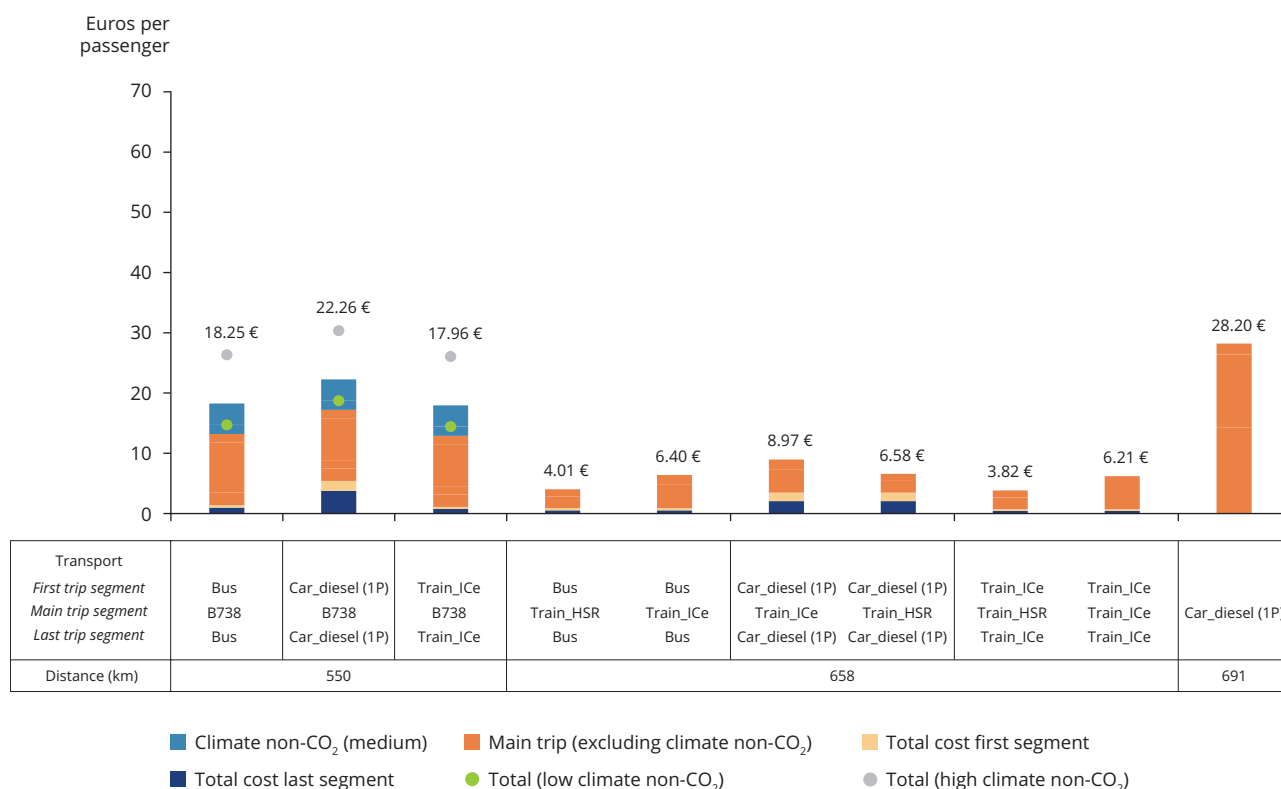
Source: EEA.

Figure A2.23 Environmental costs of main trip and pre/post transport — (12) Copenhagen-Stockholm



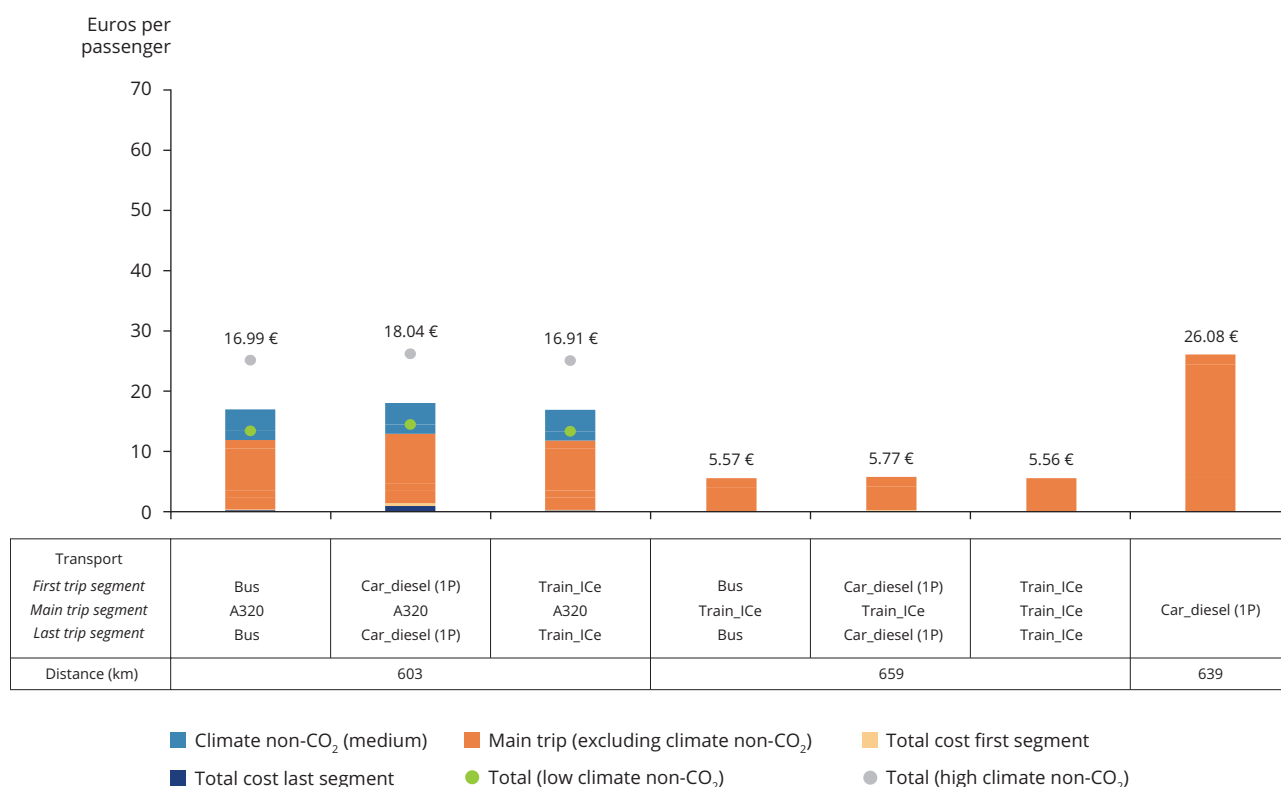
Source: EEA.

Figure A2.24 Environmental costs of main trip and pre/post transport — (12alt) Roskilde-Ösmo



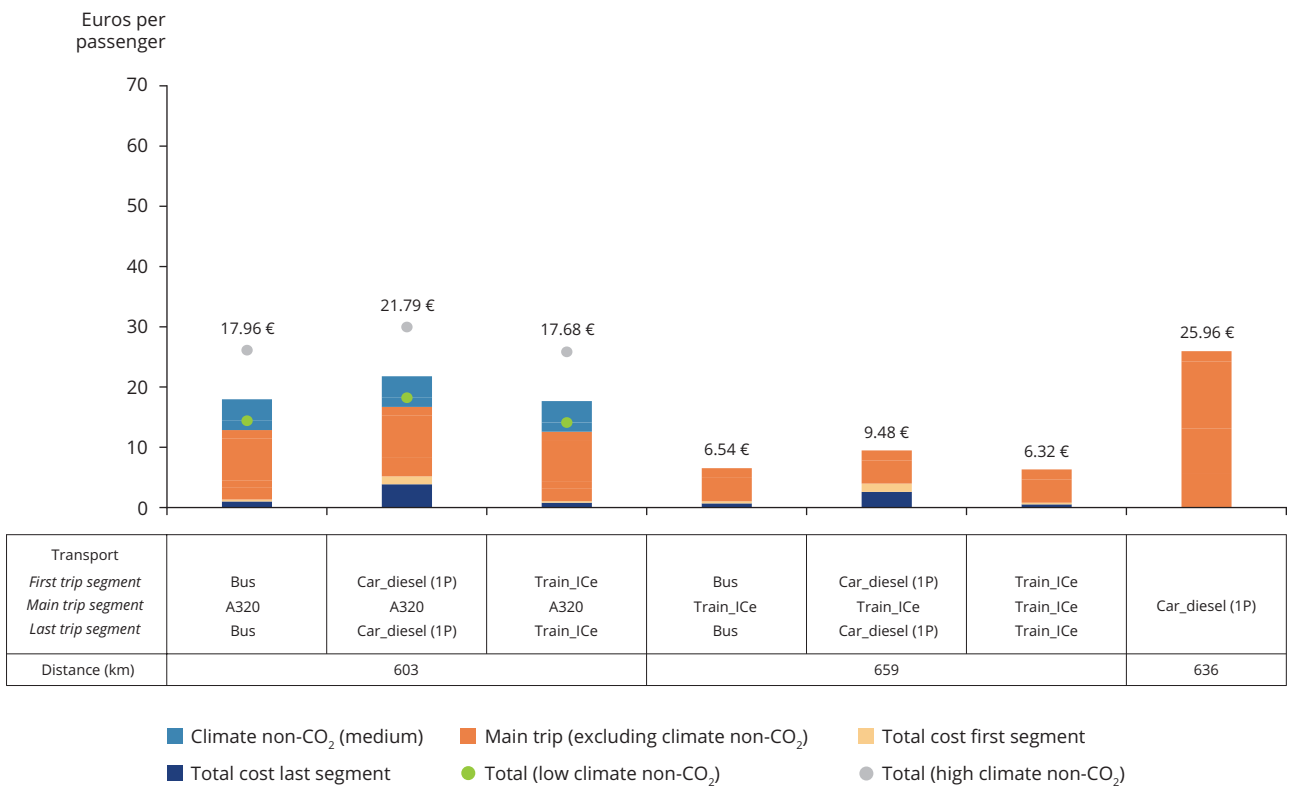
Source: EEA.

Figure A2.25 Environmental costs of main trip and pre/post transport — (13) Berlin-Vienna



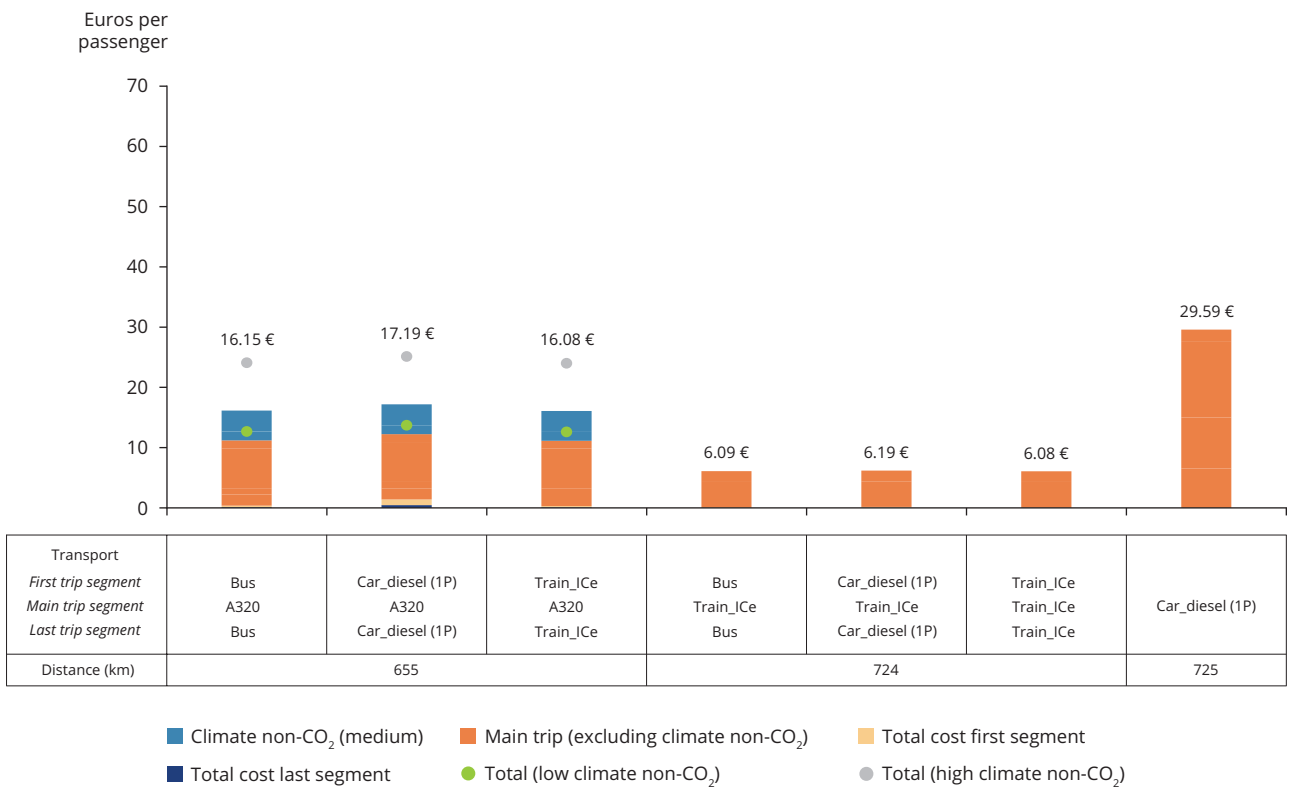
Source: EEA.

Figure A2.26 Environmental costs of main trip and pre/post transport — (13alt) Potsdam-Sankt Pölten



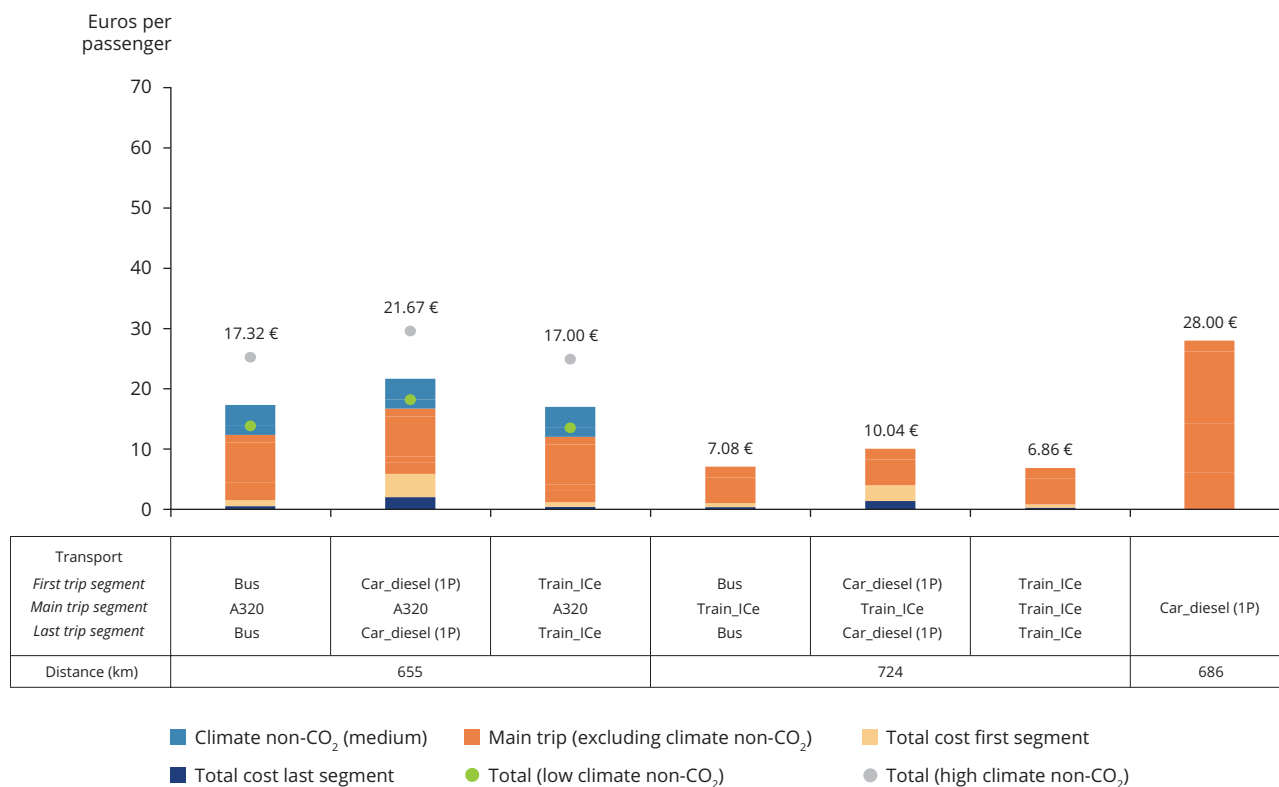
Source: EEA.

Figure A2.27 Environmental costs of main trip and pre/post transport — (14) Vienna-Zürich



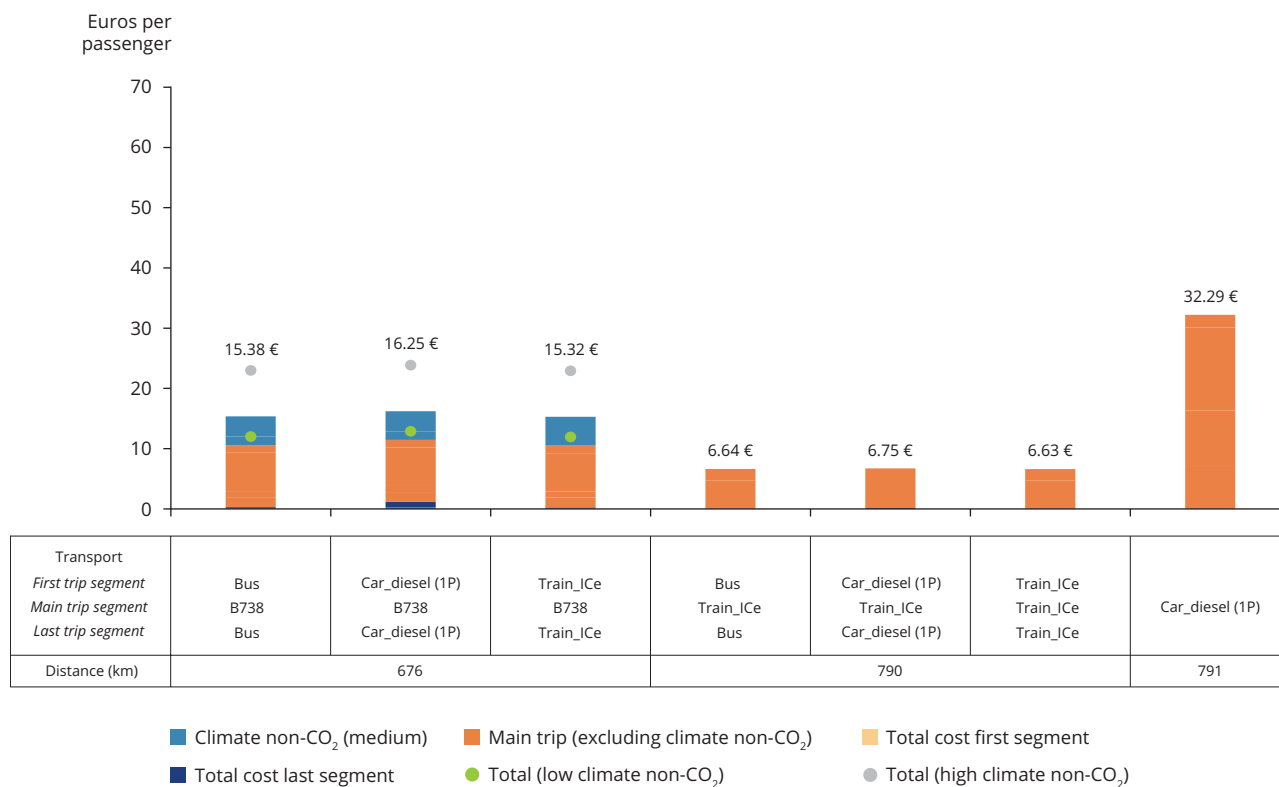
Source: EEA.

Figure A2.28 Environmental costs of main trip and pre/post transport — (14alt) Sankt Pölten-Zug



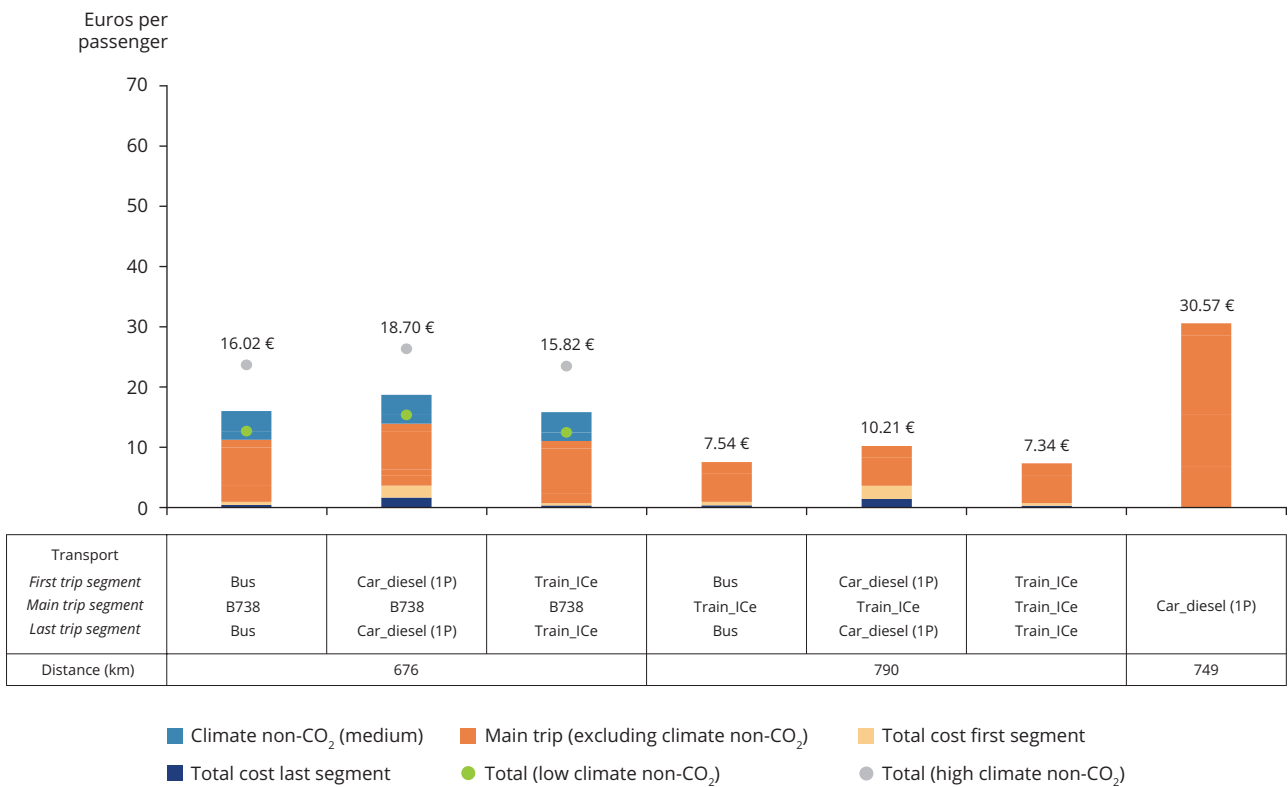
Source: EEA.

Figure A2.29 Environmental costs of main trip and pre/post transport — (15) Amsterdam-Copenhagen



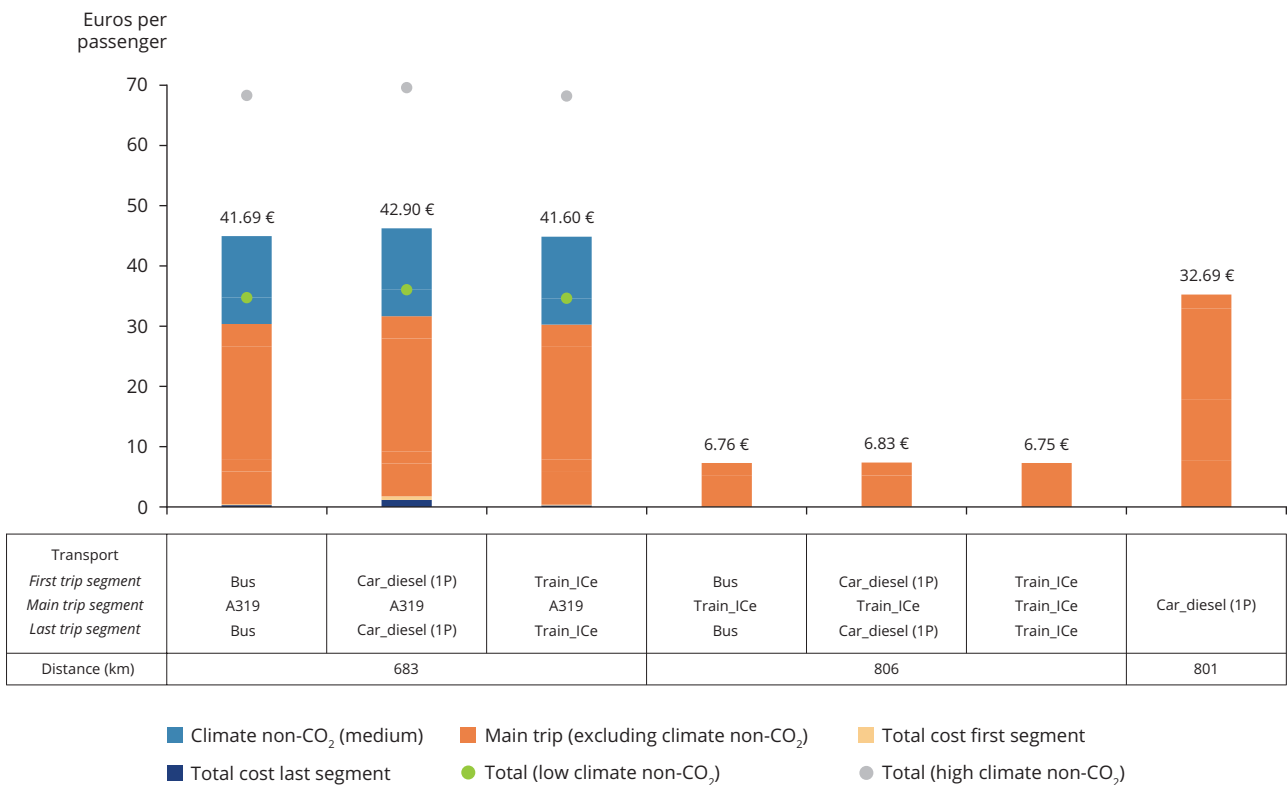
Source: EEA.

Figure A2.30 Environmental costs of main trip and pre/post transport — (15alt) Utrecht-Roskilde



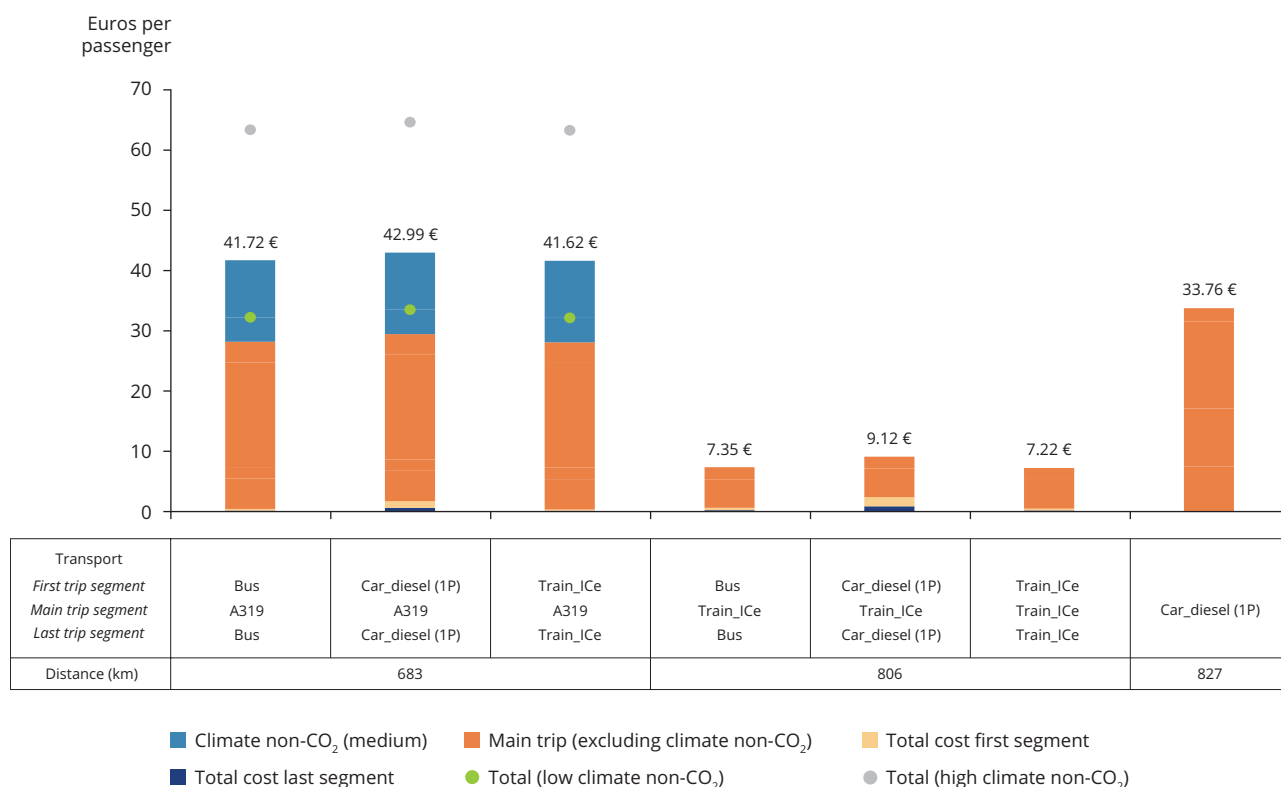
Source: EEA.

Figure A2.31 Environmental costs of main trip and pre/post transport — (16) Frankfurt am Main-Ljubljana



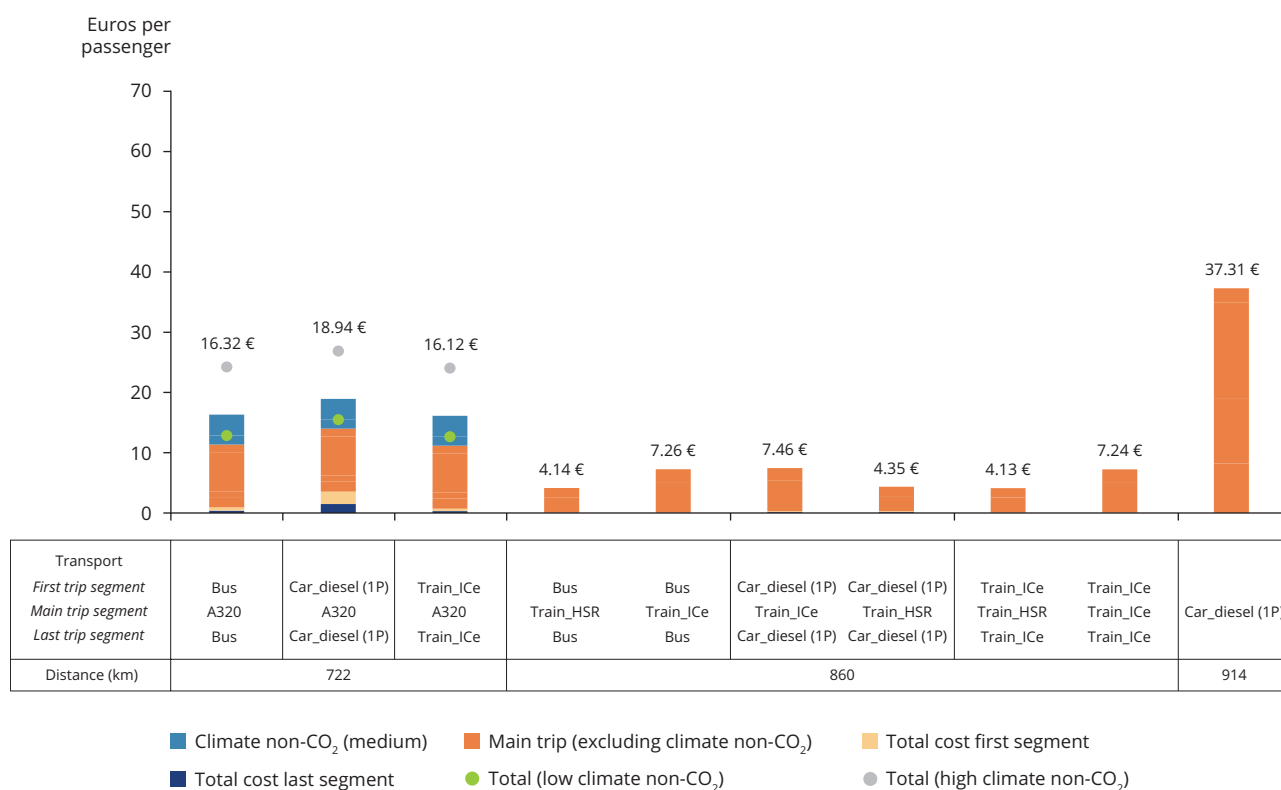
Source: EEA.

Figure A2.32 Environmental costs of main trip and pre/post transport — (16alt) Wiesbaden-Kamnik



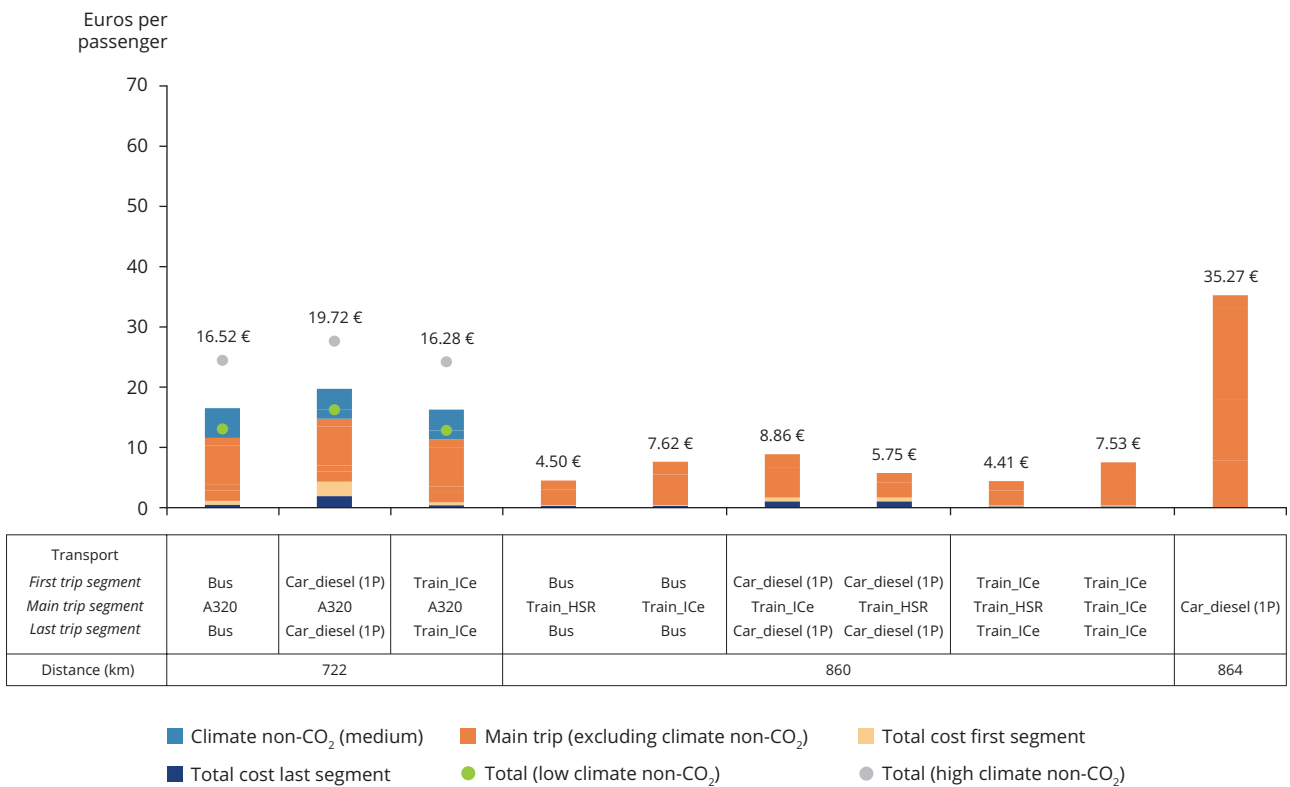
Source: EEA.

Figure A2.33 Environmental costs of main trip and pre/post transport — (17) Milan-Paris



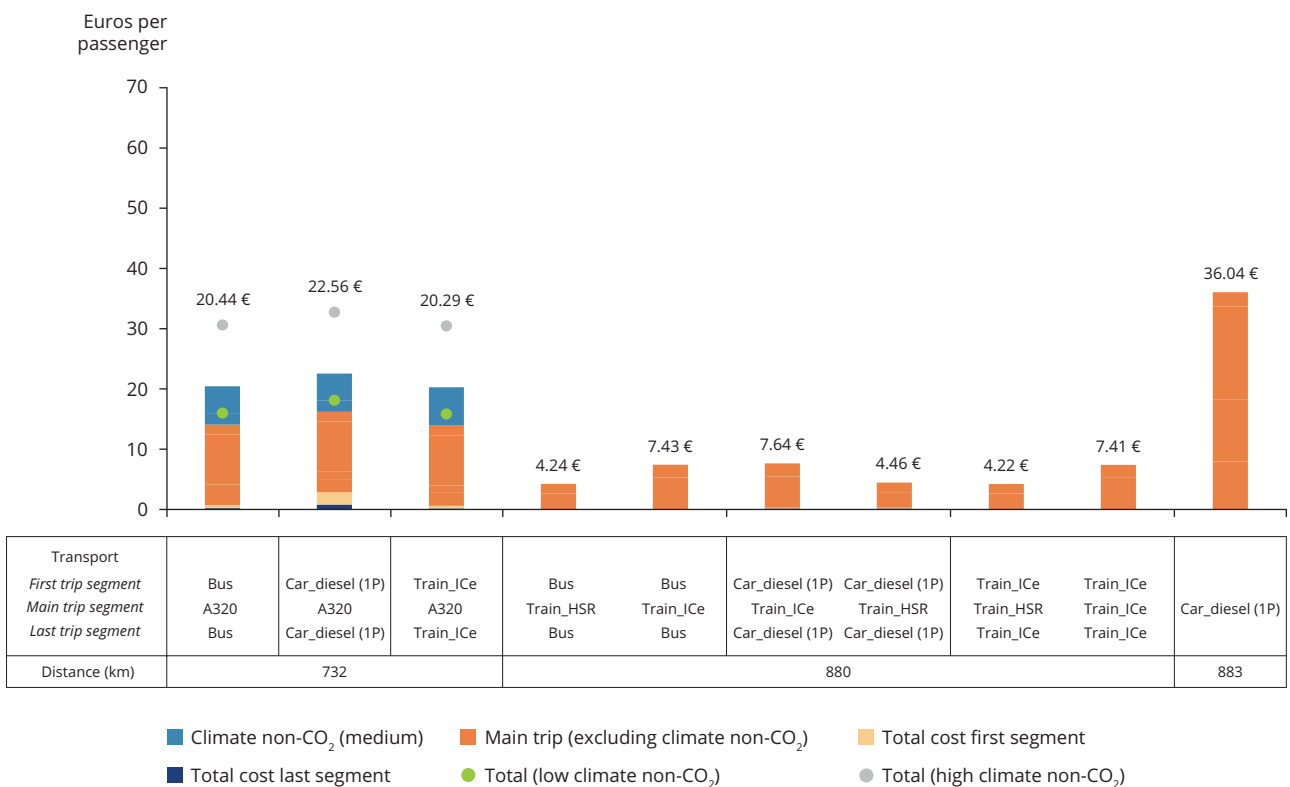
Source: EEA.

Figure A2.34 Environmental costs of main trip and pre/post transport — (17alt) Monza-Versailles



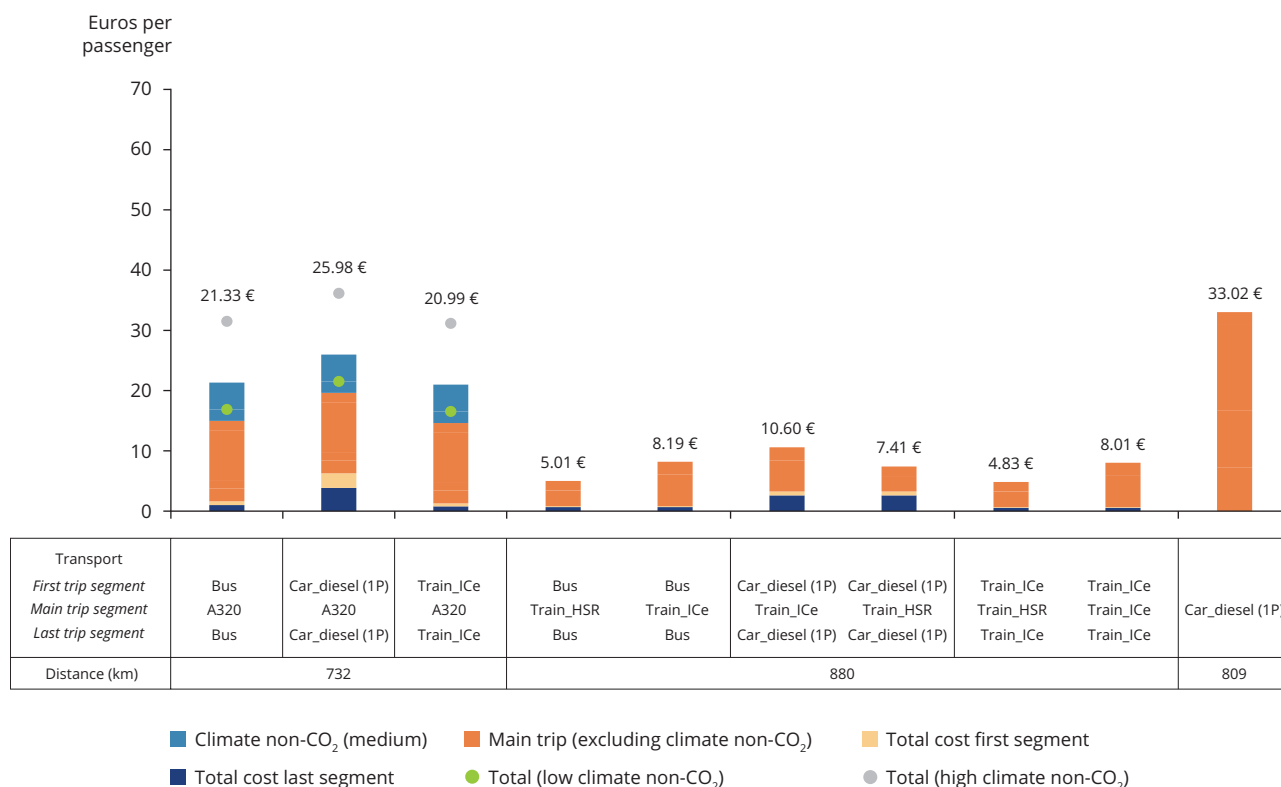
Source: EEA.

Figure A2.35 Environmental costs of main trip and pre/post transport — (18) Milan-Vienna



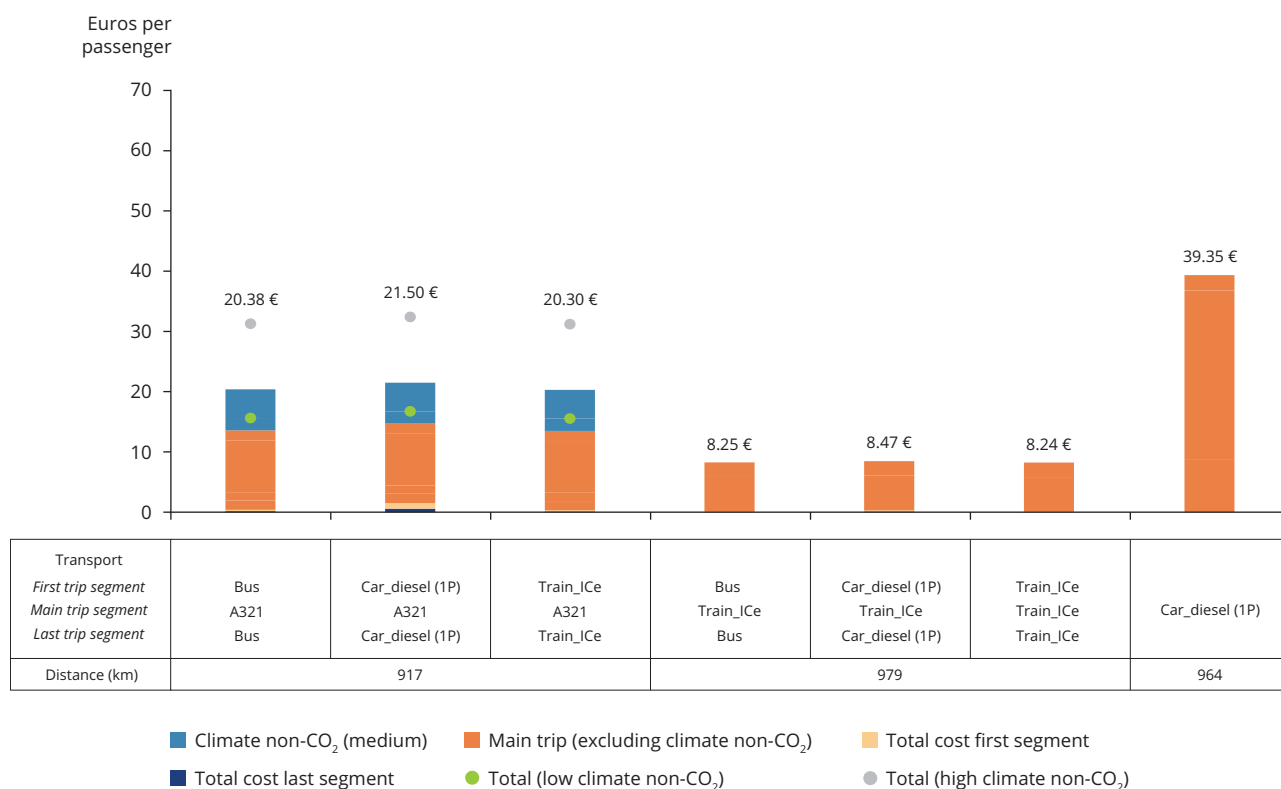
Source: EEA.

Figure A2.36 Environmental costs of main trip and pre/post transport — (18alt) Monza e Brianza-Sankt Pölten



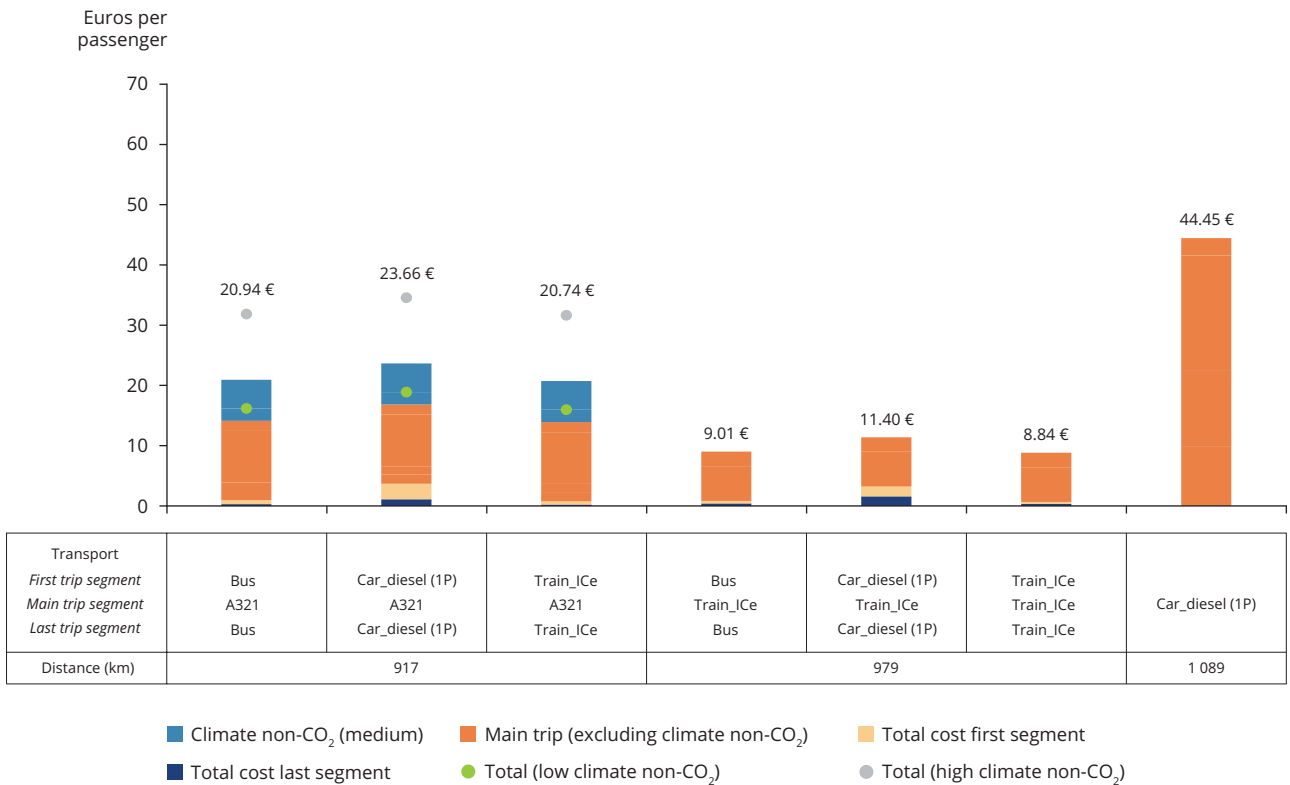
Source: EEA.

Figure A2.37 Environmental costs of main trip and pre/post transport — (19) Budapest-Milan



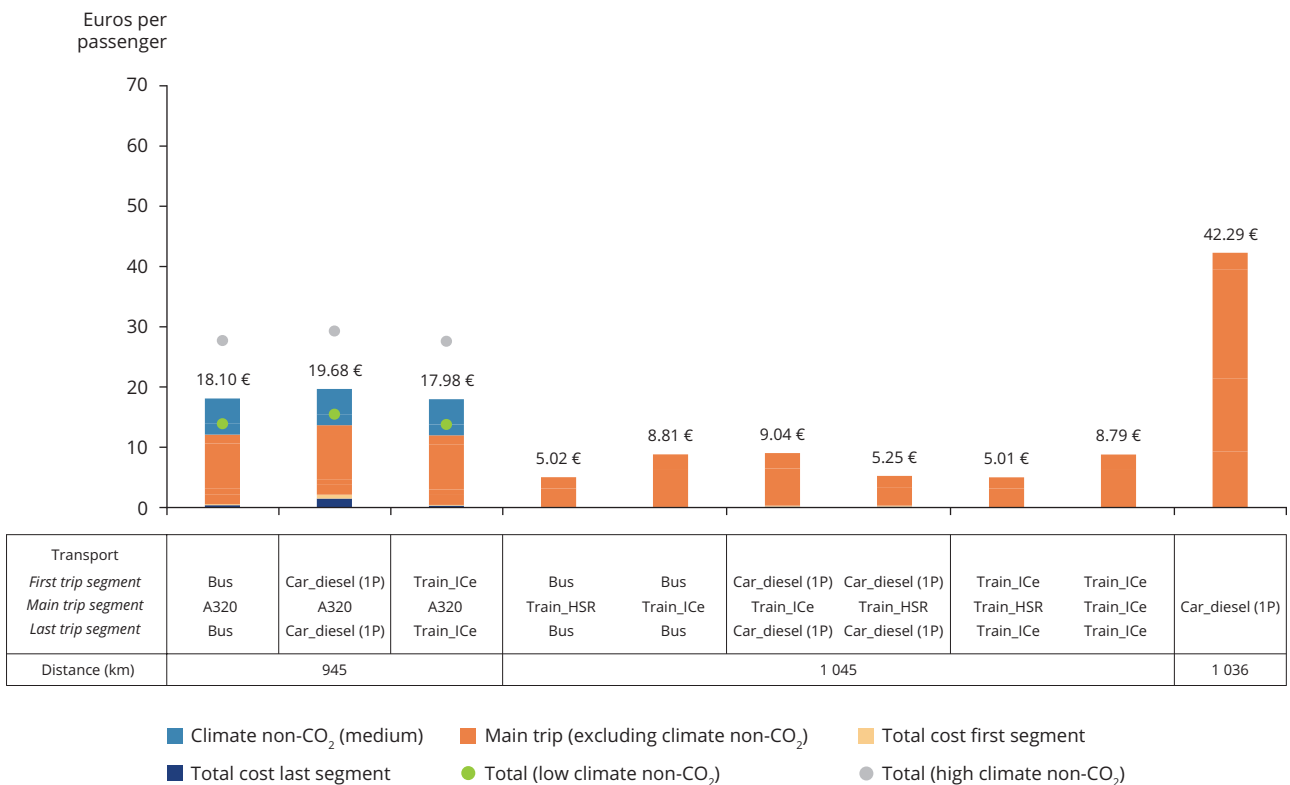
Source: EEA.

Figure A2.38 Environmental costs of main trip and pre/post transport — (19alt) Vác-Monza



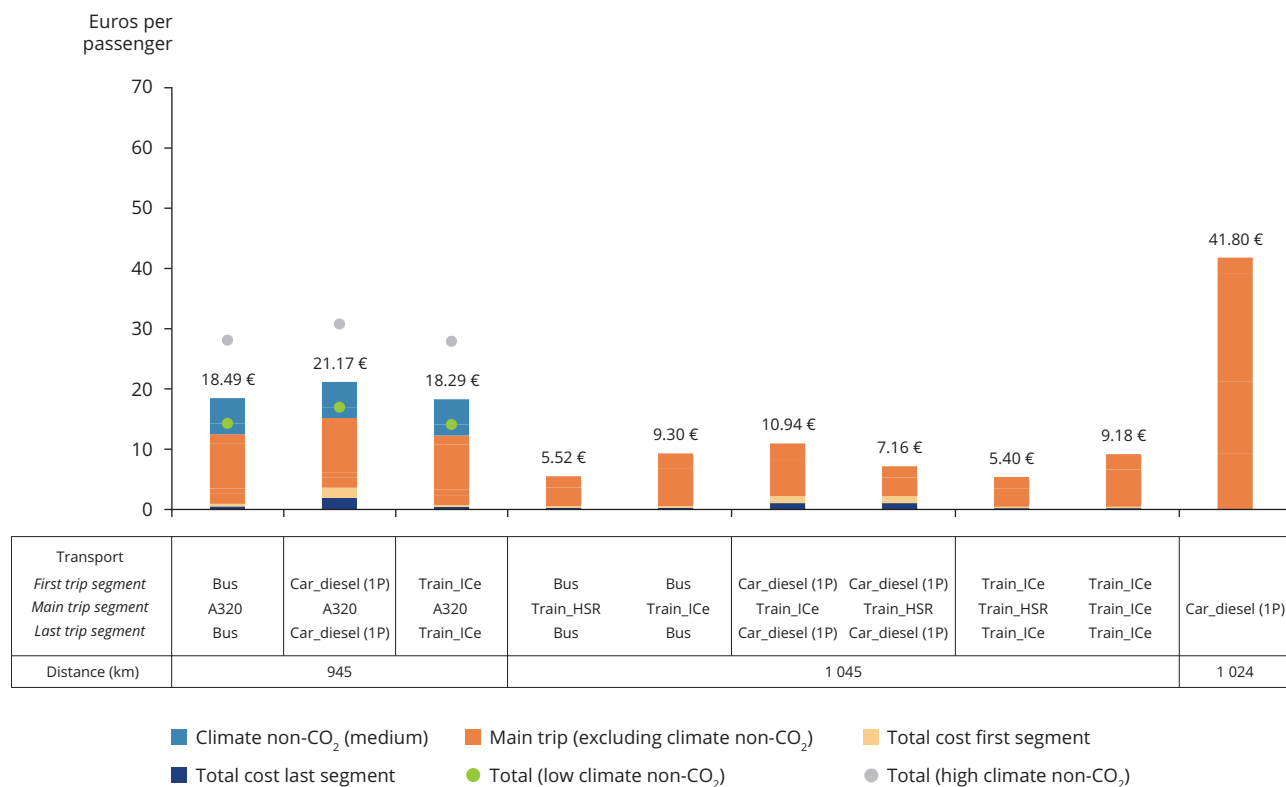
Source: EEA.

Figure A2.39 Environmental costs of main trip and pre/post transport — (20) Barcelona-Paris



Source: EEA.

Figure A2.40 Environmental costs of main trip and pre/post transport — (20alt) Sabadell-Versailles



Source: EEA.

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European Environment Agency
Kongens Nytorv 6
1050 Copenhagen K
Denmark
Tel.: +45 33 36 71 00
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